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Master's Degree in Aerospace Engineering

Implementation of a Python-based software approach in aviation data analysis

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Abstract

Aviation safety is considered a vital topic to study and enforce periodically as recommended by the proper authorities. At European level every state uses their own methodology for safety data analysis, it is then important to revise and update the procedures. The purpose of this thesis is to investigate an alternative method for processing safety data in aviation. The results suggest a potential future shared approach.

A Python-based user-friendly software pipeline has been developed, which seeks to be comprehensive and collective. The choice of the programming language has been motivated by the need for an efficient manipulation and an optimal elaboration of the input data. The process started with Excel spreadsheets containing Italian safety data, which were cleaned, organized, and then used as a benchmark for our analysis.

The selected topics are Safety Performance Indicators and Dangerous Goods, respectively. The former are parameters defined to measure safety performances and the latter are any hazardous substances that happen to occur in in-flight or in ground operations. Using input files provided by ENAC, several templates have been derived that give as an outcome the analysis embedded in the yearly ENAC Safety Report¹. In addition, the method allows to assess the accuracy of the analysis through warnings and validation, and it can be easily updated for incoming years. The presented results are twofold: tabular data results and graphical visualisations.

¹https://sites.google.com/enac.gov.it/enacsafetyreport/introduction?authuser=0

Abstract

Nell'ambito dell'aviazione civile la safety è considerata uno tra gli aspetti più essenziali da esaminare e migliorare periodicamente secondo le direttive delle autorità competenti. A livello europeo ogni stato utilizza le proprie metodologie nell'analizzare i dati riguardanti la safety, è dunque importante verificare e rinnovare i processi. Lo scopo di questa tesi è ricercare un metodo alternativo per processare i dati safety. I risultati ottenuti suggeriscono un potenziale approccio condiviso in futuro.

É stato sviluppato un software pipeline basato su Python, tale da essere intuitivo, generale ed esauriente. La scelta del linguaggio di programmazione è derivata dalla necessità di manipolare ed elaborare i dati di input in modo efficiente. Partendo da fogli di lavoro in Excel, in seguito ad una pulizia ed ad un'organizzazione dei dati a livello italiano, questi sono stati utilizzati come riferimento per la nostra analisi. Gli argomenti selezionati sono gli Safety Performance Indicators e i Dangerous Goods. I primi sono parametri utilizzati nella misurazione del livello di safety performance, mentre i secondi sono qualunque sostanza pericolosa segnalata durante operazioni in volo o a terra. Utilizzando come input i documenti forniti da ENAC, sono stati realizzati vari template tali da fornire come output l'analisi annuale presente nell'ENAC Safety Report². Il metodo, inoltre, permette di accertare l'accuratezza dell'analisi attraverso messaggi di errore e validazioni, oltre ad essere facilmente aggiornabile per i prossimi anni. I risultati proposti sono di duplice natura: in forma tabulare e in forma grafica.

²https://sites.google.com/enac.gov.it/enacsafetyreport/introduction?authuser=0

To the people I care the most

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Acronyms

AAR

Consolidated Annual Activity Report

ADREP

Accident/incident data reporting

ALoSP

Acceptable level of safety performance

ANSV

Agenzia nazionale per la sicurezza del volo

API

Application programming interface

ASR

Annual Safety Review

\mathbf{DG}

Dangerous Good(s)

Doc

Document

EASA

European Union Aviation Safety Agency

ECAC

European Civil Aviation Conference

eE-MOR

electronic ENAC - Mandatory Occurrence Reporting

ENAC

Italian Civil Aviation Agency

ICAO

International Civil Aviation Organisation

MOR

Mandatory Occurrences report

MST

Member State Tasks

PAS

European Plan for Aviation Safety

SMS

Safety management system(s)

SPAS

State Plan for Aviation Safety

SPD

Single Programming Document

\mathbf{SPI}

Safety performance indicator

SPT

Safety performance target

SRM

Safety risk management

SSP

State safety programme

UN

United Nations

Chapter 1

Introduction

1.1 General view on Safety

The concept of safety describes a state or a place where someone is safe and not in danger and it is a concern valued in many fields regarding everyday life. Working, travelling and living in a safer environment has became a fundamental topic to study, analyze and implement.

In civil aviation, safety is:

'the state in which risks associated with aviation activities, related to, or in direct support of the operation of aircraft, are reduced and controlled to an acceptable level' [1].

As aviation itself has been evolving through the years, also safety is a dynamic concept: since the early 1900s, different approaches have been implemented to continuously identify and mitigate new hazards and risks. In order to define an international standard to manage safety, since 2006 the International Civil Aviation Organization (ICAO) has been publishing periodically the document Safety management manual (Doc 9859)[1] and in November 2013 published the Annex 19, regarding Safety Management. This concept aims at directly addressing safety risks mitigation and accident/incident prevention and it supports a state managing its activities more accurately toward risks and more efficiently in term of resources. The implementation of these actions is made possible by two main tools: the State Safety Programme (SSP) and the Safety Management System (SMSs). The first one is a collection of regulations and activities performed by each state in order to improve safety, whereas the second one is a systematic approach to identify hazards, collect and analyze data and continuously manage safety risks, aiming at a better safety performance. The benefits of enforcing safety management can include strengthened safety culture, better understanding of safety-related interfaces and

relationship, enhanced early hazard detection, data-driven decision-making, and cost avoidance [1].

Particular emphasis should be given to the subject of safety culture. This topic is a key element to understand how safety is perceived, valued and prioritized by management and employees. Organisations with a positive safety culture are more likely to achieve their goals thanks to the collaboration of all stakeholders. A positive safety culture relies on a high degree of trust and respect between personnel and management, which is the foundation of an efficient safety reporting. As a matter of facts, the reporting system helps to gather data and information in order to detect existing and potential safety deficiencies and hazards [1].

Looking into ICAO definition of safety, it is important to understand how risk is reduced and controlled and what can be considered as an acceptable level. In particular, Safety Risk Management(SRM) is a continuous activity that follows the aviation evolution over time and includes hazard identification, safety risk assessment, safety risk mitigation, and risk acceptance. Hazards are inevitable components of aviation since they are considered as dormant potentials for harm, but they are not an issue as long as they are controlled and mitigated by understanding their consequences. The aim of hazard identification is to identify risks before they actually lead to dangerous events. This process can include reporting system, inspections, audits, brainstorming sessions, expert judgments and also reviews of internal and external investigation reports on accidents or incidents [1].

As far as defining safety risks, ICAO presents an indication of safety risk tolerability, which is based on the measurements of safety risk probability and safety risk severity. However, these are only guide-lines: every safety organisation adapts them to its specific needs and complexities. Safety risk probability is the likelihood of an occurrence to happen, whereas safety risk severity is the level of danger that can be expected from that occurrence.

The safety risk probability and severity may be categorized as shown in Table 1.1.

Likelihood	Severity
Frequent	Catastrophic
Occasional	Hazardous
Remote	Major
Improbable	Minor
Extremely improbable	Negligible

Table 1.1: Categories of safety risk probability and severity.

With the categories of Table 1.1, it is possible to build a matrix assigning to each

slot the acceptable level of safety. Safety risk tolerability can be divided into three levels as shown in Table 1.2 [1]:

- Intolerable (red), requiring to take immediate actions to mitigate the risk or stop the activity;
- Tolerable (orange), requiring to pay attention to the mitigation process;
- Acceptable (yellow), requiring no additional mitigation.

Probability/Severity	Catastrophic	Hazardous	Major	Minor	Negligible
Frequent					
Occasional					
Remote					
Improbable					
Extremely improba-					
ble					

Table 1.2: Safety risk tolerability.

With a look at safety risk mitigation, the purpose of the process is to reach an acceptable safety level through the application of appropriate controls. Such goal can be achieved by reducing the severity of the potential consequences, the probability of occurrences or the exposure to that safety risks. Usually the second option is more common to be applied. Mitigation actions often result in changes in operating procedures, equipment or infrastructures and can be categorized as:

- Avoidance, cancelling the operation;
- Reduction, reducing the frequency of the operation;
- Segregation, isolating the effects of the consequences of the risk.

In order to choose the appropriate mitigation alternative, different perspectives should be taken into account in the interests of finding an optimal solution. Therefore the decision should be postponed after examining effectiveness, cost/benefits, practicality, acceptability, enforceability, durability, residual safety risks, unintended consequences, and time [1].

Another fundamental process is the safety performance management, that helps to verify the effectiveness of implemented safety activities towards the objectives,

checking the functionality of SSPs and SMSs. Therefore, a set of Safety performance indicators (SPIs) need to be defined to measure performances and to understand whether the taken actions are adequate or further mitigation is required. They both describe the ongoing scenario and support decision-making. The choice of these parameters is based on the available data for each specific State. The safety objectives to pursue are:

- *Process-oriented*: stated in terms of safe behaviours expected from operational personnel or the performance of actions implemented by the organization to manage safety risk;
- Outcome-oriented: encompassing actions and trends regarding containment of accidents or operational losses.

SPIs generally can be divided into: quantitative, qualitative, lagging, and leading indicators. A quantitative indicator is expressed with a number or a rate, whereas a qualitative one is descriptive based on quality. Quantitative indicators can be counted and compared, so that they are a preferable choice over the qualitative indicators. Lagging indicators, also known as 'outcome-base SPIs', measure past events, so they allow to study the overall long-term efficiency. They can be classified into low probability/high severity and high probability/low severity. Leading SPIs are known as "activity or process SPIs" and they measure conditions that can potentially result in a specific outcome. For leading SPIs the focus is on inputs and procedures, in order to anticipate failures [1].

Additional parameters to set safety achievements are the *Safety performance targets* (*SPTs*), which define short-term and medium-term goals. Once SPIs are defined and data is analyzed, trends will stand out and indicate the direction along which the safety performances are steering, so the organisation can set reasonable and achievable SPTs for each SPI. This process should be periodically reviewed to guarantee that targets are pointed toward safety performance improvements [1].

The combination of SPIs and SPTs defines the Acceptable level of safety performance (ALoSP), which indicates the safety levels that a State demands for its aviation system. It represents what the State evaluates as significant in this matter and needs to be developed according to the safety objectives in the SSP. It includes targets for each sector and measures to determine the effectiveness of safety activities [1].

As an indication of the relation between SPIs, SPTs and ALoSP, Figure 1.1 is presented.

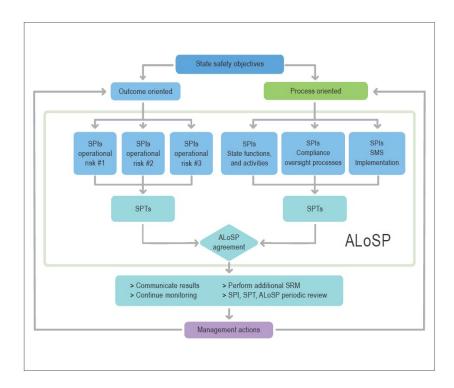


Figure 1.1: Acceptable level of safety performance (ALoSP). (Figure 8-4 from [1])

1.2 Organisations and safety programs

As aviation industry had grown in the last decades, regulations and controls became essential. Almost every country owns and flies aircraft around the globe, so it is important to manage and control the scenario and to avoid dangerous events. As a result, associations and agencies were founded to the purpose.

ICAO [2] is the International Civil Aviation Organization, found in 1944 at the Chicago Convention. It is formed by 193 national governments and it supports their diplomacy and cooperation in air transport in order to accomplish a sustainable growth of the global civil aviation system. Its mission includes developing policies and standards, undertaking compliance audits, performing studies and analyses, providing assistance, and building aviation capacity through many other activities and the cooperation of its Member States and stakeholders.

The organisation is committed to strengthen the aviation system by providing suggestions and solutions, that the Member States are encouraged to follow but they are not obligated.

ICAO collective objectives can be summarized as:

- Safety
- Air Navigation Capacity and Efficiency
- Security & Facilitation
- Economic Development of Air Transport
- Environmental Protection.

At European level, EASA [3] is the European Union Aviation Safety Agency, founded in 2002 and based in Cologne, Germany. The member states who collaborate are 27 from the European Union plus Switzerland, Norway, Iceland, and Liechtenstein. Its mission can be summarised as following:

- Ensure the highest common level of safety protection for EU citizens;
- Ensure the highest common level of environmental protection;
- Single regulatory and certification process among Member States;
- Facilitate the internal aviation single market and create a level playing field;
- Work with other international aviation organisations and regulators.

The agency works publishing periodically programs and reports, which contain documentations useful to the Member States. There are four key programming documents [4]: Single Programming Document (SPD), Consolidated Annual Activity Report (AAR), European Plan for Aviation Safety (EPAS), and Annual Safety Review (ASR). The first one contains the activities and the resources required to achieve a safer European Aviation Space. The second one reports how the annual work program, budget and staff resources have been implemented evaluating the results in term of objectives, performance indicators and timetable set, the risks associated with those activities, the use of resources and the general operations of the Agency, and the efficiency and effectiveness of the internal control systems. The third one is a key component of EASA's integrated Safety Management System (SMS) at the European level, it covers a five-years period and addresses three key-issues: systemic issues, operational issues and emerging issues. The last one consists in a report of the most common key risk areas and associated safety issues that lead to accidents in each of the different operational aviation domains.

In particular, the European Plan for Aviation Safety [5] provides a regional safety plan for the Member States at European level, including the strategic priorities,

strategic enablers, main risks affecting the aviation system and the necessary actions to mitigate those risks. The purpose of this document is to ensure that the system for the management of aviation safety in the EU delivers the highest level of safety performance, uniformly enjoyed across the whole Union, and continuing to improve over time, while taking into account other important objectives, such as environmental protection. It explains the functioning of the European aviation system to manage the safety of civil aviation in the EU in accordance with Regulation (EU) 2018/11393 ('Basic Regulation'). It describes the processes, roles and responsibilities of the different actors and lays down general principles for European safety management, including safety action planning. The document is divided in three volumes: Vol. I contains strategies and key-indicators, Vol. II contains the actions and Vol. III the "Safety Risk". The actions in Vol. II are divide in:

- Evaluation Tasks
- Memeber State Tasks
- Reasearch Tasks
- Rulemaking Tasks
- Safety Promotion Tasks.

In particular, the Member State Tasks (MST) are actions directly addressed to the members and have to be considered for their State Plan for Aviation Safety (SPAS).

ENAC [6] is the Italian Civil Aviation Authority, regarding technical regulation, certification, surveillance, and control. Its main activities includes ensuring safety during both in-flight and ground operations and security. It is also monitoring the observance of *The Passengers' Bill of Rights* and subcontracts airport national properties. Among its roles, it defines and recommends developing programs for the airport national system, ensures both operations in national air space and services of air navigation and implements policies against air and noise pollution. Lastly, it represents Italy at international level, collaborating with the major aviation organisations; for instance ICAO, ECAC, EASA, and EUROCONTROL.

As demanded by art.8 of *Regulation (EU) 2018/1139*, every EU member state is required to write a Safety Plan for Aviation Safety (SPAS), following EASA directions included in the EPAS and its timeline.

The aim of this document is reaching a higher level of safety performance through a constant improvement of institutional activities. The actions the state promotes to achieve the goals are based on the available national safety data. The safety performance is valued in terms of Safety Performance Indicators, which are compared

with the Safety Performance Targets. The measurements and analyses of these indicators are included in the Safety Report, which is published annually, according to art.13 of Regulation (EU) 376/2014 [7].

With reference to Regulation~(EU)~376/2014, there are no specific indications on what this report must include, therefore every state has the authority to decide on what and how to displays data and analyses. For this reason, the Safety Reports appear really different depending on the states that is considered and consultation could be challenging [8].

Chapter 2

Safety at Italian level

Each EU member states needs to provide an indication of the level of safety performance, defined by Safety Performance Indicators, as mentioned in section 1.2. It is mandatory to publish at least once a year the Safety Report, as required by art. 13 of Regulation (EU) no. 376/2014.

In order to comply with European and aviation requirements, ENAC had published Safety Reports in paper format until 2019, from 2020 the agency has implemented a Safety Report Portal¹ [9].

2.1 Safety Report Portal

An overview of the Safety Report Portal is important to understand how Italy evaluates safety at Italian level and what is identified as crucial to analyze. ENAC has implemented a portal to share the results of safety data analysis, that used to be included in the Safety Report in paper format. The change is based on the modern technological habits that encourage the use of a user-friendly Web page instead of a paper document.

The website contains information allocated in multiple sections. Some sections introduce descriptions and regulatory references and they are: Introduction, Why a Safety Portal?, Safety at Italian level, State Plan for Aviation Safety, and Occurrence Class. Some other sections contain data analyses, which differ from one another in term of considered years and outputs and they are Accident Rate, SPI-O, SPI-S, Dangerous Goods, Unruly Pax, and Clear Air Turbulence. Lastly, the final

¹From now on, as Safety Report Portal, it is intended ENAC Safety Report published on the related Web portal [9], whereas as Safety Report or Safety Review, it is intended the traditional paper document.

sections present informative material, and they are: Final remarks, Information Sources, Past Safety Report, and Useful links. From now on, the focus is on the conducted analyses.

In the section *Accident Rate*, ENAC reports a comparison of the accident rate registered in Italy, Europe and the entire world. The outputs are line plots and the timeline is from 2008 to 2020.

The *SPI* section reports the analysis of Safety Performance Indicators as they are described in the document 'Safety Performance Indicators' [10]. They are divided in the two categories: outcome oriented (O) and process oriented (S). The fifteen considered SPI-O are:

- Runway Excursions (RE)
- Runway Incursions (RI)
- Loss of Control in-Flight (LOC-I)
- TCAS Resolution Advisories (TCAS)
- Activation of TAWS (TAWS)
- Ramp events (RAMP)
- Collision while taxiing to or from a runway in use (GCOL)
- Fire or smoke on aircraft (F-IN)
- Laser beam interferences with flight operations (LASER)
- BRI (Bird Strike Index) (BIRD)
- Airspace Infringements (UPA)
- Separation Minimum Infringement number (SMI)
- Technical Failure (ATM Failure)
- Interferences of APR with manned aircraft during take-off or landing (APR Interfence).

Generally, they are displayed with three graphs: rate per year, occurrences per year and movements/flights per year in a timeline from 2015 to 2020, by bar and line plots. There are, however, some exceptions: BIRD and ATM failure present only the occurrences per year graph, UPA and SMI consider the occupancy time in the sky. Then LASER and RAMP reports additionally geographical references

and RI and TCAS consider further examinations. As a general example, Figure 2.1 displays the graphs relating to Runway Excursions.

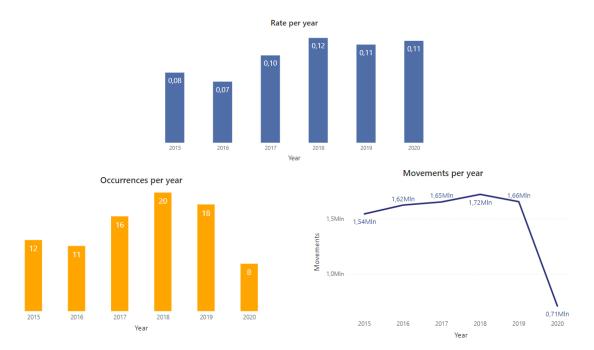


Figure 2.1: Data analysis on Runway Excursions (RE) from ENAC Safety Report Portal.

SPI-O are explained in details in section 3.2, as they are one of the subjects of this

Regarding the other category, the five considered SPI-S are: Inspections Performed, Occurrences reported by private pilots, Occurrences reported by APR pilot/operators, Training activities on aviation safety, and Reactivity index to Safety Recommendations issued by ANSV. In this case, the analysis is specific to each one of the them and it is more varied, but the most common visualization method is the bar plot.

The *Dangerous Goods* section displays information about hazardous goods reported at ENAC. This is one of the subject of this thesis and it is examined in depth in section 3.1. In the safety portal analysis, four different plot are addressed: Dangerous Good Undeclared, Event Type's role in DGORs², Classes' role in DGORs and Event Classification per Year; in a timeline from 2015 to 2020. The first chart represents a category of Event types and, as well as the fourth, it is a bar plot.

 $^{^2}$ The definitions of Event types and DG classes are addressed in section 3.3

Whereas the two middle charts are pie charts. Moreover the fourth plot represents the level of safety effects related to the DG reports. In particular, the second and the third plots will be fundamental to the development of this project, so they are presented in Figure 2.2 and Figure 2.3.

Then, *Unruly Pax* section considers passengers who do not respect one or multiple rules related to the airplane or the airport. The displayed plots are three: rate per year, occurrences per year and flights per year in a timeline from 2015 to 2020, by bar and line plots. This approach is similar to the one used in SPI-O section (see figure 2.1).

Lastly, in the *Clear Air Turbulence* section, it is presented the number of turbulence occurrences per year and the number of those with injury. Both the subjects are displayed as bar plots in a timeline from 2015 to 2020.

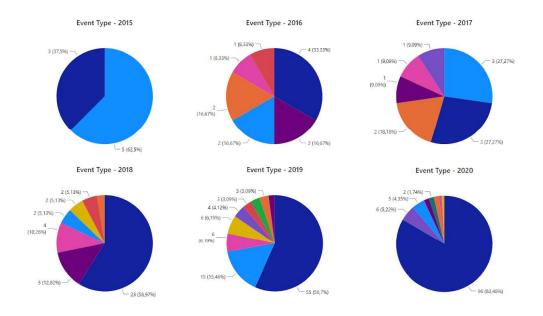


Figure 2.2: Event types analysis from ENAC Safety Portal.

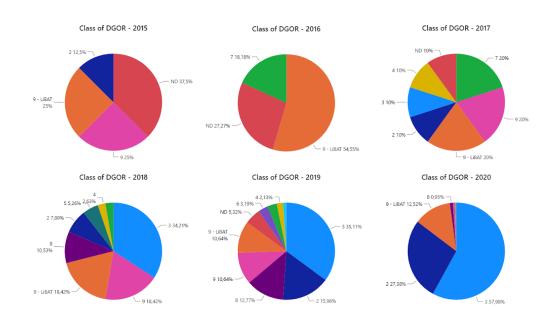


Figure 2.3: Dangerous Goods Classes analysis from ENAC Safety Portal.

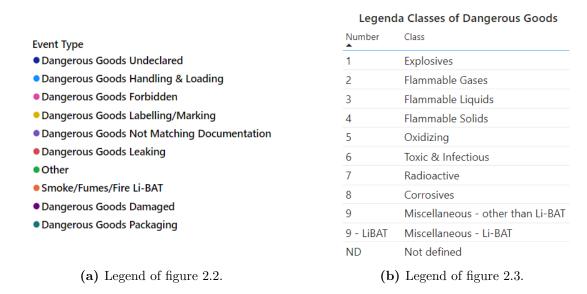


Figure 2.4: Legends of Dangerous Goods analysis in ENAC Safety Portal.

Chapter 3

Topics of analysis

The analyses developed in this thesis have two main focuses: Dangerous Goods and Safety Performance Indicators, which are two of the topics included in the ENAC Safety Report Portal. In this section, both these two topics are explained in-depth in the interests of understanding the decision-making process of the analyses, explained in chapter 4 and chapter 5, and the presented results. We provide general definitions and categorisations, and in addition a description of the current European scenario on DGs. Lastly, at the bottom of the chapter there is a brief summary on the Italian reporting system.

3.1 Dangerous Goods

3.1.1 General definition

Following ICAO definition, Dangerous goods are defined as

"articles or substances which are capable of posing a risk to health, safety, property or the environment and which are shown in the list of dangerous goods in the Technical Instructions or which are classified according to those Instructions" [11].

To guarantee safety at any level, DGs need to be listed and identified in order to be carried by air without placing an aircraft or its passengers at risk. The classifications and the recommended procedures are described in the *Technical Instruction for the Safe Transport of Dangerous Goods* (ICAO Doc 9284) [12] and revised periodically. Generally, DGs are divided into different classes or divisions according to the hazard they present. For instance some goods can be carried both in passengers and all-cargo aircraft following required conditions, but others are restricted to only all-cargo aircraft or with specific approval from the concerned

States, and some DGs are too dangerous to be carried on any aircraft.

In transporting, they need to be packed, marked and labelled to be easily recognized. In addition, the pilot-in-command needs to be informed on what is on board because in case of emergency DGs could be crucial when deciding on actions.

Considering packing and labelling, packages must be in good quality, strong enough to resist the transport and the related loads. They need to be closed in the interest of avoiding leakage. Shippers must follow packing instructions and evaluate the package conditions.

Every Dangerous Good (identified as so by ICAO) must be tagged with a danger class label for the specific hazard it entails. Labels are required to be fully visible firmly affixed to or printed on the package. Moreover, labels must resist open weather exposure without loss of effectiveness and they must follow precise design in term of colour, symbols and general format, for instance contrasting colour in the background, solid outer boundary line, etc [12].

There are two types of occurrences related to DGs: accident and incident. The first one is "an occurrence associated with and related to the transport of dangerous goods by air which results in fatal or serious injury to a person or major property or environmental damage" [12]. The second one is "an occurrence, other than a dangerous goods accident, associated with and related to the transport of dangerous goods by air, not necessarily occurring on board an aircraft, which results in injury to a person, property or environmental damage, fire, breakage, spillage, leakage of fluid or radiation or other evidence that the integrity of the packaging has not been maintained. Any occurrence relating to the transport of dangerous goods which seriously jeopardizes the aircraft or its occupants is also deemed to be a dangerous goods incident" [12].

Both DGs accidents and incidents have to be reported so the authority can determine the causes and take action to prevent a recurrence, whenever possible.

ICAO provides a table containing the list of all Dangerous Goods most commonly carried, it can not be considered exhaustive but it illustrates all dangerous substances of commercial importance. The above-mentioned table is named as 'Table 3-1. Dangerous Goods List' in the ICAO relating document. There are 13 columns, each of them with specific information on the DG considered. The notable columns for this thesis are the second one and the third one, called 'UN no.' and 'Class or division', respectively. The first column is called as 'Name' and indicates the proper alphabetically shipping name of the good, but it is not essential for following developments.

In order to show how the table is built, a row is presented as an example in Figure 3.1.

3-2-4												Part 3
									Passenger and cargo aircraft		Cargo aircraft only	
Name	UN No.		Sub- sidiary hazard	Labels	State varia- tions	Special provi- sions		Excepted quantity	Packing instruction	Max. net quantity per package	Packing instruction	Max. net quantity per package
1	2	3	4	5	6	7	8	9	10	11	12	13
Acetonitrile	1648	3		Liquid flammable			П	E2	353 Y341	5 L 1 L	364	60 L

Figure 3.1: An example of how Dangerous Goods list is presented by ICAO.[12]

3.1.2 Classification and coding

Classification

As a general rule, ICAO provides a classification of DGs in nine classes according to the hazard or the most predominant hazard they present. However the categorization is affected by state variations, as a matter of facts the appropriate national authority is required to define it.

A summary of the classes can be represented as following.

• Class 1: Explosives

Division 1.1: Substances and articles which have a mass explosion hazard

Division 1.2: Substances and articles which have a projection hazard but not a mass explosion hazard

Division 1.3: Substances and articles which have a fire hazard and either a minor blast hazard or a minor projection hazard or both, but not a mass explosion hazard

Division 1.4: Substances and articles which present no significant hazard

Division 1.5: Very insensitive substances which have a mass explosion hazard

Division 1.6: Extremely insensitive articles which do not have a mass explosion hazard

• Class 2: Gases

Division 2.1: Flammable gases

Division 2.2: Non-flammable, non-toxic gases

Division 2.3: Toxic gases

• Class 3: Flammable liquids

• Class 4: Flammable solids; substances liable to spontaneous combustion; substances which, on contact with water, emit flammable gases

Division 4.1: Flammable solids, self-reactive and related substances and desensitized explosives

Division 4.2: Substances liable to spontaneous combustion

Division 4.3: Substances which, in contact with water, emit flammable gases

• Class 5: Oxidizing substances and organic peroxides

Division 5.1: Oxidizing substances

Division 5.2: Organic peroxides

• Class 6: Toxic and infectious substances

Division 6.1: Toxic substances

Division 6.2: Infectious substances

• Class 7: Radioactive material

• Class 8: Corrosive substances

• Class 9: Miscellaneous dangerous substances and articles, including environmentally hazardous substances.

The considered order is not a representation of the degree of danger, which is furthered in the relating document. For each class, ICAO defines definitions, subdivisions, packing instructions and specific properties. To each considered good, the number of the class/division is assigned, as shown in the third columns of Figure 3.1.

Coding

Each Dangerous Good is identified by a serial number assigned to the article or substance under the United Nations classification system. This number is composed of four digit, as shown in Figure 3.1. There are, however, two exceptions: goods that do not have assigned a UN number are identified with a temporary identification number in the 8000 series and those that can be forbidden on aircraft under any circumstance are labelled as 'FORBIDDEN'.

3.1.3 European state of art

EU Member states have the authority to manage data on their reports as they consider more appropriate, so it is important to look into the current outline of the European states to understand if and how these states display their data and what can be identified as the preferable approach. In this comparison the research considers national safety reports from official websites and authorities. It must be noted that the analysis takes place on a qualitative level.

The countries that are going to be examined are: Austria, Belgium, Germany, Ireland, Italy, Netherlands, Norway, Poland and Spain. Some of them use their official language in their reports, so a common online translator is used to read the papers in English.

In the following investigation it will be clear that the scenario is really varied. Therefore there is not indeed a common way to analyze this topic. It is in this regard that our developed method may set the starting point for a future common approach.

Austria

On Austrian civil aviation authority website all safety reports since 2017 are available and there are some relating content. From 2018 to 2020 Dangerous Goods are included in the RAMP category, which considers Ground Handling activities. An example of the trend of reported events is showed in Figure 3.2, from 2019 report. Whereas, in the 2017 report no data is included [13].

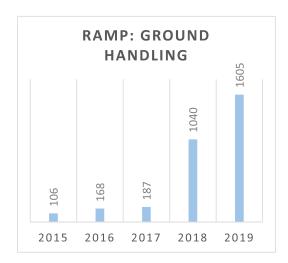


Figure 3.2: Reported events on RAMP from 2019 report in Austria.

Belgium

About Belgium aviation safety, there are available two safety plans: 2016-2020 and 2020-2024. In both documents, DGs are considered as a Safety Performance Indicator and there are explanations about actions/objectives on handling DGs, however only in the second one some data is presented [14][15]. In Figure 3.3 it is shown information of 2019 occurrences through percentages.

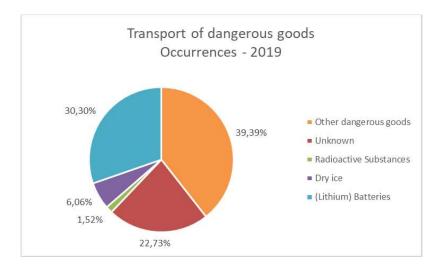


Figure 3.3: Occurrences related to the transport of Dangerous Goods reported by Belgian organizations.

Germany

The German civil aviation authority (LBA) publishes safety reports since 1995. Germany does a continuous monitoring on the topic including its trend throughout the years. In particular, since 2006 all air carriers and airports have additionally been reporting all dangerous goods incidents/accidents to the LBA [16]. Figure 3.4 represents the trend from 2000 to 2018; only in 2017-2019 report it is displayed as a graph, whereas in the other reports only by tabular data.

Ireland

Consulting safety reviews from 2016 to 2020, Irish authority takes into account DGs only in some years. In particular, in 2016 and 2017 DGs are considered individually, whereas in 2019 and 2020 there is no evident specification. Figure 3.5 represents one of the graphs included in 2016 and 2017 reports, it shows how many occurrences correspond to DGs. A second graph is added which represent a summary of MOR reports in a 4-years period for the Irish AOC holders [17, 18, 19].

An das LBA gemeldete Gefahrgutzwischenfälle

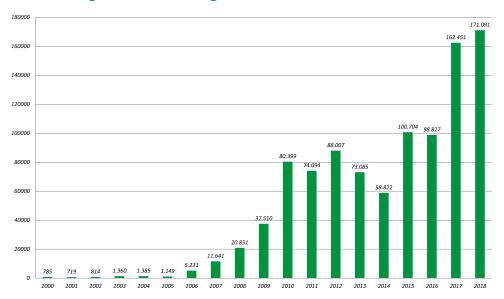


Figure 3.4: Hazardous goods incidents reported to the LBA in Germany.

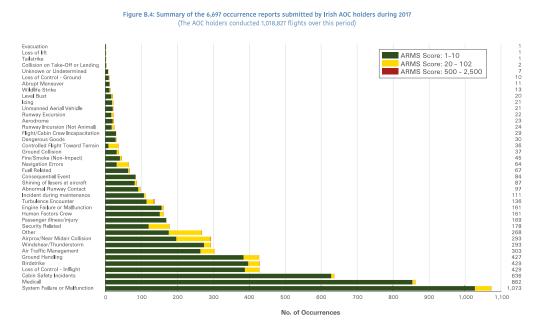


Figure 3.5: Summary of the 6,697 occurrence reports submitted by Irish AOC holders during 2017. (DGs on the 16^{th} row)

Italy

In the current Italian scenario, safety reports refer to DGs only in 2014-2015, whereas there are no data available from 2016 to 2019. However in 2020 a Safety Portal has been created where data from 2015 is displayed [20, 21, 22][9]. There are different reported data, in particular the analysis considers the topic in terms of Event types and DG classes.

For additional details, consult chapter 2.

Netherlands

On the Dutch website it is presented a dashboard, showing safety indicators, including DGs. The timeline considered is between 2017 and 2021 and the reported occurrences are divided in notifications about batteries, leakage notifications and other, as showed in the Figure 3.6.

It is noticeable that the dashboard contains several filters, to show information separately in terms of subtopic, type of aviation and location [23].

Gevaarlijke goederen

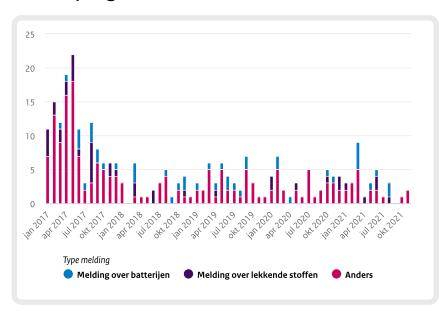


Figure 3.6: Dangerous Goods reported in the Netherlands.

Norway

The only document available is the 2019 safety review and it does reference to DGs. Norwegian civil aviation authority presents the trend on DG reporting occurrences

from 2012 to 2019, as shown in Figure 3.7 [24].

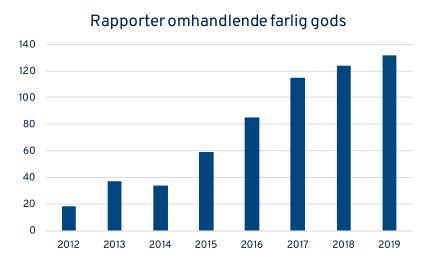


Figure 3.7: Reports on Dangerous Goods in Norway from 2019 report.

Poland

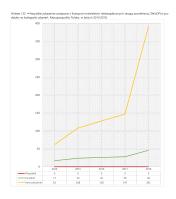
Safety reviews of 2017 and 2018 are available on the official website and Poland analyzes DGs and their trends. Dangerous goods are considered as an additional Safety Level Indicator.

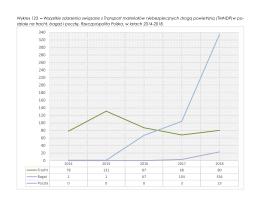
Looking at the Figure 3.8 from 2018 report, it is clear that both graphical and tabular data are presented, however in 2017 report only tabular data can be found. In particular the Figure 3.8a refers to occurrences, divided in incident, accident and other, whereas the Figure 3.8b refers to type of events, such as freight, luggage and mail. There are also two more graphs included in the report presenting incidents in terms of passengers only, and cargo only plus mail [25] [26].

Spain

On the website only reports from 2015, 2016 and 2017 are available. The Spanish authority considers DGs included in the Handling category and it analyses event rate divided in categories and specifically by types, as shown in Figure 3.9. In 2016 report it is reported data from 2015 and 2016, whereas in 2015 report from 2014 and 2015 [27][28].

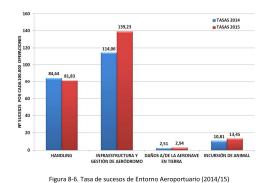
In the 2017 report the handling category is not included [29].

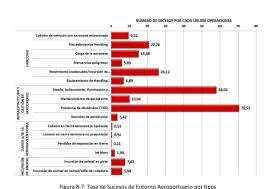




- (a) All incidents related to Transport of Dangerous Goods by air, broken down by event categories, Republic of Poland, in 2014-2018.
- (b) All events related to Transport of Dangerous Goods by air, broken down into freight, luggage and mail, the Republic of Poland, in 2014-2018.

Figure 3.8: Reports on Dangerous Goods in Poland from 2018 report.





- (a) Airport Environment event rate (2015/16).
- (b) Airport Environment Event Rate by type.

Figure 3.9: Reports on Dangerous Goods in Spain from 2016 report.

Other cases

In Europe, there are some states that do not report any information about Dangerous Goods. There are also some others that do not make available to the public theirs Safety Report. Further investigations should take place, if interested.

3.2 Safety Performance Indicators

Safety Performance Indicators are parameters that help the aviation authorities measuring the characteristic level of safety performance of a state. They are chosen depending on the safety data available to be analyzed and they need to illustrate the safety national objectives.

Each SPIs should include:

- a description of what it measures;
- its purpose;
- the units of measurement and any requirements for its calculation;
- the person in change for collecting, validating, monitoring, reporting and acting on the SPI;
- where or how the data should be collected;
- the frequency of reporting, collecting, monitoring and analysis of the SPI data [1].

Regarding safety at Italian level, ENAC has drawn up the recommended SPIs in the document *Safety Performance Indicators* [10]. A work group identified them and ENAC Safety Board evaluated and validated them.

SPIs are divided in two categories:

- Outcome oriented. They derive from the measurement of events that could be the precursors of "undesired events" (accident or serious incident) and normally they are measured considering mandatory reports received in the eE-MOR system. These indicators have been chosen taking into account types of events which are particularly relevant in all the domains of civil aviation: Aerodrome, Air Traffic Control, Airworthiness, Operations and UAS.
- Process oriented. They derive from the most typical processes of the Civil Aviation Authority and plan to measure the effectiveness of ENAC activities trying to ensure the highest possible level of safety of the aeronautical operations [10].

ENAC provides two tables containing the required information for each SPI. Since the focus of this thesis is on SPI-outcome oriented, Table 3.1 illustrates them as displayed in the related document. The first column indicates the specific code of the considered SPI; the second its extended name; the third a short description of what it defines; the last one indicates the sources of the data (eE-MOR system, ENAC or EUROCONTROL).

Table 3.1: ENAC summary table on Outcome oriented SPI. (Tabella 1 – Indicatori operativi from [10])

Codice	Safety Performance Indicator (SPI)	Descrizione dell'indicatore	Origine dei dati
SPI-O-01	RE - Rateo di Runway Excursions	Number, every 10.000 movements, of occurrences involving a veer off or overrun off the runway surface	Sistema eE-MOR / Movimenti aeroportu- ali (fonte ENAC)
SPI-O-02	RI - Rateo di Runway Incursions	Number, every 10.000 movements, of occurrences involving the incorrect presence of an aircraft, vehicle or person on the protected area of a surface designated for the landing and take-off of aircraft	Sistema eE-MOR / Movimenti aeroportu- ali (fonte ENAC)
SPI-O-03	LOC-I - Rateo di casi di perdita di controllo in volo	Number, every 10.000 flights, of occurrences with loss of aircraft control while, or deviation from intended flight path, in flight	Sistema eE- MOR / Nu- meri di voli (fonte Eu- rocontrol)
SPI-O-04	TCAS – Rateo di Resolution Advisories (RA)	Number, every 10.000 flights, of TCAS Resolution Advisories following a TCAS activation	Sistema eE-MOR / Numero di voli (fonte Eurocon- trol)

SPI-O-06	TAWS – Rateo di attivazione del TAWS	Number, every 10.000 flights, of Terrain and Avoidance Warning System activations	Sistema eE-MOR / Numero di voli (fonte Eurocon- trol)
SPI-O-07	RAMP — Rateo di eventi di rampa (Ra- teo di eventi di rampa nei quali ci sia stato l'urto di un mezzo e/o di un'apparecchiatura con un aeromobile fermo al parcheggio)	Number of occurrences, every 10.000 movements, where a collision occurred while servicing, boarding, loading, and deplaning the aircraft	Sistema eE-MOR / Movimenti aeroportu- ali (fonte ENAC)
SPI-O-08	GCOL – Rateo di collisioni a terra (Rateo di collisioni, di mancate collisioni o conflitti di traffico che coinvolgano veicoli/mezzi ed aeromobili)	Number of occurrences, every 10.000 movements, where an aircraft comes into contact with another aircraft, a vehicle, a person, a structure, a building or any other obstacle while moving under its own power in any part of the airport other than the active runway, excluding power pushback	Sistema eE-MOR / Movimenti aeroportu- ali (fonte ENAC)
SPI-O-09	F-NI - Rateo di eventi classificabili come "Fire or smoke on aircraft"	Number, every 10.000 flights, where fire or smoke was detected on an aircraft, in flight, or on the ground	Sistema eE-MOR / Numero di voli (fonte Eurocon- trol)
SPI-O-10	LASER - Rateo di interferenze di raggi laser con aeromobili durante le operazioni di volo	Number of occurrences, every 10.000 movements, in which a laser beam interfered with the flight operations of an aircraft taking off or landing	Sistema eE-MOR / Movimenti aeroportu- ali (fonte ENAC)

SPI-O-11	BIRD - Rateo di Bird/Wildlife Strikes (BRI - Bird Strike Index)	Si veda Circolare APT-01 (ultima revisione)	Sistema eE-MOR / Movimenti aeroportu- ali (fonte ENAC)
SPI-O-12	UPA - violazioni (airspace infringe- ments) di spazi aerei controllati	Number of airspace infringements that occur when an aircraft enters notified airspace without previously requesting and obtaining clearance from the ATC or enters the airspace under conditions that were not contained in the clearance	Sistema eE- MOR
SPI-O-13	SMI - Separation Minimum Infringements	Numbers of occurrences, every 10000 flight hours, where prescribed separation between aircraft minima was not maintained	Sistema eE- MOR
SPI-O-14	ATM Failures - Numero di avarie gravi nei settori terminali ATM	Number of serious technical failures affecting the safe provision of air traffic services	Sistema eE- MOR
SPI-O-15	APR Interferences - Numero di interferenze di APR con aeromobili pilotati durante le fasi di decollo e/o atterrag- gio	Number of occurrences where an APR interferes with the flight of a manned aircraft during take-off or landing	Sistema eE- MOR

3.3 ENAC reporting system

Hazard identification and prevention are built on the effectiveness of the authority reporting system. The reported safety data and information are the foundation of safety analyses and investigations. ICAO describes in the *Safety Management Manual* [1] what is intended as a successful reporting system. Specifically, a reporting system is based on the reciprocal and continuous exchange of information between organizations and individuals and the protection of those information and the related sources is fundamental to maintain the operator's trust in the system.

The operator is more likely to report hazards and errors when protected and treated in a fair and consistent manner guaranteeing continuity of information.

Until January 1st, 2022¹, ENAC had been using the eE-MOR system in reporting. The acronym eE-MOR stands for *electronic ENAC* - *Mandatory Occurrence Reporting*, and it consists of the combination of WebDAS interface and ECCAIRS 5 software to manage the reporting dataset at European level [31]. The interface allows the users to enter the data in the system. The software is provided by the Joint Research Center of the European Commission [32]; the center supports EU policies with independent scientific evidence throughout the whole policy cycle [33]. Inside the software, the definitions of the reported events follow ICAO guidelines defined in the ADREP Taxonomy, which is a compilation of attributes and the related values [32][34].

Considering European and Italian regulations on reporting, Regulation (EU) No 376/2014 handles reporting, analysis and follow-up of occurrences in civil aviation. In addition to general instructions and safety manners, it defines what is considered as Mandatory reporting (art. 4) and how it is required to be done. In particular, an occurrence is defined as "any safety-related event which endangers or which, if not corrected or addressed, could endanger an aircraft, its occupants or any other person and includes in particular an accident or serious incident". Specifically, the Regulation (EU) 2015/1018 lays down a list classifying occurrences in civil aviation to be mandatorily reported according to Regulation (EU) No 376/2014. When an occurrence happens, the authorized personnel must report the event to the related organization, who has 72 hours to inform the competent authority [35]. All ENAC reports are performed through this system.

Regulation (EU) No 376/2014 has been revised in 2020 with the publication of Regulation (EU) 2020/2034, that will enter in service in 2023.

As far as Dangerous Goods reporting is concerned, during Chicago Convention in 1944 ICAO released Annex 18, named 'The Safe Transport of Dangerous Goods by Air', which represents the international standard; it was followed by the Doc 9284 presenting specific transporting instructions. In 1948 Italy subscribed to the ICAO Annexes and from 1997 ENAC has been in charge of providing technical regulations in Civil Aviation at Italian level, according to Decreto Legislativo n. 250. Since 2008, following Regulation (EC) No 216/2008 European standards have been EASA responsibilities, in order to establish and maintain a high uniform level

¹From January 2022, ENAC has started using ECCAIRS 2 system in reporting, but this change is not part of this thesis [30]. Dangerous Goods are not subjected to this change.

of civil aviation safety in Europe [36].

Details for the following analysis

In order to understand the following analysis on Dangerous Goods, it is important to define two terms which are used in ENAC reporting system. The first one is Event type, which means the general happening while an occurrence arises; for instance when the package of DG is not compliant to the packaging rules, the corresponding event type may be 'Dangerous Goods Labelling/Marking'. The second one is Dangerous Goods type, which indicates the kind of substance that is discovered, and its definition follows ICAO indications. These two terms are the two main topics on which the data analysis is based.

The term *Event type* is relevant also to the SPI analysis.

In addition, in the definitions of SPIs in section 3.2, there are three terms that need to be defined because they are involved in the following analysis on this topic. The first one is movement, which means "an aircraft take-off or landing at an airport; for airport traffic purposes one arrival and one departure is counted as two movements" [37]. The second one is (domestic) flights, which indicate "all flights of national or foreign aircraft in which all the airports are located in the territory of the same State; in both cases the flight shall be considered as the operation of an aircraft on a stage or number of stages with an unchanging flight number" [37]. The third one is occupancy duration/flight hours, which illustrates the occupancy time in the sky [9].

Chapter 4

Python-based Pipeline: Dangerous Goods

The development and the results of the Python-base pipeline are the core of this project. In this chapter and in the following one, every step of the building process is described highlighting the issues, the reasons behind specific decisions and the advantages of this approach. The theme here is the analysis on Dangerous Goods, divided in Event types analysis and DGs types analysis. The process starts from data-sets provided by ENAC, proceeds with data evaluation and ends with tabular and graphical results. In Figure 4.1, a flowchart is presented to illustrate the logical process of the analysis.

4.1 Python

The programming language that has been used to carry out the analysis is Python 3. Python is "an interpreted, object-oriented, high-level programming language with dynamic semantics. Its high-level built in data structures, combined with dynamic typing and dynamic binding, make it very attractive for Rapid Application Development, as well as for use as a scripting or glue language to connect existing components together" [38].

It is known for its simplicity and appeal, since the focus is on readability. This reverberates on a less steep learning curve (compared to other programming languages) and in lower cost of maintenance, in term of overall resources required. Moreover, Python is open source, therefore all the tools necessary to our analysis are available to everybody for free. It also supports the use of custom made modules, which inherently allows a modular code design and makes easier to reuse and readapt already existing code to new purposes.

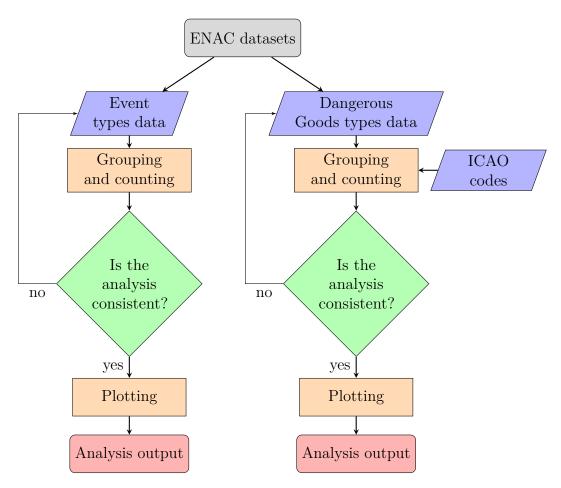


Figure 4.1: Flowchart of the Dangerous Goods analysis.

Traditionally in aviation the most used tool is Microsoft Excel (MS Excel) because of it is widely employed and easy to use for basic tasks. MS Excel is a spreadsheet-based software developed by Microsoft that offers calculation and graphing tools, pivot tables, and a macro programming language called Visual Basic for Applications (VBA)[39]. Although MS Excel is appealing for its advantages in terms of simplicity of use, a more versatile tool like Python offers more opportunities both in term of quality and performance. So Python has been chosen for this thesis, with the explicit goal to provide a different and improved approach to the current state of safety aviation data analysis.

In this thesis we mainly used the following Python software libraries: *NumPy* [40], *pandas* [41], *matplotlib* [42] and *seaborn* [43]. NumPy is a scientific computing package, offering a fast and versatile arrays computation and a wide range of numerical tools; Pandas is a package specifically designed to work with tabular data;

Matplotlib is a library for creating static, animated, and interactive visualizations, it allows the user to create quality plots and interactive figures but also to customize them entirely and export them. Lastly, Seaborn is a data visualization library well integrate into the NumPy and Matplotlib environment that provides a high-level interface for drawing attractive and informative statistical graphics.

The base of this work is a Python script, run through Jupyter Notebook by Anaconda, which contains all the necessary steps to run the data analysis. The notebook allows the user to create and edit documents that display cell by cell the input and output of Python scripts. Hence the final product of the project is a Jupyter notebook file with .ipynb extension.

4.2 Input data

The input files are provided by ENAC as Excel files. These files are the collection of all the reports and are subordinated to a manual intervention done by agency's operators. Any manually changes or adjustments are not subjects of this project, in fact the actual starting point is the Excel files obtained following ENAC supervision. Input files can be divided in a general file and some attached files. The first one contains all general data in terms of Event types and Dangerous Goods types, whereas the attached files concern multiple reports of DG types, that will be explained later. All input data is included in a folder, named 'Dangerous_Goods', which itself contains two folders containing the attached files, named '2020' and '2021', respectively. In order to simplify the analysis and the logical process behind, the analysis is divided in two sectors: Event types and Dangerous Goods types (see section 3.3), therefore also the explanation process is divided just the same.

4.2.1 Excel Files

For both the considered sectors, we are presenting how the Excel files are assembled and which kind of data we are dealing with. First of all, the available time period is from 2014 to 2021. In the general file, a sheet is assigned to each year. Over the course of the years the reporting system has experienced changes and improvements, thus the data has also experienced different frameworks. With that in mind, it is easy to identify two scenarios: from 2014 to 2019 and from 2020 to 2021. In the first six-years period data is minimal, no attached files exist and the quantity of reports is limited, whereas in the last two-years period data is more complex and extended and attached files need to be taken into account.

In this project we are handling just the minimum amount of data required to conduct the analysis due to data classification restriction, which is not subject of this thesis.

In the interest of understanding fully the input data, an example for each scenario is presented in Figures 4.2 and 4.3. In addition, in Figure 4.4 an example of attached files data is given.

Source	UTC date	Dangerous Good	Event Type
[452] ✓	[477] ▼	[688] ▼	[390] ✓
0000	dd/mm/yyyy	1845 - Carbon dioxide, solid	Dangerous Goods Handling and Loading Return to Stand

Figure 4.2: Example of input data for the time period 2014-2019. ('Source' and 'UTC date' are classified and indicated generally.)

Sourc [452	UTC date [477] <mark>▼</mark>	Dangerous Good [688]	Event Type [390]	count
0000	dd/mm/yyyy	3480 - Lithium ion batteries 3481 - Lithium ion batteries contained in equipment	Dangerous Goods Handling and Loading	1

Figure 4.3: Example of input data for the time period 2020-2021. ('Source' and 'UTC date' are classified and indicated generally.)

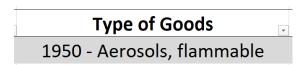


Figure 4.4: Example of input data in the attached files.

Event types

The data regarding Event types is defined in both figures 4.2 and 4.3 as 'Event Type [390]' and only that column will be necessary to be proceeded.

Dangerous Goods types

In this part of the analysis data interconnections may be complex. First of all, the considered columns in Figures 4.2 and 4.3 are 'Source', 'Dangerous Good [688]' and 'count', and also figure 4.4 is taken into account (only for 2020 and 2021). In particular, the first column represents the identification number of that report and the third column represents the number of DG types.

For the period 2014-2019, we extract the information from the general file according to the mentioned columns. For the years 2020 and 2021, when the 'count' column contains a number equal to 1, the involved DG types data is included only in the 'Dangerous Goods [688]' column. On the other hand, when the 'count' column contains a number higher than 1, the involved DG types data is included in an attached file whose name is defined in the 'Source' column. Lastly, when the 'count' column is equal to 0 the report is not valid, so it does not contribute to the analysis¹.

4.2.2 Reading files

In order to use the data, it is necessary to read the Excel files and save the information. An efficient way to do that is to implement *pandas* reading commands². We provide an example used in the code to save 2014 data in a DataFrame³, accessing the general Excel file:

```
data_2014=pd.read_excel('Dangerous_Goods/DG_2021.xlsx',
sheet_name=years[0])
```

The command is defined by many parameters, whose that can be read above are the source file with its directory and the specific Excel sheet to consider. For each year (from 2014 to 2021) a different DataFrame is created (they will be identified as the 'original DataFrames' later). The resulting output is a sort of a table, that can be accessed by indexes and labels for rows and columns. This is really useful when extracting specific part of the DataFrame is required, as a matter of facts when we proceed with Event types analysis and DGs types analysis we define new DataFrames including just the necessary columns from the original data-sets.

The method for reading the attached files is the same, however the reading process

¹The reason why some reports are not valid needs to be addressed to ENAC.

²Ref. to code lines 18-25 in Appendix A.

³A DataFrame is a two-dimensional pandas structure containing labeled axes (rows and columns) [44].

is dynamic and it will be describe in section 4.4.1.

In reference to the code, it is shown how data is extracted for the different analyses⁴. In particular, Event types analysis needs column number 7 (titled 'Event Type [390]') of the original DataFrames, whereas DGs types analysis needs columns number 0 (titled 'Source'), 6 (titled 'Dangerous Goods [688]') and 8 (titled 'count')⁵.

Ultimately, it is important to underline that both the general file and the attached files contain empty columns due to data restrictions, even though in the analysis this detail has been considered in order to generalize the study, in Figures 4.2, 4.3 and 4.4 it is not shown.

4.3 Event types

The process behind Event types analysis starts with the identification of all the Event types categories that can be used in reporting, then it follows with the counting of them in the different years and it ends with the grouping into specific macro-groups.

The first part consists in reading the specific column for every year and append them together in order to create a list of every present item without the need to insert them manually⁶. At this point, it is important to clear this list deleting duplicates and removing special characters. In addition, it can happen that in the Excel file more than one item is written in a single cell, so it is fundamental to split them so they can be counted individually. The used command is pandas Series.str.split('\n'). It allows the user to divide words that are linked with the specified string in brackets, which in this case represents the Enter command. Even though this issue can be solved easily, it can be problematic when checking if the total number of event types extracted is equal to the total number of event types reported, this matter will be addressed in section 4.3.2.

Now, using the chain command from *itertools* package, we are able to create a list and then we transform it in a *pandas* Series. In addition, after gathering those multiple types individually, it is required to clean further the data, deleting new duplicates.

As a result, the list of every Event types used in reporting is:

⁴Ref. code lines 37-41 and 377-415 in the Appendix A.

⁵DataFrame's indexes start as 0,1,...

⁶Ref. code lines 45-50 in Appendix A.

- Action Performed Incorrectly
- Aerodrome Emergency or Fire Services Deployed
- Baggage Non-Compliant Carriage of Load
- Baggage Security Check
- Cargo Labelling/Marking
- Crew Door Fails to Open/Close
- Dangerous Goods Damaged
- Dangerous Goods Exceeds Storage Compartment Limitations
- Dangerous Goods Forbidden
- Dangerous Goods Handling and Loading
- Dangerous Goods Labelling/Marking
- Dangerous Goods Leaking
- Dangerous Goods Load Weighting
- Dangerous Goods Loading/Unloading
- Dangerous Goods Not Matching Documentation
- Dangerous Goods Not Recorded
- Dangerous Goods Packaging
- Dangerous Goods Security Check
- Dangerous Goods Undeclared
- Dangerous Goods Unsecure without Shift
- External Load Release
- Fire Lithium Battery
- Fumes Passenger Baggage
- Helicopter RPM Exceedance
- Lack of Communication

- Passenger Carry-On Baggage
- Qualifications
- Return to Stand
- Smell PEDs
- Smoke Lithium Battery
- Smoke PEDs
- Smoke or Fumes in Aircraft
- Use of Emergency Equipment.

At this moment, we can proceed with the second part of the analysis⁷. It is necessary to count how many times each event type in the above list can be found in each considered year using the *pandas* Series.value_counts(). In particular, for years 2020 and 2021 we have to make sure to exclude those reports with 'count' equal to 0. So it is possible to create a table with event types as rows and years as columns, as can be found in the code's outputs.

	Years
Event types	(counts)

Table 4.1: Table model of Event types counting output.

Before proceeding, we have to motivate the operation placed at line 27 in Appendix A. During the development process it was found out that in 2014 data the description of the event type 'Dangerous Goods Leaking' was in fact 'Dangerous Goods Leaking related event'. This discrepancy interfered with the process explaining in this section, because it looked like a different event type. Since this problem was registered only in this case, we decided to change the single datum, in order to make the code works and the analysis accurate.

4.3.1 Grouping

It is clear that studying such a long list could be chaotic, so a good way to reduce the amount of items is to group them together following a certain rule⁸. In this

⁷Ref. code lines 54-72 in Appendix A

⁸Ref. code lines 77-136 in Appendix A.

specific case, the macro-groups have already been defined by a previous examination by ENAC, so we are going to list them and identify the grouping method. The macro-categories can be listed as:

- Dangerous Goods Undeclared
- Dangerous Goods Forbidden
- Dangerous Goods Labelling/Marking
- Dangerous Goods Leaking
- Dangerous Goods Damaged
- Dangerous Goods Packaging
- Dangerous Goods Handling and Loading
- Dangerous Goods Not Matching Documentation
- Smoke/Fumes/Fire Li-BAT
- Other.

Some items, such as Dangerous Goods Undeclared, Dangerous Goods Forbidden, Dangerous Goods Labelling/Marking, Dangerous Goods Leaking Dangerous Goods Damaged, Dangerous Goods Packaging, are the same categories as considered before, whereas the other items are a combination of more than one event type. Dangerous Goods Handling and Loading item contains:

- Dangerous Goods Handling and Loading
- Dangerous Goods Loading/Unloading
- Dangerous Goods Load Weighting
- Dangerous Goods Exceeds Storage Compartment Limitations
- Dangerous Goods Unsecure without Shift.

Dangerous Goods Not Matching Documentation item contains:

- Dangerous Goods Not Matching Documentation
- Dangerous Goods Not Recorded.

Smoke/Fumes/Fire Li-BAT item includes every event type which contains the words 'Smoke', 'Battery', 'Fumes' or 'Smell' and lastly *Other* item contains every other event type not mentioned above.

Particular emphasis should be made for the last item, *Other*, because it contains many different event types that sometimes are not consistent with the analysis. It can happen that, in reporting, operators do not know exactly the correct type to use, so a certain amount of event types should be removed in the interest of coherence. In this project, ENAC identified those that are not suitable: Action Performed Incorrectly, Baggage Non-Compliant Carriage of Load, Baggage Non-Compliant Carriage of Load, Baggage Security Check, Cargo Labelling/Marking, Crew Door Fails to Open/Close, External Load - Release', 'Helicopter RPM Exceedance, Lack of Communication, Passenger Carry-On Baggage, Qualifications, Return to Stand, Use of Emergency Equipment.

After due consideration, it is possible to obtain a comprehensive table where for each year the total counts of each macro-group is presented, as shown in Table 4.2.

4.3.2 Checks and validation

Data analysis results could look correct at first glance but they may hide some errors that can be prevented implementing some checks⁹. In this case, the control aims at comparing the total amount of event types extracted and the total amount of event types in the Excel file for each considered year.

The first one can be easily calculated summing each column of the general Table 4.1, whereas the second needs several steps. Firstly, we check the length of the Excel file for each year measuring the length of the original DataFrames, so we get the total number of reports (number of rows), but it can happen that more than one event type is written in a single cell. An example is provided in Figure 4.5.

So, secondly, we have to calculate the additional event types that are in single cells. To do that, we check every row in the original DataFrames and count how many times the *Enter* command is present. When breaking lines, Python registers \n , so if in that row there is one it means there are two event types together; if there are two it means there are three event types, and so on. However, sometimes if, in the Excel file, the *Enter* command had been used twice consecutively, Python registers \n , so we need to verify that reading two \n means either three event types or two event types.

⁹Ref. code lines 141-196 in Appendix A.

	2014	2015	2016	2017	2018	2019	2020	2021
Dangerous Goods Undeclared	2	3	4	3	23	55	96	255
Dangerous Goods Forbidden	1	0	1	1	4	6	1	0
Dangerous Goods Labelling/- Marking	0	0	0	0	2	6	1	5
Dangerous Goods Leaking	1	0	1	0	2	3	0	2
Dangerous Goods Damaged	0	0	2	1	5	2	2	0
Dangerous Goods Packaging	0	0	0	0	0	0	2	2
Dangerous Goods Handling and Loading	0	5	2	3	2	15	5	5
Dangerous Goods Not Matching Doc- umentation	1	0	0	1	0	4	6	0
Smoke-Fumes- Fire Li-BAT	0	0	2	2	1	3	2	2
Other	0	0	0	0	0	3	0	0
Total	5	8	12	11	39	97	115	271

Table 4.2: Resulting table of Event types analysis.

Source	UTC date	Dangerous Good	Event Type
[452]	[477] ▽	[688] -	[390] <mark>▼</mark>
0000	dd/mm/yyyy	1415 - Lithium	Dangerous Goods Loading/Unloading Dangerous Goods Undeclared Smoke - Lithium Battery Fumes - Passenger Baggage

Figure 4.5: Example of multiple Event types in a single Excel cell. ('Source' and 'UTC date' are classified and indicated generally.)

The script includes some cases, however if a different multiple event types arrangement happens, Python provides a warning: 'Check the count!'.

The counting validation can be done comparing the sum of the total reports with the additional event types and the total extracted amount. If these two numbers do not correspond, the code will provide a warning: 'Missing data!'; in this scenario the user needs to take action. Additionally, as Figure 4.6 is showing, the code provides a yearly report of the numbers previously calculated to inform the user.

```
Total number of events in 2019: 103
Total number of reports in 2019: 87
Total additional event types: 16
2 event type: 6
3 event type: 1
4 event type: 1
5 event type: 0
6 event type: 1
```

Figure 4.6: Python report for 2019 on analysis validation.

In reference to Figure 4.6, the third line correspond to the linear combination of the rows below. In this specific case: Total additional event types = (2 event type)*1 + (3 event type)*2 + (4 event type)*3 + (5 event type)*4 + (6 event type)*5.

4.4 Dangerous Goods types

The Dangerous Goods types analysis requires a different approach than the one used for Event types analysis, because the data is distributed differently and it demands an alternative manipulation. At first, we tried to implement a similar method listing all the individual DG types used in reporting. However this method was not beneficial because, in reporting, the way DG types are defined is not unique. To each UN code can correspond multiple descriptions, as shown in Figure 4.7. As you can see, the opening numerical part is the same, but the following description has variations, so these two DG types look different but they are exactly the same.

Source [452] ▼	UTC date [477] <mark>▼</mark>	Dangerous Good [688]	Event Type [390]
0000	dd/mm/yyyy	3481 - Lithium ion batteries contained in equipment	Dangerous Goods Forbidden
0000	dd/mm/yyyy	3481 - Lithium ion batteries packed with equipment	Dangerous Goods Labelling/Marking

Figure 4.7: Example of different descriptions for the same DG type from 2019 data. ('Source' and 'UTC date' are classified and indicated generally.)

With this in mind, the new approach plans to exclude the descriptions and analyze the data relying just on the UN codes. Unfortunately, there are some exceptions that emerge during the analysis: not every report indicates the UN code, sometimes there can be the class number or no numbers at all. In Figure 4.8 some examples are displayed. These cases need to be managed individually, as shown in section 4.4.2.

Source [452]	UTC date [477] <mark>▼</mark>	Dangerous Good [688]	Event Type [390] <mark>▼</mark>
0000	dd/mm/yyyy	Battery, wet, filled with acid	Dangerous Goods Leaking
0000	dd/mm/yyyy	1 - Explosives	Helicopter RPM Exceedance Aerodrome Emergency or
0000	dd/mm/yyyy	8000 - Consumer commodity	Dangerous Goods Not Matching Documentation
0000	dd/mm/yyyy	0 - Wheelchair, electric with batteries	Dangerous Goods Loading/Unloading
0000	dd/mm/yyyy	2.1 - Flammable Gas	Dangerous Goods Forbidden
0000	dd/mm/yyyy	Not defined	Dangerous Goods Loading/Unloading

Figure 4.8: Example of exceptions in DG type definitions from 2019 data. ('Source' and 'UTC date' are classified and indicated generally.)

4.4.1 Gathering all data

Before proceeding with the analysis itself, it is necessary to import the required data¹⁰. This process needs to be diversified for 2014-2019 data and 2020-2021 data, because in the second scenario the attached files need to be read. What is common for both scenarios is defining new DataFrames extracting the required columns from the original DataFrames (see section 4.2.2), even though the required columns are different, as explained later, we unified the script for every year.

From 2014 to 2019

Regarding just data of years from 2014 to 2019^{11} , we need to consider just the 'DG types' column and we have to manipulate it in order to get only UN codes and their counts for every year.

Firstly, we list all the DG types (in a year) paying attention to those that could be written together in a single cell, so we use the *pandas* split command and obtain a *pandas* Series. It it important to clean the data dropping NaN values, replacing special characters and sorting the list. Secondly, for each row of the series we split it at every blank space and we keep only the first part, which corresponds usually to the UN code. Then, using the *pandas* Series.value_counts() command we count how many time a UN code occurs yearly.

The final outputs are six DataFrames containing reported UN codes and their counts.

2020 and 2021

For both the considered years, the process is divided in two parts relating to the number in the 'count' column. As matter of facts, when extracting data we filter the rows in order to get the cases separated. When the 'count' column is equal to 1, the process is the same explained for the 2014-2019 scenario, resulting with two pandas Series containing reported UN codes. When the 'count' column is more than 1, the process changes because of the attached files. In this case, the required data is no longer read from the general file but from the specific appended file, so it is required to read also the 'source' column (from the general file) which contains the relating appended file name.

As far as 2020 data is concerned¹², for each row with 'count' greater than 1,

 $^{^{10}}$ Ref. code lines 377-415 in Appendix A.

¹¹Ref. code lines 426-471 in Appendix A.

 $^{^{12}}$ Ref. code lines 476-509 in Appendix A.

we identify the corresponding file name that is composed by its directory and the wording of the 'source' column. For instance, assuming tha file name is '0000', 'Dangerous_Goods/2020/0000.xlsx'. At this point, for every considered report we read the specific file and we add all the DG types extracted together in a list, then we split every row at every blank space and keep the first part, doing so the result is a pandas Series containing the reported UN codes.

In this code section we add a validation check that will be useful later, in section 4.4.4. Every time we read a file, we check that its length corresponds to the number in the corresponding 'count' column. Those two number should be the same, but sometimes we can find some mistakes. In this regard, the Python code provides a warning when finding inconsistencies, as shown in Figure 4.9.

The attached file contains 42 instead of [41] reported

Figure 4.9: Code output of the validation check on attached files' length from 2020 data.

As far as 2021 data is concerned¹³, the method is almost the same used for year 2020, the only difference is defining the attached files names. In this case, looking at the files in the '2021' folder the names have variations, so using the 'source' as the name is not enough. For each row with 'count' greater than 1, we define different possible file names, based on the options in the folder. There are six possibilities as following, assuming the source code is '0000':

- 'Dangerous Goods/2021/0000.xlsx'
- 'Dangerous_Goods/2021/eE-MOR 0000.xlsx'
- 'Dangerous Goods/2021/EE-MOR 0000.xlsx'
- 'Dangerous_Goods/2021/0000 (modificato).xlsx'
- 'Dangerous_Goods/2021/EE-MOR_0000.xlsx'
- 'Dangerous Goods/2021/eE-MOR 0000 .xlsx'.

At this point, we verify which of these possibilities exists in the directory, using os.path.isfile() command and then we read the file, extracting the DG types and listing them in a *pandas* Series.

Regarding the validation check on the attached files length, the process and the Python warning are the same explained for year 2020. This time the errors are

¹³Ref. code lines 513-568 in Appendix A.

shown in Figure 4.10.

The attached file contains 4 instead of [6] reported
The attached file contains 25 instead of [32] reported

Figure 4.10: Code output of the validation check on attached files' length from 2020 data.

Lastly, for both years, we append the two obtained Series (one for 'count' equal to 1 and one for 'count' greater than 1) resulting in a Series containing all reported UN codes, and using pandas Series.value counts() we collect the final counts.

It is possible now to join all the data and create a general DataFrame with all years¹⁴. To do so, we use *pandas* concat() command, filling with '0' when some codes are not included in a year.

The result is displayed in Table 4.3.

Table 4.3: Intermediate resulting table of Dangerous Goods analysis.

	code	2014	2015	2016	2017	2018	2019	2020	2021
0	0	1	0	1	0	1	1	0	25
1	1	0	0	0	0	0	1	0	1
2	1033	0	0	0	0	0	0	1	0
3	1044	0	0	0	0	1	4	3	0
4	1050	0	0	0	0	0	1	0	0
	•••								
86	9	0	0	0	0	3	4	0	2
87	Battery,	0	0	0	0	0	1	0	0
88	Not	0	0	0	0	0	4	0	1
89	UNK	0	3	3	1	0	0	0	0
90	radioactive	0	0	0	0	0	0	0	1

¹⁴Ref. code lines 651-655 in Appendix A.

4.4.2 ICAO codes

After gathering all the data and the counts for each year, it is important to underline that every Dangerous Goods type is defined by its code. However, this is not a good way to visualize results because of the amount of data, so we will group them into classes defined by ICAO (see section 3.1.2). The grouping process will be addressed in the following section, whereas now we focus on how to match codes and classes. In particular, we consider the main classes and not the sub-classes (divisions).

First of all, we need to create a list with all the possible combinations of code-class and this can be done reading a specific document published by ICAO, Technical Instructions for the Safe Transport of Dangerous Goods by Air [12]. There, from page 2 to 234 (the pdf source 'doc 9284 2019 2020' is a partial extraction of the manual) there are tables containing the searched relation. Then, thanks to pandas reading command we can read all those pages and obtain the resulting table, shown in Table 4.4. The reading process, however, is not simple because Python acquires the data in a odd way, so some manipulation is needed¹⁵. Using tabula package we can read the selected pages obtaining a table, then we have to clean the data depending on how Python acquires the pages. For instance, we have to divide codes and classes at \r character or deleting the lines named 'FORBIDDEN'. In addition, we want to keep only the class number ignoring the sub-class, since the sub-class number is reported as, for instance, '4.5', we delete any numbers after the point. It is important to note that not every page is acquired in the same way, so in the code there is a check that helps understanding if some necessary codes have not been acquired, thus the user can check if some pages have not been read correctly. As Figure 4.11 explains, Python provides a warning if there are some missing codes that are required in the analysis and in this case they will be displayed in order to the user to take action. The problem could be either a failure of the Python code or an outdated version of the ICAO document.

```
Codes not found in Icao document are:
Series([], Name: code, dtype: object)
Please check pdf reading or new versions of the document.
```

Figure 4.11: Python output for codes' check.

The misread pages are considered individually and then combined with the rest of

¹⁵Ref. code lines 658-703 in Appendix A.

the codes¹⁶; in particular, pages 130, 131 and 220. The total number of codes obtained from the ICAO document is 2328.

classes
3
3
9
3
8
6
6
6
1
1

Table 4.4: ICAO codes and classes.

A way to match codes and classes is to define a Python function that given an input code, it attributes the corresponding class, according to Table 4.4. The function used is defined as following:

```
def matching (x): #defining a function to match from the code the
    corrisponding class
    if codes_icao['codes'].str.contains(x).any(): #verifing if the
    string exist in the icao pdf

for i in range(0,len(codes_icao['codes'])):
        if (x==codes_icao['codes'][i]):
            match=codes_icao['classes'][i]

else:
    match='Notfound'
    return match
```

¹⁶Ref. code lines 667-679 in Appendix A.

In the above code, x is the input code, $code\ icao$ is the DataFrame corresponding to Table 4.4, whereas match is the resulting class.

As the input codes are various 17 , we have to divide the different options when matching.

Input code 4 digits \rightarrow use matching function 1 digit \rightarrow keep it as resulting class

There are then some specific cases that need to be treated individually. For example, the next rows explain how items are processed:

Input word
'Battery,' \rightarrow class 9
'Not' \rightarrow ND (Not Defined)
'UNK' (Unknown) \rightarrow ND (Not defined)
'radioactive' \rightarrow class 7

As a result it is possible to create a table that combine yearly counts and ICAO classes, as shown in Figure 4.5.

	Years	
Codes	(counts)	Classes

Table 4.5: Table model of DG types counting and matching output.

¹⁷This diversity originates when splitting the DG types in order to get the UN codes, but not all the types are alike (see section 4.4), so sometimes the extracted first part of the type is not a 4 digit string.

4.4.3 Grouping

The grouping process is similar to what explained for Event types analysis: defined some macro-groups we fill them in with all the previous types¹⁸. This time, the macro-groups are already clarified by ICAO (see section 3.1.2) and also ENAC has introduced a request. In particular, it was required to split class 9 into two different groups: *Miscellaneous - Li-BAT* and *Miscellaneous - other than Li-BAT*. Particular emphasis should be made for class 9 grouping, as matter of facts it is not automatic but it needs the user intervention. From a previous examination by ENAC, the division of the two class-9 groups was defined and the considered codes were listed:

$Miscellaneous \ - other than \ Li ext{-}BAT$	Miscellaneous - Li-BAT
1845, 2807, 3077, 3082, 3316, 3363, 8000, 9, 2990, 3166	0, 3090, 3091, 3171, 3480, 3481, Battery,

Table 4.6: Division of codes into class 9 groups.

In the interest of making the process more reliable, the Python code provides a control whether some class 9-codes are not assigned to one of the two groups¹⁹. In this way the user can decide which class is more appropriate and add the new code to that class.

Lastly, there is an additional class, named *ND: Not Defined*, which contains DGs defined as 'Not Defined' and 'UNK'(Unknown) and also the number of empty cells existing in the Excel files (see section 4.4.4).

As a result, the Table 4.7 is the expected output.

 $^{^{18}}$ Ref. code lines 747-811 in Appendix A.

¹⁹Ref. code lines 789-795 in Appendix A.

	2014	2015	2016	2017	2018	2019	2020	2021
class 1: Explosives	0	0	0	0	0	1	0	1
class 2: Flammable Gases	0	1	0	1	3	15	375	336
class 3: Flammable Liquids	1	0	0	1	13	33	792	687
class 4: Flammable Solids	1	0	0	1	1	2	2	3
class 5: Oxidizing	0	0	0	0	2	0	1	1
class 6: Toxic and Infectious	0	0	0	1	0	3	4	3
class 7: Radioactive	0	0	2	2	1	3	1	3
class 8: Corrosives	0	0	0	0	4	12	13	16
class 9: Miscellaneous - other than Li-BAT	0	2	0	1	7	10	6	14
class 9-Bat: Miscellaneous - Li-BAT	3	2	6	2	7	10	171	252
ND: Not defined	0	3	3	1	0	5	1	1
Total	5	8	11	10	38	94	1366	1317

 ${\bf Table\ 4.7:\ Resulting\ table\ of\ Dangerous\ Goods\ types\ analysis.}$

4.4.4 Checks and validation

As well as for Event types analysis, also in this analysis it is necessary to check if there are any errors in the data results²⁰. The calculated values for each year are the total amount of DGs types extracted, the total amount of reports and the additional multiple types, besides the number of blank cells and the miscounts.

The total amount of DGs types extracted comes from the sum of each columns of Table 4.7.

The total amount of reports is the length of the general Excel file or, for 2020 and 2021, of the collective attached files; to calculate it we sum the 'count' column yearly (for years 2014-2019, the 'count' columns was set to 1).

The additional types are the amount of multiple DGs types that are written in a single cell; we proceed in the same way done before: reading each row and detecting how many \n there are and thus how many additional DGs types.

Concerning the sum of blank cells, it can happen that some reports have empty cells corresponding to the searched data and we find them using *pandas* Series.isna() command. Counting them is important in order to make the numbers work because these empty cells are counted also as number of reports.

Lastly, with the term 'miscounts' we imply possible errors in the Excel file 'count' column. As a matter of facts, the number reported in that column should be equal to the length of the corresponding attached file, but this is not always the case. The calculation has been conducted in section 4.4.1.

As shown in Figures 4.12 and 4.13 Python reports the calculated numbers for each years, adding the miscounts for 2020 and 2021. In case the figures don't add up, the code provides a warning: 'Missing data!'. The third row is the linear combination of the rows below, as indicated before in section 4.3.2, in Figure 4.6.

```
Total reports of Dangeruos Goods in 2019: 87
Total number of dangerous goods extracted in 2019: 93
Total additional DG types: 7
2 DG type: 3
3 DG type: 2
4 DG type: 0
5 DG type: 0
6 DG type: 0
Blank cells: 1
```

Figure 4.12: Python report for 2019 on analysis validation.

²⁰Ref. code lines 573-648 in Appendix A.

```
Total reports of Dangeruos Goods in 2020: 1356
Total number of dangerous goods extracted in 2020: 1365
Total additional DG types: 9
2 DG type: 6
3 DG type: 0
4 DG type: 1
5 DG type: 0
6 DG type: 0
Blank cells: 1
Number of missing counts:[1]
```

Figure 4.13: Python report for 2020 on analysis validation.

Since the code found out some errors in 2020 and also in 2021, ENAC has been informed and the errors have been corrected. The results presented in chapter 6 consider the adjustments.

Chapter 5

Python-based Pipeline: Safety Performance Indicators

The theme is the analysis of Safety Performance Indicators - outcome oriented. It aims at creating a template that can be used for any SPI study. In ENAC Safety Report Portal the displayed parameters are fourteen and they are mentioned in chapter 2. Following, we focus on two study cases, however the Python code can be run for any of the SPIs-O included in the Safety Report Portal. The chosen samples are *Runway Excursions* and *Runway Incursions*; the first one is characterized by a type of analysis that is common between many other SPIs, whereas the second one includes a further examination about Event types. In section 5.2, the whole code will be explained even though the graphical outputs in chapter 6 are only about the two cases mentioned above. Then, specifically in section 5.3 there are references to the Event types analysis.

Figure 5.1 presents the flowchart regarding the analysis.

5.1 Input data

The input data come from Excel files, one for each requested SPI. Every file contains as many sheets as the total number of considered years. In particular, the analysis covers a time-period from 2015 to 2020.

Generally, every file contains the data about the occurrences of the specific SPI, in Figure 5.2 an example of an Excel file is presented. The second studying case contains also data about Event types, as shown in Figure 5.3. The general data on occurrences and the data about Event types are assigned to different columns,

therefore the coexistence of them doesn't compromise one analysis or the other.

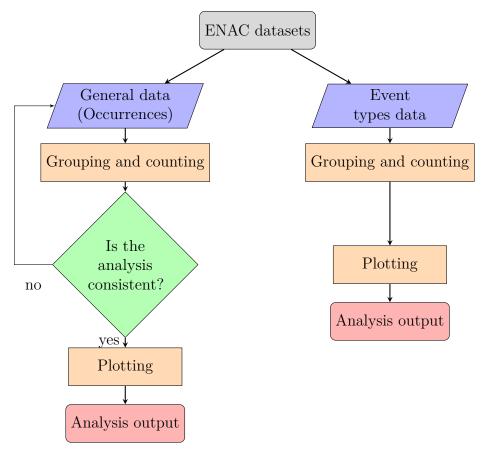


Figure 5.1: Flowchart of the Safety Performance Indicators analysis.

UTC date	UTC time	File number
dd/mm/yyyy	00:00	xxxx

Figure 5.2: Example of general input data of SPIs. (Sensible data is classified and indicated generally.)

UTC date	UTC time	File number	Event type	
dd/mm/yyyy	00:00	xxxx	Incursions	

Figure 5.3: Example of input data of SPIs with Event types data. (Sensible data is classified and indicated generally.)

In Figure 5.2, there are three filled columns, but in the analysis only the column named 'UTC date' is used. In Figure 5.3 there are four filled columns, but the necessary ones are those named 'UTC date' and 'Event type'.

The input files include empty columns due to data restrictions¹, even though it is not shown in Figures 5.2 and 5.3.

5.1.1 Reading Excel files

To conduct the analysis it is necessary to read the files²: we need to read one file for each run because the analysis of one SPI ends in itself. Since the code is generalized, it provides the reading of all Excel files even though we present only the first two cases with their Excel files.

So, through the use of a if-condition it is specified which Excel file is required to be read. In particular, at line 14 in the code, the user has to indicate the name of the considered SPI, then according to that choice, the code selects three specific variables: subject, which is the extended name of the SPI, name, which is the contracted name (used in naming output files) and file_name, which is the name of the Excel file input.

At this point, it is possible to read the file using pandas read_excel() command³. In particular, we read every sheet of the file (according to the considered years) and we append them together, saving all in a single DataFrame (following referred as the 'original DataFrame').

5.2 General template

The analysis starting point is the original DataFrame, where all data is contained. The only necessary column is the number 5, where the dates are indicated, because it allows us to diversify data relating to the year and to count the total number of reports of that year. In SPIs analysis, differently from DGs analysis, we study the total number of events yearly, so the total number of reports of a specific year, that is also equal to the length of the specific Excel sheet. When extracting the data from the original DataFrame, we also convert the data to date format and then we keep only the indication of the year; to do so we use the pandas to_datetime().dt.year.

From now on, we need to differentiate the analysis according to the definition of

¹Data restrictions have to be addressed to ENAC and they are not subject of this thesis

²Ref. code lines are 20-39 in Appendix B.

³Ref. code lines 58-68 in Appendix B.

the specific SPI, however the process has the same steps: counting the occurrences, calculating the relating rate (only for the first three groups) and creating a resulting DataFrame. The different categories are four and consist of the following SPIs:

• spi_mvt : RE, RI, TCAS, RAMP, GCOL, F-NI, LASER, APR_interference

• spi_flt : LOC-I, TAWS

• spi_occ : BIRD, UPA, ATM_failure

• spi dur : SMI

The first category considers SPIs whose rate is defined by the number of movements⁴; the second one whose rate is defined by number of flights⁵; the third one whose rate is defined by the occupancy duration; the fourth one considers SPIs whose only output is the number of occurrences yearly. In order to divide the code lines respectively, if-conditions are used.

For each of the first three categories, we count the total number of events yearly using the *pandas* Series.value_counts() command, then we calculate the rate. Changing category, the denominator of the fraction assigned and the multiplication factor change. In particular, the first group is calculated every 10000 movements, the second one every 10000 flights and the third one every 1 million minutes. As an example we provide the calculation for the first category in equation 5.1.

$$rate = \frac{yearly \quad occurrences}{movements} * 10000 \tag{5.1}$$

The values for *movements* and *occupancy duration* are provided by ENAC, while the number of *flights* comes from EUROCONTROL.

The resulting DataFrame is presented in Table 5.1 and Table 5.2.

Similar resulting DataFrame can be obtained for the other two groups.

As far as the fourth category is concerned, since their output is only the number of occurrences, using the *pandas* Series.value_counts() command the code will count the number of events yearly. The tabular result is a DataFrame composed of just one column.

⁴See section 3.3 for the definition.

⁵See section 3.3 for the definition.

	Movements	Number of events	Rate
2015	1544643	12	0.08
2016	1624966	11	0.07
2017	1653242	16	0.10
2018	1722254	20	0.12
2019	1655381	18	0.11
2020	708602	8	0.11

Table 5.1: Resulting table of general SPI analysis on *Runways Excursions*.

	Movements	Number of events	Rate
2015	1544643	80	0.52
2016	1624966	72	0.44
2017	1653242	127	0.77
2018	1722254	180	1.05
2019	1655381	187	1.13
2020	708602	86	1.21

Table 5.2: Resulting table of general SPI analysis on *Runways Incursions*.

5.3 Event types template

Regarding Event types analysis, it is automatically run if the required data is available, that is when the 'Event type' column is filled.

To implement the analysis, columns number 5 and number 12 of the original DataFrame are extracted⁶. The data about dates is converted in date format using pandas to_datetime() command.

Differently from the DGs analysis, in this case we consider only specific Event types to count, which are requested by ENAC. In particular, they are three:

• Runway Incursions by an Aircraft

 $^{^6\}mathrm{Ref.}$ code lines 254-269 in Appendix B.

- Runway Incursions by an Person
- Runway Incursions by an Vehicle/Equipment.

It is important to underline that these mentioned event types refer only to the SPI of *Runway Incursions*, but the code can work for any event type used in reporting, after appropriate changes.

At this point we count how many times the specific types appear and we save the scores in a new DataFrame. Then, we calculate the rate using the equation 5.1. In this case we consider the rate per 10000 movements and in addition, we round the results at third decimals. As a result we obtain a DataFrame containing six columns, as shown in Table 5.3.

	Air_{craft}	$^{rate}_{\chi I0000_{mvt}} ^{Air}_{craft}$	$P_{\Theta^{r}SO_{H}}$	$^{rate}_{XI0000_{mVt}}$	$V_{ehicle} \ / Equipment$	$^{rat_{e}}_{/Equipment}^{Vehicl_{e}}_{ imes 10000mvt}$
2015	46	0.298	3	0.002	5	0.019
2016	43	0.265	2	0.002	10	0.012
2017	75	0.454	10	0.003	28	0.060
2018	112	0.650	13	0.004	35	0.075
2019	111	0.671	4	0.004	40	0.024
2020	38	0.536	7	0.008	17	0.099

Table 5.3: Resulting table of Event types analysis on *Runways Incursions*.

5.4 Checks and validation

With the interests of verifying that the results are accurate, the implementation of a check is required. In particular, we calculate the number of rows in the Excel file, for each year, and the number of blank cells and we compared them with the total number of occurrences extracted⁷.

The number of rows is calculated using the len() command, the number of blank

⁷Ref. code lines 64-65 and 121-123 in Appendix B.

cells using isna().sum() and the total number of occurrences are the values in the second column in Table 5.1 or Table 5.2. Whenever the numbers do not correspond the code provides a warning: 'Missing data!'.

In the Event types analysis, implementing a check is ineffective because the analysis does not consider all the event types in the Excel file, so the validation results irrelevant.

Chapter 6

Output graphics

The graphical output of the project is twofold: the code aims, firstly, at obtaining the same results displayed in the Safety Portal and secondly, at identifying new and more appropriate graphical visualisations¹.

The first target helps to understand how using Python can be easier compared to the use of other visualisation software² and so, to target a possible change in the current scenario maintaining the current shape. The second goal tries to improve the current scenario, operating with different types of plots and new design.

6.1 Reproducing Safety Portal results

Regarding obtaining the same results of the Safety Portal, we focus on following the existing layout, therefore the chosen types of graph are pie charts, bar plots and line plots. The diagrams that we are going to replicate can be see in section 2. The layouts are similar to the old ones but also reflect Python characteristics.

6.1.1 Dangerous Goods

Event types

Figure 6.1 represents the graphical output of Event Types analysis, following its legend in Figure 6.2. Looking at the evolution over the years, the changing in ENAC

¹Referring code lines of Event types plots are 202-369 and of Dangerous Goods types plots are 817-950 in Appendix A, whereas code lines of SPI are 123-249 and 274-433 in Appendix B.

²Generally avionic agencies make use of Microsoft Power Bi, which is an interactive data visualization software developed by Microsoft.

reporting system is evident. In 2014 and 2015 reporting was minimal as the event types reported are few; from 2016, and even more from 2018, the reporting system has became more and more efficient. An important variation is registered from 2020 when the attached files were introduced, as a matter of facts the possibility of reporting many events together has favored the notification of 'Dangerous Goods Undeclared' as a general definition of any events. This trend could lean to an inaccurate analysis, due to the fact that the input data is misrepresented.

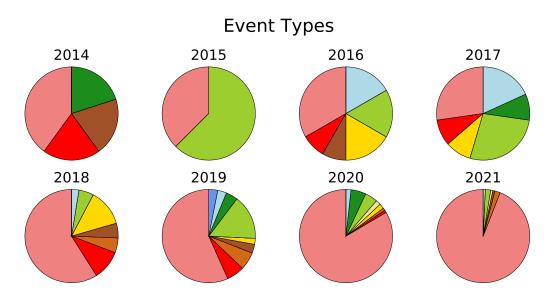


Figure 6.1: Event types output chart.

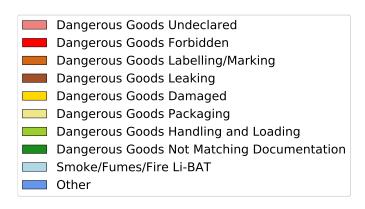


Figure 6.2: Legend of figure 6.1

Dangerous Goods types

Figure 6.3 represents the analysis output of Dangerous Goods types, followed by its legend in Figure 6.4. The registered trend over the years looks different from the one in Figure 6.1 because it is a different analysis and also it is affected differently by the changes in the reporting system. In particular, what stands out is the increase of 'Flammable Liquids' from 2018.

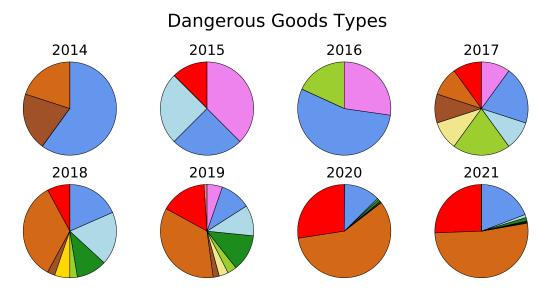


Figure 6.3: Dangerous Goods Types output chart.



Figure 6.4: Legend of figure 6.3.

It is important to underline that we do not note the percentage values and the number of occurrences on the pie charts, because it can look disorganized. In respond to this omission, we suggest to attach a table (similar to Table 4.2), which

is easier to consult and to extract numerical and precise results.

6.1.2 Safety Performance Indicators

Regarding the general analysis, the requested plots are two bar plots and a line plot. The first two are about the number of occurrences per year and rate per year, whereas the third is about the trend about movements per year. The last graph can change according to the considered SPI; in some cases it can represent the trend of flights per year and in one other case the trend of occupancy duration in the sky.

These three graphs can be found for almost all the considered SPIs, clearly following the indicator's definition to conduct the analysis. Figure 6.5 presents the requested bar plots and Figure 6.6 the line plot. Since we examined two study cases, we present also the results for the second case in Figure 6.7. Looking at the bar plots on the number of events/occurrences, it is clear that the decrease in 2020 is a consequence of the Covid-19 pandemic, which can be also identified in the line plot in Figure 6.6.

In order to make the graphical outputs as complete as possible, we present, in figures 6.8 and 6.9, also the trends of flights and occupancy duration.

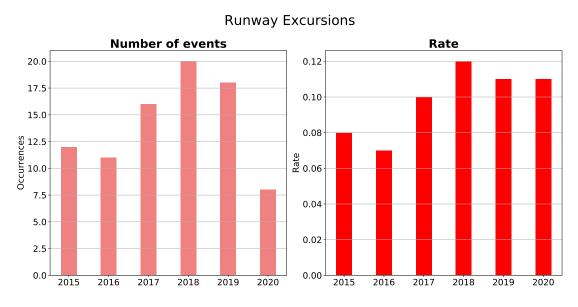


Figure 6.5: Bar plot on occurrences per year and rate per year of *Runway Excursion*, as included in ENAC safety portal.

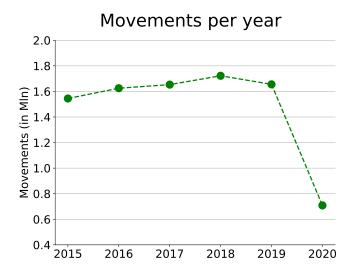


Figure 6.6: Trend of movements per year.

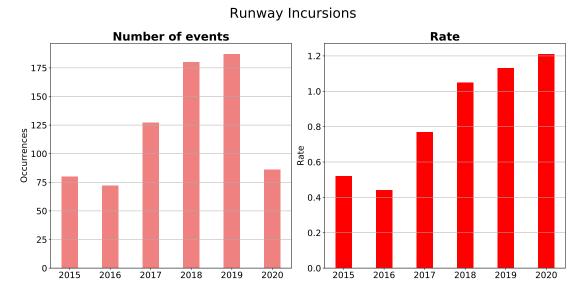


Figure 6.7: Bar plot on occurrences per year and rate per year of *Runway Incursion*, as included in ENAC safety portal.

Only three SPIs, such as BIRD, UPA, ATM failure require only the bar plot about occurrences per year. The SPIs, named RAMP and LASER, present also further geographical examinations, which are not considered in this project.

In addition, we decide to not show the values at the top of the bar plots, because it would be a repetition since the vertical axis is always shown.

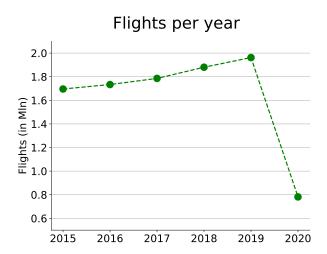


Figure 6.8: Trend of fights per year.

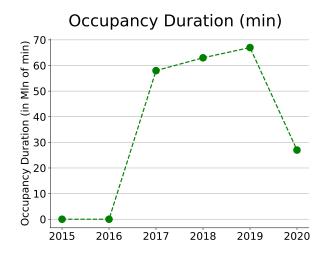
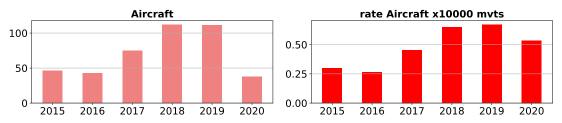


Figure 6.9: Trend of occupancy duration per year.

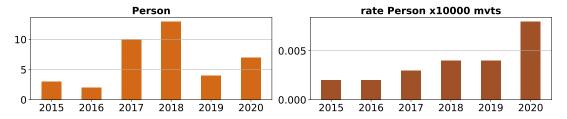
Regarding the Event types analysis, the requested plots are six bar plots, three on occurrences per year and three on rate per year. Figure 6.10 present the output as included in the Safety Portal. It is possible to understand the runway incursions by an aircraft are the more frequent types, followed by those by vehicle/equipment.

Event Types (RI)

Runway Incursion by an Aircraft



Runway Incursion by a Person



Runway Incursion by a Vehicle/Equipment

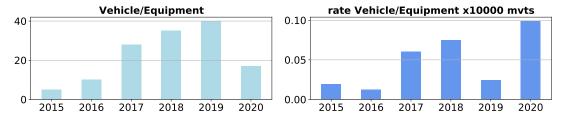


Figure 6.10: Bar plots on Event types analysis of 'Runway Incursions', as included in ENAC safety portal.

6.2 Finding new visualisation options

When presenting any data analysis to an audience³, it is fundamental to understand which information we are sharing and the best way to do so. In this regard, we focus the attention on the types of plots that can be used to visualise the data and their properties to make the representation clear and exhaustive. As mentioned before, Python offers advanced methods of visualisation. Those that have been chosen in this project will be discussed further on.

³ENAC Safety Report Portal is a public accessible site for consulting.

6.2.1 Dangerous Goods

Event types

Concerning Event types analysis, the first attempt of a different visualisation is a simple bar plot, which represents the amount of event types reported yearly. It can be useful to understand how the safety culture has spread over the years, displaying the total number of occurrences.

In this case we use matplotlib.pyplot.bar() command to generate the output.

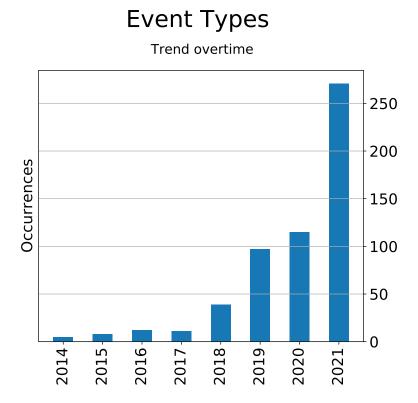


Figure 6.11: Trend of total amount of Event types reported yearly.

A second option is a horizontal stacked bar plot, with two different perspectives. The characteristic of this type of plot is that it shows the percentages in a clearer and more consistent way than a pie chart, when the amount of data is significant. It is possible to understand the mutual relation between the event types and their weights in each year, following the percentage indication at the bottom line of the graph. Figures 6.12 and 6.13 differ in term of the focus: the first one points out the years, whereas the second one the event types themselves.

We operate a pandas plotting command, DataFrame.plot.barh(), to generate both graphs.

In Figure 6.12 it is shown, as in Figure 6.1, the widely use of 'Dangerous Goods Undeclared' in reporting. The introduction of the second stacked bar plot helps to understand how the reporting of a specific type has evolved in the considered time-period. For instance, it is clear that reporting 'Dangerous Goods Undeclared' is more predominant in 2021 than in all the other years. The possible reason behind this trend is explained in section 6.1.1.

Lastly, in Figure 6.15 we present the values of every category of Event types. In this way we can have a clear idea on their order of magnitude. It is also represented the individual trend over the considered time-period. It is important to notice that the vertical axis is different for each graph.

In this last case, as well as the first bar plot, we use matplotlib.pyplot.bar() command.

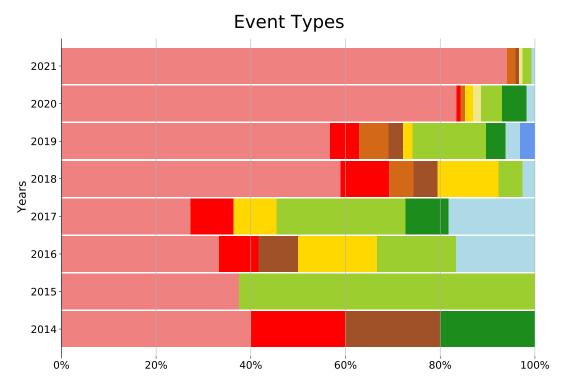


Figure 6.12: Stacked bar plot of Event types focusing on the years.

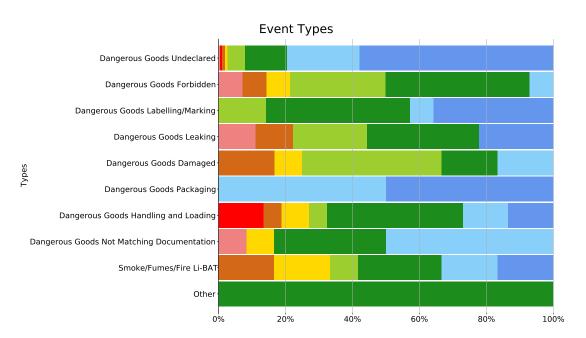
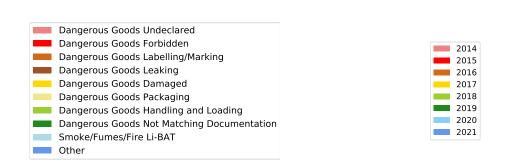


Figure 6.13: Stacked bar plot of Event types focusing on the types.



(a) Legend of figure 6.12.

(b) Legend of figure 6.13.

Figure 6.14: Legends of stacked plots of Event types analysis.

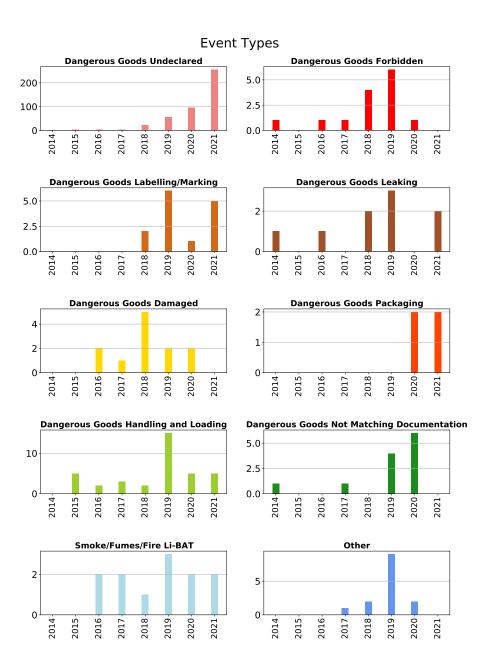


Figure 6.15: Multiple bar plots of Event types focusing on their values.

Dangerous Goods types

In this section we present the graphical outputs that suit better the results of DGs types analysis.

The first graphs are bar plots, which represent the total number of occurrences over the considered time-period. In Figure 6.16, there are two plots: the first one uses the decimal scale, whereas the second one uses the logarithmic scale. This choice can be explained looking at the left plot, where it is clear that data from 2014 to 2019 is misrepresented, so using a logarithmic scale it is better showing the orders of magnitude.

In this case we use matplotlib.pyplot.bar() command to generate the output.

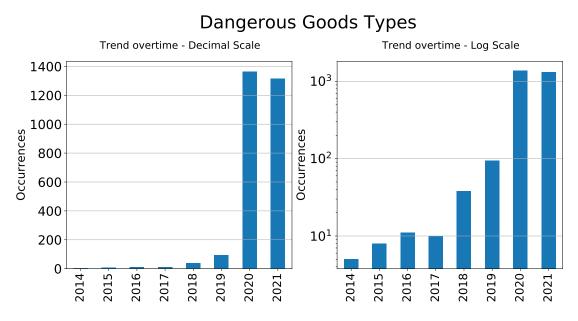


Figure 6.16: Trend of total amount of Dangerous Goods types reported yearly.

As a second possible visualisation, we present a stacked bar plot. This type of plot shows all important details in a better way than a pie chart, when the amount of data is significant. Figure 6.17 and Figure 6.18 show the relation between the total number of occurrences per class and their weights yearly, with different focal points.

We operate a pandas plotting command, DataFrame.plot.barh(), to generate both graphs.

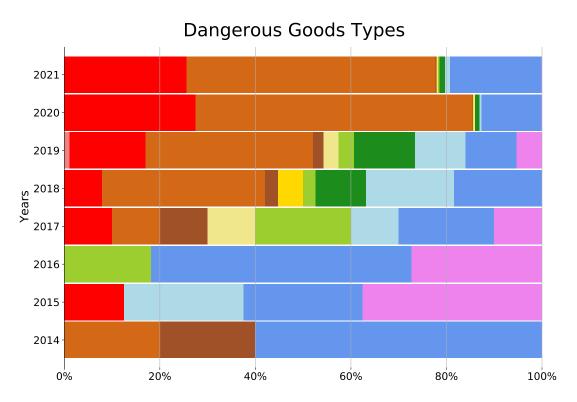


Figure 6.17: Stacked bar plot of DG types focusing on the years.

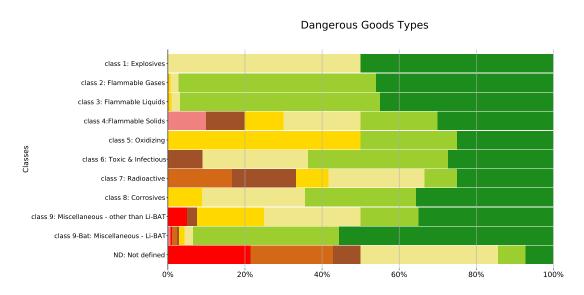
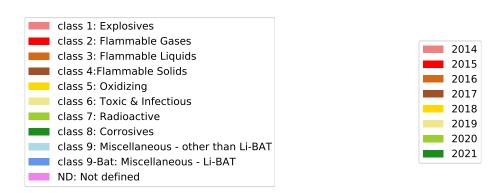


Figure 6.18: Stacked bar plot of DG types focusing on the classes.



(a) Legend of Figure 6.17.

(b) Legend of Figure 6.18.

Figure 6.19: Legends of Dangerous Goods analysis in ENAC Safety Portal.

While investigating which graphical visualisation could suit better the analysis, we conclude that with such many categories to display a tabular representation could be the best option (similar to Table 4.7).

6.2.2 Safety Performance Indicators

Considering new ways of visualization for SPI analysis, we decide to represent the rate as a line plot to highlight the trend instead of its specific values, as shown in Figure 6.20 and Figure 6.21.

Regarding the Event types analysis, Figure 6.22 present the output as a possible variation, using line plots of rate graphs instead.

In addition to Figure 6.22, we consider other two ways of visualising the Event types results. The first one studies the number of occurrences implementing a stacked bar plot, in order to show the relation of the three considered event types respectively. Figure 6.24 presents the weight of each event type relating to the others. It is important to underline that we do not use percentages because these event types are just a portion of all those reported.

The second implemented graph is a line plot that illustrates all three rate trends of the considered event types together. In this way, it is possible to compared them easily, as shown in figure 6.25.

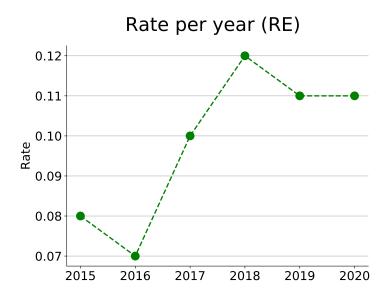


Figure 6.20: Trend of fights per year for Runway Excursions.

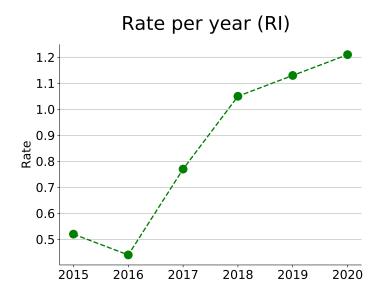


Figure 6.21: Trend of fights per year for Runway Incursions.

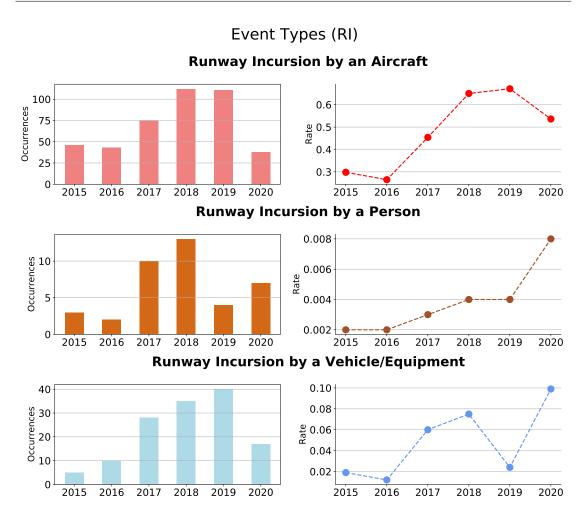


Figure 6.22: Bar plots and line plots on Event types analysis of *Runway Incursions*.

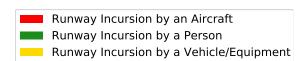


Figure 6.23: Legend of figure 6.24.

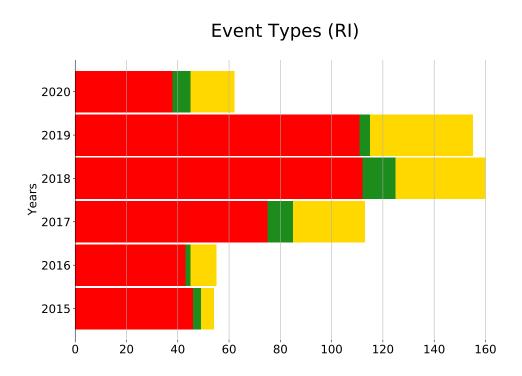


Figure 6.24: Stacked bar plot on Event types analysis of Runway Incursions.

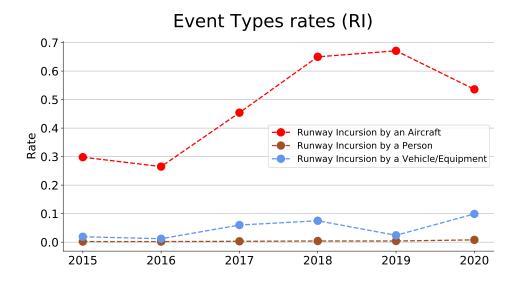


Figure 6.25: Line plot on Event types analysis of *Runway Incursions* with rate trends together.

Chapter 7

Conclusions

This thesis started from ENAC data-sets. Its output is a Python code that can analyze both Dangerous Goods data and Safety Performance Indicators data. The software pipeline managed to replicate ENAC Safety Report results¹ and to extend the analysis up to 2021 data. As a byproduct, two kinds of graphical visualisations have been presented: we reproduced a subset of already available plots and we suggested some new ones that provide a different and improved insight of the subject.

Since safety is such an essential matter in aviation, an accurate and user-friendly analysis of safety data is fundamental for a more effective prevention of potential hazards. This practice helps to deepen the knowledge on the Italian safety scenario and to understand what is important to monitor. It should be noticed that safety culture is growing, since the total number of reports has increased over the years. However a revision of the reporting system is desirable in order to make the results more accurate. Furthermore, results of 2020 and 2021 are not reliable without a discussion on the effects on aviation during the Covid-19 pandemic. The interpretation of such data is still an ongoing process, since an adequate time-frame is needed in order to gather a clear view of the scenario and the consequences on aviation.

Every national safety authority develops its unique method to process and analyze safety data, making it difficult to compare safety results and trends belonging to different states. An extended use of this Python-based pipeline could be the key to find a common ground on studying this matter. In this regard, this project aims at providing Excel format files as outputs, that could be used in already existing tools for post-processing. For instance, the use of Microsoft Power Bi to draw plots can be carried on: given the Excel files as inputs it works as usual.

¹As the publication date of this work, the ENAC Safety Report displays data up to 2020.

All presented Tables, 4.1, 4.2, 4.4, 4.5, 4.7, 5.1, 5.2 and 5.3, are saved in a folder, named 'Analysis_Excel' and allow the user to benefit from the data analysis outside the software. The connection between Python and Excel is provided by pandas, in particular using DataFrame.to_excel('directory/filename.xlsx') command.

A further improvement on the quality of data availability and presentation is a Python-based interactive dashboard. This tool is a Web interface that displays results that can be consulted by the interested parties and that are connected to the prior analysis.

This application does not required any technical knowledge to be used. The programming language used in the building process is Python and the performed analyses are based on the pipeline illustrated in chapter 4 and chapter 5. An extensive and technical explanation of the design of this dashboard can be found in Appendix C.

The programming language is the same used in the whole project, so no additional skills are required. The layout and the content can be modified based on the user's needs and changes are easy to implement. In addition, there is a connection between the input and the output, therefore any changes in the initial Excel files will affect directly the information displayed in the dashboard.

The dashboard consists of four pages and a sidebar, which allows to choose which page is visualized. The four pages are respectively: *Home* that is the opening front page, *Safety Performance Indicators*, *Dangerous Goods*, and *About* that gives some information about the project and the developers. In particular, the second and third pages collect the results given as output of the previous analyses: there is an introduction of the subject illustrating the main definitions and visualisations, both tabular and graphical, as provided in chapter 6. All the included plots are interactive, thus the interpretation of the analysis results is more easily delivered and the user is highly engaged.

This project could be the starting point for an actual shared approach on safety among EU Member states. Therefore, the optimization of the Python code, which is currently under development, is encouraged. We also underline the potential of the dashboard, which can become more refined, exhaustive and structured.

Appendix A

Python-based Pipeline: DGs template

This appendix contains the Python code of the Dangerous Goods analysis.

```
import pandas as pd
2 import numpy as np
3 import matplotlib.pyplot as plt
4 import matplotlib.colors as mcol
5 import matplotlib as mpl
6 import seaborn as sns
7 from itertools import chain #to append lists of list
s import matplotlib.ticker as mtick #to show percentage ticks in
     matplotlib graphs
  import os.path #to verify the existence of a file in a specific
     directory
10 import tabula #to read pdf files
  from matplotlib.gridspec import SubplotSpec #to title every row of in
      figure with subplots
13 #Indicating the years considered for the analysis.
14 years = ['2014', '2015', '2016', '2017', '2018', '2019', '2020', '2021']
16 #READING DATA
17 Reading all data from each Excel sheet and creating a specific
     Dataframe for each year.
18 data_2014=pd.read_excel('Dangerous_Goods/DGOR_2021.xlsx',sheet_name=
_{19} data_2015=pd.read_excel('Dangerous_Goods/DGOR_2021.xlsx',sheet_name=
     years [1])
```

```
data_2016=pd.read_excel('Dangerous_Goods/DGOR_2021.xlsx',sheet_name=
     years [2])
  data 2017=pd.read excel('Dangerous Goods/DGOR 2021.xlsx', sheet name=
     years [3])
  data_2018=pd.read_excel('Dangerous_Goods/DGOR_2021.xlsx',sheet_name=
     years [4])
  data_2019=pd.read_excel('Dangerous_Goods/DGOR_2021.xlsx', sheet_name=
     years [5])
  data 2020=pd.read excel('Dangerous Goods/DGOR 2021.xlsx', sheet name=
     years [6])
  data 2021=pd.read excel('Dangerous Goods/DGOR 2021.xlsx', sheet name=
     years[7])
26
  data_2014.iloc[2,7]='Dangerous Goods Leaking' #In 2014 the Event Type
27
      about Leaking is identified differently, so we changed it (It was
       'Dangerous Goods Leaking related event'.)
28
 #Creating a dataframe to contain all data.
  data=[data_2014, data_2015, data_2016, data_2017, data_2018,
     data_2019, data_2020, data_2021]
31
32
  #EVENT TYPES ANALYSIS
33
  #Extracting only event types data and defining a list of every type,
     without manually insert them.
  ets_all=pd.Series(dtype=str) #new dataframe
  for year, df in zip (years, data): #for each year dataframe,
38
     extracting the column of event type and appending them all
     together
      et= pd. Series()
      et=df.iloc[:,7]
                       #Event Type
40
      ets_all=ets_all.append(et) #putting together every event type
41
  #from the whole list it is necessary to remove parenthesis, to drop
     dulicates and then to split the event types that are together in a
      single cell (divided by \n)
44
  ets_all=ets_all.str.replace('(','').str.replace(')','').
     drop duplicates (keep='first').str.split('\n').tolist()
 #then we got a list of lists, so with the command chain, all the
     items are concatenated in a single list that is transformed into a
      Series, which can be manipulated deleting other duplicates,
     sorting in ascending order and deleting also blank spaces
48
  et_all=pd. Series(list(chain(*ets_all))).str.lstrip().drop_duplicates(
     keep='first')
```

```
et_all=et_all.drop(et_all[et_all==','].index).sort_values(ascending=
     True)
 #et all is the list of 35 event types that can be used in reporting.
  #COUNTING
  eventtype_count=pd.DataFrame(index=et_all, columns=years) #new
     dataframe as columns the years and indexes all even types.
 #for each year the count of each event type is recorded in the
     specific cell in the new dataframe
  data plus=[data 2014, data 2015, data 2016, data 2017, data 2018,
     data_2019, data_2020 [data_2020 ['count']!=0], data_2021 [data_2021 ['
     count ']!=0]]
  #for years 2020 and 2021 it is necessary to not consider those
     occurences with 'count'=0, so I defined a new general Dataframe(
     data_plus)
  for year, df in zip(years, data_plus):
60
      for dg in et_all:
61
          eventtype_count.loc[dg,year]=df.iloc[:,7][df.iloc[:,7].str.
62
     contains (dg) ]. value_counts().sum()
63
  #Dataframe with total count per year (uncomment following rows if
     necessary)
65 #total_counts_tot=pd.DataFrame(index=['Total'], columns=years)
66 | #total_counts_tot [years] = eventtype_count [years].sum()
67 #eventtype_count=eventtype_count.append(total_counts_tot)
68
69
  eventtype count.to excel ('Analysis Excel/eventype all.xlsx') #saving
70
71
  eventtype_count
73
74 #GROUPING
75 #In order to make data more understandable, we define new groups
     merging some old ones together. The 10 new categories are listed
76 # in new_types, the first six are the same considered above, whereas
     the last four contain more groups.
77 new_type=['Dangerous Goods Undeclared', 'Dangerous Goods Forbidden','
     Dangerous Goods Labelling/Marking', 'Dangerous Goods Leaking',
     Dangerous Goods Damaged', 'Dangerous Goods Packaging', 'Dangerous
     Goods Handling and Loading', 'Dangerous Goods Not Matching
     Documentation', 'Smoke/Fumes/Fire Li-BAT', 'Other']
 #At each new row of the new dataframe we insert specifically the data
      of the considered group.
  newtype_count=pd.DataFrame(index=new_type, columns=years) #new
     DataFrame
81
```

```
82 #DG UNDECLARED
  newtype_count.loc['Dangerous Goods Undeclared']=eventtype_count.loc['
      Dangerous Goods Undeclared']
  #DG HANDLING AND LOADING
  # DG Handling and Loading contains also: Dangerous Goods Loading/
      Unloading, Dangerous Goods Load Weighting, Dangerous Goods Exceeds
       Storage Compartment Limitations, Dangerous Goods Unsecure without
       Shift
  load=['Dangerous Goods Handling and Loading', 'Dangerous Goods Loading
      /Unloading', 'Dangerous Goods Load Weighting', 'Dangerous Goods
      Exceeds Storage Compartment Limitations', 'Dangerous Goods Unsecure
       without Shift']
  newtype_count.loc['Dangerous Goods Handling and Loading']=
88
      eventtype count.loc[load].sum()
89
  #DG FORBIDDEN
90
  newtype_count.loc['Dangerous Goods Forbidden']=eventtype_count.loc['
91
      Dangerous Goods Forbidden']
92
  #DG LABELLING/MARKING
93
  newtype count.loc['Dangerous Goods Labelling/Marking']=
94
      eventtype_count.loc['Dangerous Goods Labelling/Marking']
  #DG NOT MATCHING DOCUMENTATION
  #Dangerous Goods Not Matching Documentation contains also Not
      Recorded
  doc=['Dangerous Goods Not Matching Documentation', 'Dangerous Goods
      Not Recorded'
  newtype count.loc['Dangerous Goods Not Matching Documentation']=
      eventtype count.loc[doc].sum()
100
  #DG LEAKING
  newtype count.loc['Dangerous Goods Leaking']=eventtype count.loc['
      Dangerous Goods Leaking']
  #DG DAMAGED
104
  newtype_count.loc['Dangerous Goods Damaged']=eventtype_count.loc['
105
      Dangerous Goods Damaged']
  #DG PACKAGING
107
  newtype count.loc['Dangerous Goods Packaging']=eventtype count.loc['
108
      Dangerous Goods Packaging']
  #SMOKE/FUMES/FIRE (Smoke/Fumes/Fire Li-BAT):
  flame=pd. Series (eventtype_count.index)
| flame=flame [flame.str.contains('|'.join(['Smoke', 'Battery', 'Fumes',
      Smell']))]. tolist() #keeping only the categories that contain
      certain words.
```

```
newtype_count.loc['Smoke/Fumes/Fire Li-BAT']=eventtype_count.loc[
      flame].sum()
114
115 #OTHER
  other=new_type [0:-4] + load+doc+flame
  newtype_count.loc['Other']=eventtype_count.drop(other).sum() #
      combining all left groups, so all without those already considered
       just above
  #In 'Other' category there are some event types that are not
      consistent with the analysis: from ENAC existing analysis, we can
      identify which event types had been ignored
  et_ignored=['Action Performed Incorrectly', 'Baggage Non-Compliant
      Carriage of Load', 'Baggage Non-Compliant Carriage of Load', '
      Baggage Security Check', 'Cargo Labelling/Marking', 'Crew Door Fails
       to Open/Close', 'External Load - Release', 'Helicopter RPM
      Exceedance', 'Lack of Communication', 'Passenger Carry-On Baggage', '
      Qualifications', 'Return to Stand', 'Use of Emergency Equipment']
121
122 #defining a new dataframe to save this change: the first 10
      categories stay the same
newtype_count_wother=pd.DataFrame(index=new_type, columns=years)
  newtype_count_wother.loc['Dangerous Goods Undeclared':'Smoke/Fumes/
      Fire Li-BAT']=newtype_count.loc['Dangerous Goods Undeclared':'
      Smoke/Fumes/Fire Li-BAT']
125 #NEW OTHER
  other_riduced=new_type[0:-4]+load+doc+flame+et_ignored
  newtype_count_wother.loc['Other'] = eventtype_count.drop(other_riduced)
      .sum() #combining all left groups, so all without those already
      considered just above
128
#Dataframe with total count per year (uncomment following rows if
| #total_counts_et=pd.DataFrame(index=['Total'], columns=years)
| #total_counts_et [years] = newtype_count_wother [years].sum()
  #newtype_count_wother=newtype_count_wother.append(total_counts_et)
133
  newtype_count_wother.to_excel('Analysis_Excel/event_type_collapsed.
134
      xlsx') #saving
  newtype count wother #df with modified OTHER category
136
138 #CHECKS AND VALIDATION
  #As a check, we count the total number of events in each year(14-19
      and 20-21 are separated at the beginning with if conditions) this
      check is done considering OTHER category complete (no event types
      have been removed as ENAC intervention), because it allows us to
      proper check the accuracy of the analysis.
140
```

```
141 for year, df in zip (years, data):
142
       total_event=newtype_count[year].sum() #total number of event type
143
       after manupulation
       print(f'Total number of events in {year}: {total_event}')
144
145
       if year='2014' or year='2015' or year='2016' or year='2017' or
146
      year='2018' or year='2019':
147
           total reports=len(df.iloc[:,7]) #total number of reports (
148
      number of excel file rows)
           print(f'Total number of reports in {year}: {total_reports}')
149
           multiple=list (df[df.iloc[:,7].fillna(',').str.contains('\n')
      .index) #delete blank cells and list which cells as \n
       if year='2020' or year='2021': #for 2020 and 2021 just 'count'=1
153
       is evaluted (attached files souldn't have this necessity)
154
           total_reports=len(df[df['count']!=0].iloc[:,7]) #total number
       of reports (number of excel file rows)
           print(f'Total number of reports in {year}: {total_reports}')
157
           multiple1=df[df['count']==1].reset_index(drop=True) #
158
      considering only rows with 'count'==1 because those could have
      multiple types in a single cell
           multiple=list (multiple1 [multiple1.iloc [:,7].fillna('').str.
159
      contains ('\n')].index)
           df=multiple1
160
161
      num_dg_2=0 #initiating variables: _2: two event types, _3: three
162
      event types ...
       num dg 3=0
163
       num dg 4=0
164
       num\_dg\_5{=}0
165
       num_dg_6=0
167
       for i in multiple:
           ex=df.iloc[i,7].count('\n') #counting how many \n
168
           if ex==1: #1 addition event type
169
               num_dg_2=num_dg_2+1
170
           if ex==2: #2 additional event type
171
               num_dg_3=num_dg_3+1
172
           if ex==3: #...
               num_dg_4=num_dg_4+1
174
           if ex==4:
               num_dg_5=num_dg_5+1
           exx=df.iloc[i,7].count('\n\n') #counting how many \n\n
177
      consecutively
           if exx==1:#one addition event types
178
```

```
num_dg_3=num_dg_3-1 #deleting that '3 event types'
179
                counted before
                                      num_dg_2=num_dg_2+1 #considering 2 event types, so 1
180
                additional
                            if exx == 2:
                                      num_dg_5=num_dg_5-1
182
                                      num_dg_3=num_dg_3+1
183
                            if exx==5:
184
                                      num dg 6=num dg 6+1
185
                            if exx!=1 and exx!=2 and exx!=5 and exx!=0 and ex>4: #
186
                checking other options
                                       print('Check the count!')
187
                 print(f'Total\ additional\ event\ types: \{num\_dg\_2\ +2*(num\_dg\_3) + 2*(num\_dg\_3) + 2*(num\_dg\_3
188
                3*(num_dg_4) + 4*(num_dg_5) + 5*(num_dg_6) ')
                 print(f'2 event type: {num_dg_2}')
189
                  print(f'3 event type: {num_dg_3}')
190
                 print(f'4 event type: {num_dg_4}')
                 print(f'5 event type: {num_dg_5}')
192
                 print(f'6 event type: {num_dg_6}')
193
                 print(' ')
194
                  if \ total\_event! = total\_reports \ + \ num\_dg\_2 \ + 2*(num\_dg\_3) + \ 3*(
               num_dg_4) + 4*(num_dg_5) + 5*(num_dg_6):
                            print('Missing data!')
196
197
       #GRAPHICS
198
199
       # Pie Charts about Event Types
200
201
       fig, ax = plt.subplots(2, 4, figsize = (12, 6)) #creating figure box
202
203
       fig.suptitle('Event Types', y=1,fontsize=28) #title
204
205
       color = ['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'khaki', '
206
                yellowgreen','forestgreen','lightblue','cornflowerblue'] #colors
207
       ax = ax.ravel()
208
209
       for i in range(len(years)): #using a loop to draw every pie chart
210
211
                 axes = ax[i]
212
213
                 axes.pie(newtype_count_wother[years[i]], colors=color, shadow=
214
                False, labeldistance=1.1, startangle=90, radius=1.1, wedgeprops =
                { "edgecolor" : "black", 'linewidth': 0.3, 'antialiased': True})
215
                 axes.set_title(years[i], fontsize=22, y=0.97)
217
       legend=fig.legend(new_type, loc='center left', bbox_to_anchor=(1,
                0.5), prop={'size': 14}) #legend
```

```
# legend fontsize
\#plt.rc('legend', fontsize=22)
220
  fig.tight layout()
221
  fig.savefig('Graphics/event_types/event_type_general.pdf',format =
222
      pdf', dpi = 300) #saving
223
   fig.tight_layout()
224
  fig.savefig('Graphics/event_types/event_type_general_withlgd.pdf',
225
      bbox inches='tight', format = 'pdf', dpi = 300)#saving chart and
      legend
226
  def export_legend(legend, filename='Graphics/event_types/
227
      event_type_ldg_pie.pdf'):
       fig=legend.figure
228
       fig.canvas.draw()
229
       bbox=legend.get_window_extent().transformed(fig.dpi_scale_trans.
230
      inverted())
       fig.savefig(filename, dpi=300, bbox_inches=bbox)
231
232
  export_legend(legend) #saving only the legend
233
234
235
  # Bar Chart about Event Types General
236
237
  fig , ax = plt.subplots(1,1, sharex = False, sharey=False, figsize
238
      =(7, 6)) #creating figure box
239
  fig.suptitle('Event Types', y=1.05, fontsize=28) #title
240
241
  axisx=np.arange(len(years))
242
243
  axes = ax
244
245
  axes.bar(x=axisx, height=newtype_count_wother.sum(), width=0.5,
246
      tick_label=years, color='tab:blue')
247
  axes.set_title('Trend overtime', fontsize=16, pad=20)
248
249
  axes.yaxis.tick_right() #setting ticks on the right axis
250
  axes.grid(axis='y') #showing only y grid
  plt.xticks(rotation='vertical')
  plt.rc('xtick', labelsize=16)
  plt.rc('ytick', labelsize=16)
  ax.set_ylabel('Occurrences', fontsize=18) #axis title
256
  fig.savefig('Graphics/event_types/event_type_overtime.pdf',
257
      bbox_inches='tight', format = 'pdf', dpi = 300)#saving
259 #
```

```
260 #Stacked Bar chart with percentage for years
  fig, ax = plt.subplots(1, 1, figsize=(12, 8)) #creating figure box
262
   fig.suptitle('Event Types', fontsize=28) #title
   color=['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'khaki', '
265
      yellowgreen','forestgreen','lightblue','cornflowerblue'] #colors
266
   (newtype count wother/newtype count wother.sum()*100).T.plot.barh(
268
      stacked=True, color=color, legend=True, lw=0, width = 0.95, ax=ax)
269
   legend=ax.legend(new_type, loc='center left', bbox_to_anchor=(1, 0.5)
      ) #legend
  ax.set_ylabel('Years', fontsize=18) #axis title
  ax.spines['top'].set_visible(False) #deleting top box line
273 ax.spines['right'].set_visible(False) #deleting right box line
274 ax.spines ['bottom'].set_visible (False) #deleting bottom box line
275 ax.grid(axis='x') #shwoing only x grid
  plt.rc('xtick', labelsize=18)
   plt.rc('ytick', labelsize=18)
  fmt = '%.0 f\%' #setting percentages on x axis
   xticks = mtick.FormatStrFormatter(fmt)
  ax.xaxis.set_major_formatter(xticks)
282
  ax.legend().set_visible(False)
283
   fig.tight_layout()
   fig.savefig('Graphics/event_types/
      event type stackedbar normalized nolgd.pdf', format = 'pdf', dpi =
       300)#saving chart without legend
286
   ax.legend(new type, loc='center\ left', bbox to anchor=(1, 0.5)).
287
      set visible (True)
   fig.savefig('Graphics/event_types/
      event_type_stackedbar_normalized_withlgd.pdf', bbox_inches='tight'
      , format = 'pdf', dpi = 300)#saving chart plus legend
289
   def export_legend(legend, filename='Graphics/event_types/
      event_type_stackedbar_normalized_lgd.pdf'):
       fig=legend.figure
291
       fig.canvas.draw()
292
       bbox=legend.get_window_extent().transformed(fig.dpi_scale_trans.
293
      inverted())
       fig.savefig(filename, dpi=300, bbox inches=bbox)
294
295
   export_legend(legend) #saving only the legend
298
```

```
299 #Stacked Bar chart with percentage for event type
300
301
      fig, ax = plt.subplots(1, 1, figsize = (18, 10))
302
      fig.suptitle('Event Types', fontsize=28)
303
304
      color = ['lightcoral', 'red', 'chocolate', 'gold', 'yellowgreen','
305
              forestgreen', 'lightskyblue', 'cornflowerblue']
306
307
308
      (newtype_count_wother.T/newtype_count_wother.T.sum()*100).T.plot.barh
309
              (stacked=True, color=color, legend=True, lw=0, width = 0.95, ax=ax)
310
      legend=ax.legend(years, loc='center left', bbox_to_anchor=(1, 0.5))#
311
              legend
      ax.set_ylabel('Types', fontsize=18) #axis title
      ax.spines['top'].set_visible(False) #deleting top box line
313
      ax.spines['right'].set_visible(False) #deleting right box line
ax.spines['bottom'].set_visible(False) #deleting bottom box line
      ax.invert vaxis()
      ax.grid(axis='x') #shwoing only x grid
317
      plt.rc('xtick', labelsize=18)
318
      plt.rc('ytick', labelsize=18)
319
320
      fmt = '%.0f\%' #setting percentages on x axis
321
      xticks = mtick.FormatStrFormatter(fmt)
322
      ax.xaxis.set_major_formatter(xticks)
323
324
325
      ax.legend().set_visible(False)
326
      fig.tight_layout()
      fig.savefig('Graphics/event types/
328
              event_type_stackedbar_normalized_nolgd2.pdf',bbox_inches='tight',
              format = 'pdf', dpi = 300)#saving chart without legend
329
      ax.legend(years, loc='center left', bbox_to_anchor=(1, 0.5)).
330
              set_visible(True)
      fig.savefig('Graphics/event_types/
331
              event\_type\_stackedbar\_normalized\_withlgd2.pdf', \ bbox\_inches='tight', \ bbox\_inches='tig
               ', format = 'pdf', dpi = 300) #saving
332
      def export_legend(legend, filename='Graphics/event_types/
333
              event_type_stackedbar_normalized_lgd2.pdf'):
               fig=legend.figure
               fig.canvas.draw()
335
               bbox=legend.get_window_extent().transformed(fig.dpi_scale_trans.
336
              inverted())
               fig.savefig(filename, dpi=300, bbox inches=bbox)
337
```

```
338
   export_legend(legend) #savinh just the legend
340
341
   # Bar Chart about Event Types
342
343
   fig, ax = plt.subplots(5,2, sharex = False, sharey=False, figsize
344
      =(16, 22)) #creating figure box
   fig.suptitle('Event Types', fontsize=28,y=0.92) #title
   plt.subplots adjust (hspace=0.9)
347
348
   color = ['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'orangered', '
      yellowgreen', 'forestgreen', 'lightblue', 'cornflowerblue'] #colors
350
351
   axisx=np.arange(len(years)) #defining x positions
352
353
   ax = ax.ravel()
354
355
   for i in range(len(new_type)): #using a loop to draw every bar chart
357
       axes = ax[i]
358
359
       axes.bar(x=axisx, height=newtype_count.iloc[i,:],
360
      tick label=years, color=color[i])
361
       axes.set_title(new_type[i], fontweight='bold', fontsize=18) #
362
       axes.grid(axis='y') #showing only y grid
363
       axes.set_xticklabels(years, rotation = 90)
364
   plt.rc('xtick', labelsize=18)
366
   plt.rc('ytick', labelsize=20)
367
368
   fig.savefig('Graphics/event_types/event_type_barchat.pdf', format =
      pdf', dpi = 300) \# saving
370
371
  #DANGEROUS GOODS TYPES ANALYSIS
373
374
  #Extracting Data from Excel files about DG Types from 2014 to 2021,
      creating a new dataframe for each year
376
  dgs_2014 = pd.DataFrame()
  dgs_2014['source']=data_2014.iloc[:, 0] #source
  dgs_2014 ['goods type']=data_2014.iloc[:,6] #type
|dgs_2014| 'counts' = 1 #Number of goods set to 1
```

```
_{381} | \# 2015
  dgs_2015 = pd.DataFrame()
383 dgs_2015 ['source']=data_2015.iloc[:, 0]
384 dgs_2015 ['goods type']=data_2015.iloc[:,6]
dgs_2015['counts']=1 #Number of goods set to 1
  #2016
386
  dgs_2016 = pd.DataFrame()
387
388 dgs_2016 ['source']=data_2016.iloc[:, 0]
  dgs_2016 ['goods type']=data_2016.iloc[:,6]
  dgs 2016 ['counts']=1 #Number of goods set to 1
391 #2017
|dgs\_2017| = pd.DataFrame()
  dgs_2017['source']=data_2017.iloc[:, 0]
  dgs_2017 [ 'goods type ']=data_2017.iloc [:,6]
394
  dgs_2017 ['counts']=1 #Number of goods set to 1
395
396 #2018
|dgs\_2018| = pd.DataFrame()
398 dgs_2018 ['source'] = data_2018.iloc[:, 0]
399 dgs_2018 ['goods type']=data_2018.iloc[:,6]
400 dgs_2018 ['counts']=1 #Number of goods set to 1
  #2019
  dgs_2019 = pd.DataFrame()
402
  dgs_2019['source']=data_2019.iloc[:, 0]
d_{04} d_{8}_{2019} [goods type] = data_{2019.iloc} [goods type]
  dgs_2019 ['counts']=1 #Number of goods set to 1
406 #2020
|dgs_2020| = pd.DataFrame()
  dgs_2020['source']=data_2020.iloc[:, 0]
  dgs_2020['goods type']=data_2020.iloc[:,6]
  dgs 2020 ['counts'] = data 2020.iloc[:,8] #Number of goods
410
  #2021
411
|dgs\_2021| = pd.DataFrame()
413 dgs 2021 ['source'] = data 2021.iloc[:, 0]
  dgs_2021 ['goods type']=data_2021.iloc[:,6]
  dgs_2021['counts']=data_2021.iloc[:,8] #Number of goods
415
416
417
  \#2014 - 2019
418
#Process for each year:
420 #Read data from the specific column, deleting blank rows and
      resetting the index
421 #Listing the dg types dividing those that are in a single cell(
      divided by \n), then removing special characters and sorting them
  #Creating a new dataframe listing all the codes, taking only the
      string before the first space (usually xxxx)
  #Counting how many time a code reoccurs.
423
424
426 goods_14=dgs_2014 ['goods_type'].dropna().reset_index(drop=True)
```

```
goods_14=pd. Series(list(chain(*goods_14.str.split('\n').tolist()))).
      str.replace(''', '').str.replace(''', '').sort_values(ascending=True
      ).reset_index(drop=True)
  dgs_code14=pd. Series (dtype=str)
  for i in range(len(goods_14)):
430
      dgs_code14=dgs_code14.append(pd.Series(goods_14[i].split()[0]),
      ignore_index=True)
  counts_14=pd.DataFrame(dgs_code14.value_counts(),columns=['2014']).
431
      astype(str) #counting
432
433 #2015
  goods_15=dgs_2015 ['goods_type'].dropna().reset_index(drop=True)
434
  goods_15=pd. Series(list(chain(*goods_15.str.split('\n').tolist()))).
      str.replace(''',','').str.replace(''',','').sort_values(ascending=True
      ).reset_index(drop=True)
  dgs_code15=pd. Series (dtype=str)
  for i in range(len(goods_15)):
      dgs_code15=dgs_code15.append(pd.Series(goods_15[i].split()[0]),
438
      ignore_index=True)
  counts_15=pd.DataFrame(dgs_code15.value_counts(), columns=['2015']).
      astype(str)
440
  #2016
441
  goods_16=dgs_2016 ['goods type'].dropna().reset_index(drop=True)
  goods_16=pd. Series(list(chain(*goods_16.str.split('\n').tolist()))).
      ).reset_index(drop=True)
  dgs_code16=pd. Series (dtype=str)
  for i in range(len(goods_16)):
445
      dgs code16=dgs code16.append(pd.Series(goods 16[i].split()[0]),
446
      ignore index=True)
  counts 16=pd.DataFrame(dgs code16.value counts(), columns=['2016']).
      astype(str)
448
449
  goods_17=dgs_2017 ['goods type'].dropna().reset_index(drop=True)
  goods_17=pd. Series(list(chain(*goods_17.str.split('\n').tolist()))).
      str.replace(''', '').str.replace(''', '').sort_values(ascending=True
      ).reset_index(drop=True)
  dgs_code17=pd. Series (dtype=str)
  for i in range (len (goods 17)):
      dgs_code17=dgs_code17.append(pd.Series(goods_17[i].split()[0]),
454
      ignore_index=True)
  counts_17=pd.DataFrame(dgs_code17.value_counts(),columns=['2017']).
      astype(str)
456
457 #2018
458 goods_18=dgs_2018 ['goods_type'].dropna().reset_index(drop=True)
```

```
goods_18=pd. Series(list(chain(*goods_18.str.split('\n').tolist()))).
      str.replace(''', '').str.replace(''', '').sort_values(ascending=True
      ).reset index(drop=True)
  dgs_code18=pd. Series (dtype=str)
  for i in range (len (goods_18)):
       dgs_code18=dgs_code18.append(pd.Series(goods_18[i].split()[0]),
462
      ignore_index=True)
  counts_18=pd.DataFrame(dgs_code18.value_counts(), columns=['2018']).
463
      astype(str)
464
  #2019
465
  goods_19=dgs_2019 ['goods_type'].dropna().reset_index(drop=True)
466
  goods_19=pd. Series (list (chain (*goods_19.str.split ('\n').tolist ()))).
      str.replace(''', '').str.replace(''', '').sort_values(ascending=True)
      ).reset index(drop=True)
  dgs_code19=pd. Series (dtype=str)
  for i in range(len(goods_19)):
       dgs_code19=dgs_code19.append(pd.Series(goods_19[i].split()[0]),
470
      ignore_index=True)
  counts_19=pd.DataFrame(dgs_code19.value_counts(), columns=['2019']).
      astype(str)
472
  #The focus is on the occurences with 'count' equal to 1, namely those
473
       without attached files. It is the same process that 2014-2019
  #2020
475
  goods_20=pd. Series (dtype=str)
476
  goods_20=dgs_2020[dgs_2020['counts']==1]['goods_type'].dropna().
      reset_index(drop=True)
  goods_20=pd. Series (list (chain (*goods_20.str.split ('\n').tolist ()))).
478
      str.replace(''', '').str.replace(''', '').sort_values(ascending=True)
      ).reset index(drop=True)
  dgs code20=pd. Series (dtype=str)
479
  for i in range (len (goods 20)):
480
       dgs_code20=dgs_code20.append(pd.Series(str(goods_20[i]).split()
481
      [0]), ignore_index=True)
482
  #In this case the focus is on the occurrences with multiple 'count'
483
      reported, so it is necessary to read attached files correlated by
      the 'source'
484
485 #2020 plus
  #listing all the 'source' with 'number of goods' higher than 1 and
      than defining the strings to read corresponding attached files
  goods_pos=dgs_2020[(dgs_2020['counts']!=1) & (dgs_2020['counts']!=0)
487
      ['source'].str.lstrip()
488
  good=pd. Series (dtype=str)
  goods_20plus=pd. Series (dtype=str)
```

```
error_count20=0 #difference error to be considered in the check of
      total DGs extracted compared to the sum of 'count'
492
   for it in goods_pos:
493
       goods_source='Dangerous_Goods/2020/'+it+'.xlsx' #sttached file
494
       good=pd.read_excel(goods_source)['Unnamed: 3'].dropna().
495
      reset_index(drop=True).drop(index=0) #reading the columns in the
      attached files, removing blank cells as the title (index=0), adding
       a check about the length of the attached files and the number
      reported in the 'count' columns
      num_count=dgs_2020[dgs_2020['source'].str.contains(it)]['counts'
496
      . values
       if (len(good)!=num count).all(): #possible error in 'count'
497
      column
           print(f'The attached file {it} contains {len(good)} instead
      of {num_count} reported')
           error_count20=error_count20+(num_count-len (good))
499
500
       goods_20plus=goods_20plus.append(good) #listing together all DG
501
      types from all the files
502
   goods_20plus=pd. Series (list (chain (*goods_20plus.str.split ('\n')).
      tolist()))).str.replace(',',',').str.replace(',',',').sort_values(
      ascending=True).reset_index(drop=True)
   dgs_code20plus=pd. Series (dtype=str)
   for i in range(len(goods_20plus)):
505
       dgs_code20plus=dgs_code20plus.append(pd.Series(str(goods_20plus[i
506
      [).split()[0]), ignore_index=True) #taking only the first string(
      UN code)
507
  #2020 TOTAL: adding together 2020 and 2020 plus codes list and
      countig them.
   counts 20tot=pd.DataFrame(dgs code20.append(dgs code20plus).
      value_counts(), columns=['2020']).astype(str)
   #2021 occurrences with 'counts' equal to 1 need the same process of
      2020.
512 #2021
  goods_21=pd. Series (dtype=str)
   goods 21=dgs 2021 [dgs 2021 ['counts']==1] ['goods type'].dropna().
      reset_index(drop=True)
  goods_21=pd. Series(list(chain(*goods_21.str.split('\n').tolist()))).
      str.replace(''', '').str.replace(''', '').sort_values(ascending=True
      ).reset index(drop=True)
  dgs_code21=pd. Series (dtype=str)
  for i in range (len (goods_21)):
       dgs_code21=dgs_code21.append(pd.Series(str(goods_21[i]).split()
      [0]), ignore_index=True)
```

```
counts_21=dgs_code21.value_counts()
520
   #2021 occurrences with 'counts' higher that 1 are linked to attached
      files, but for 2021 the file names have variations.
   #2021 plus
   #getting all the sources listed
   goods_pos=dgs_2021[(dgs_2021['counts']!=1) & (dgs_2021['counts']!=0)
524
      [ 'source'].str.lstrip()
   good=pd. Series (dtype=str)
526
   goods_21plus=pd. Series (dtype=str)
   error_count21=0 #difference to be considered in the check of total dg
528
       extracted compared to the sum of 'count'
   for it in goods_pos: #for each source we defined the possible file
530
      name between the different variations
       goods_source1='Dangerous_Goods/2021/'+it+'.xlsx'
531
       goods_source2='Dangerous_Goods/2021/eE-MOR'+it+'.xlsx'
532
       goods_source3='Dangerous_Goods/2021/EE-MOR'+it+'.xlsx
       goods_source4='Dangerous_Goods/2021/'+it+' (modificato).xlsx'
534
       goods source5='Dangerous Goods/2021/EE-MOR'+it+'.xlsx
       goods source6='Dangerous Goods/2021/eE-MOR'+it+' .xlsx'
536
       if os.path.isfile(goods_source1): #we verify which of the
538
      possible namnes exists in the directory and than read the file
           good=pd.read_excel(goods_source1)['Unnamed: 3'].dropna().
      reset_index(drop=True).drop(index=0)
       elif os.path.isfile(goods_source2):
540
           good=pd.read_excel(goods_source2)['Unnamed: 3'].dropna().
      reset index (drop=True).drop(index=0)
       elif os.path.isfile(goods_source3):
542
           good=pd.read_excel(goods_source3)['Unnamed: 3'].dropna().
      reset index (drop=True).drop(index=0)
       elif os.path.isfile(goods source4):
544
           good=pd.read_excel(goods_source4)['Unnamed: 3'].dropna().
545
      {\tt reset\_index}\,(\,{\tt drop\!=\!True}\,)\,.\,{\tt drop}\,(\,{\tt index}\,{=}0)
546
       elif os.path.isfile(goods_source5):
           good=pd.read_excel(goods_source5)['Unnamed: 3'].dropna().
547
      {\tt reset\_index}\,(\,{\tt drop}{=}{\tt True}\,)\,.\,{\tt drop}\,(\,{\tt index}\,{=}0)
       elif os.path.isfile(goods_source6):
           good=pd.read excel(goods source6)['Unnamed: 3'].dropna().
549
      reset index (drop=True).drop(index=0)
       else:
            print (f'Name file not recognized {it}') #if the name is
      different or doens't exist this is this check
       #adding a check about the length of the attached files and the
      number reported in the 'count' columns
```

```
num_count=dgs_2021 [dgs_2021 ['source'].str.contains(it)]['counts'
      ]. values
       if (len(good)!=num_count).all():
556
           print(f'The attached file {it} contains {len(good)} instead
      of {num_count} reported')
           error_count21=error_count21+(num_count-len (good))
558
       goods 21plus=goods 21plus.append(good)
560
561
   goods 21plus=pd. Series (list (chain (*goods 21plus.str.split ('\n')).
562
      tolist()))).str.replace(''', '').str.replace(''', '').sort_values(
      ascending=True).reset_index(drop=True)
   dgs code21plus=pd. Series (dtype=str)
   for i in range(len(goods_21plus)):
565
       dgs_code21plus=dgs_code21plus.append(pd.Series(str(goods_21plus[i
      ]).split()[0]), ignore_index=True) #taking the UN code
566
  #2021 TOTAL: adding together 2021 and 2021 plus codes list and
      coutig them.
   counts_21tot=pd.DataFrame(dgs_code21.append(dgs_code21plus).
      value_counts(), columns=['2021']).astype(str)
569
570 #CHECK AND VALIDATIONS
  #for each year we count the number of total rows we get from the
      Excel files and the total DGs we get after the manipulation: they
      can be different because in one Excel cell can be more DGs. Then,
      we compare the two numbers with those of blank cells, and those of
       multiple data cells.
572
   data_dgs = [dgs_2014, dgs_2015, dgs_2016, dgs_2017, dgs_2018, dgs_2019,
       dgs 2020, dgs 2021]
   data code=[dgs code14, dgs code15, dgs code16, dgs code17, dgs code18
        dgs\_code19, dgs\_code20, dgs\_code21, dgs\_code20plus, dgs\_code21plus
   cell_blank=pd.DataFrame(index=['blank cells'], columns=years) #new df
       to contain number of blank cells
577
   for year, df in zip(years, data_dgs):
579
       total reports=df['counts'].sum() #total number of rows in Excel
580
      Files
       print(f'Total reports of Dangeruos Goods in {year}: {
      total reports \}')
582
       if year='2014'or year='2015'or year='2016'or year='2017'or
583
      year='2018' or year='2019':
```

```
multiple=list(df[df['goods type'].fillna('').str.contains('\
584
      n') ]. index) #delete blank cells and list which cells as \n
585
           total_dg=len(data_code[years.index(year)]) #total number of
586
      dg after manipulation
           print(f'Total number of dangerous goods extracted in {year}:
587
      \{total\_dg\}'
588
           cell_blank[year]=df['goods type'].isna().sum() #number of
589
      blank cell to be conider
590
       if year='2020'or year='2021': #for 2020 and 2021 just 'count'=1
591
       is evaluted (attached files souldn't have this necessity)
           multiple1=df[df['counts']==1].reset_index(drop=True) #I
      remove dropna() differently than what i did before because it gave
       me an empty df
           multiple=list (multiple1 [multiple1 ['goods type'].fillna('').
593
      str.contains('\n')].index)
           df=multiple1
595
           total_dg=len(data_code[years.index(year)])+len(data_code[
596
      years.index(year)+2]) #total number of dg after manipulation ('+2'
       is to indicate the index of 'plus')
           print(f'Total number of dangerous goods extracted in {year}:
597
      \{ total_dg \}' 
598
           cell_blank[year]=df['goods type'].isna().sum() #number of
599
      blank cell to be conider when 'count'=1 !!!!
600
       num_dg_2=0 #initiating variables: _2: two event types, _3: three
601
      event types ...
       num_dg_3=0
       num dg 4=0
603
       num dg 5=0
604
       num_dg_6=0
       for i in multiple:
           ex=df['goods type'][i].count('\n') #checkinh how many \n
607
           if ex==1: #two types together
608
               num\_dg\_2\!\!=\!\!num\_dg\_2\!\!+\!1
609
           if ex==2: #three types together
610
               num dg 3=num dg 3+1
611
           if ex==3: #...
612
               num_dg_4=num_dg_4+1
613
           if ex==4:
614
               num_dg_5=num_dg_5+1
615
           exx=df['goods type'][i].count('\n\n') #checkinh how many \n\n
616
       consecutively
617
           if exx==1:
               num_dg_3=num_dg_3-1 #no three types
618
```

```
num_dg_2=num_dg_2+1 #yes two types
           if exx==2:
620
               num_dg_5=num_dg_5-1
621
               num_dg_3=num_dg_3+1
622
           if exx==5:
               num_dg_6=num_dg_6+1
624
           if exx!=1 and exx!=2 and exx!=5 and exx!=0 and ex>4:
625
                print('Check the count!') #check in case new arragement
626
      happens
       print(f'Total additional DG types: {num dg 2 +2*(num dg 3)+ 3*(
627
      num dg 4)+4*(num dg 5)+5*(num dg 6) \}'
       print(f'2 DG type: {num_dg_2}')
628
       print(f'3 DG type: {num_dg_3}')
       print(f'4 DG type: {num_dg_4}')
630
       print(f'5 DG type: {num_dg_5}')
631
       print(f'6 DG type: {num_dg_6}')
632
       print(f'Blank cells: {cell_blank[year].sum()}')
633
634
       if year='2014' or year='2015' or year='2016' or year='2017' or
635
      year="'2018' or year="'2019':
           if total_dg+ cell_blank[year].sum()!=total_reports + num_dg_2
       +2*(num_dg_3) + 3*(num_dg_4) + 4*(num_dg_5) + 5*(num_dg_6):
               print ('Missing data!') #warning in case of miscounting
637
           print('')
638
       elif year='2020': #taking into account 'count' column errors
639
           print(f'Number of missing counts:{abs(error_count20)}')
640
           if total_dg+ cell_blank[year].sum()!=total_reports + num_dg_2
641
       +2*(num_dg_3) + 3*(num_dg_4) + 4*(num_dg_5) + 5*(num_dg_6) -
      error_count20:
               print('Missing data!')
642
           print(' ')
643
       elif year="2021": #taking into account 'count' column errors
644
           print(f'Number of missing counts:{abs(error count21)}')
645
           if total_dg+ cell_blank[year].sum()!=total_reports + num_dg_2
646
       +2*(num_dg_3) + 3*(num_dg_4) + 4*(num_dg_5) + 5*(num_dg_6) -
      error_count21:
               print('Missing data!')
647
           print('')
648
649
   dgs allyears=pd.DataFrame() #new dataframe where insert all the data
651
   dgs_allyears=pd.concat([counts_14,counts_15,counts_16,counts_17,
      counts_18, counts_19, counts_20tot, counts_21tot], axis=1). fillna('0')
      .sort index()
   dgs_allyears.reset_index(inplace=True)
   dgs_allyears = dgs_allyears.rename(columns = {'index': 'code'}) #
      naming correctly codes columns.
655 dgs_allyears
```

```
656
  #ICAO CODES READING
  page=list(range(2,235)) #up to the next page in order to end at 235
658
659 | file1 = "Dangerous_Goods/doc_9284_2019_2020.pdf"
  table = tabula.read_pdf(file1,pages=page)
  df=table #creating a dataframe with the data of the pages, table [0]
      corresponds to the first page etc..
662
  #each table has all the interesting data at the second row in '
      Unnamed: 0' and 'UN/rNo.' columns.
  #from a check control below, we could verified that some pages didn't
       get read correctly with the above method, so for these pages (in
      this case 130,131,220) the method is adapted.
665
  #PAGE 130
666
  table1 = tabula.read_pdf(file1, pages=130)
  df1=table1[0]
  pg130=df1 [['Unnamed: 2', 'Unnamed: 3']].dropna().reset_index(drop=True
669
      ). drop (index = [0,1])
  #PAGE 131
  table2 = tabula.read_pdf(file1,pages=131)
  df2=table2[0]
672
  pg131=df2 [['Unnamed: 2', 'Unnamed: 3']].dropna().reset_index(drop=True
      ). drop (index = [0,1])
  #PAGE 220
  table3 = tabula.read_pdf(file1, pages=220)
675
  df3=table3 [0]
676
  pg220=df3 [['Unnamed: 2', 'Unnamed: 3']].dropna().reset_index(drop=True
      ). drop (index = [0,1])
678
  more=pg130.append([pg131, pg220]).reset_index(drop=True).rename({
679
      Unnamed: 2': 'codes', 'Unnamed: 3': 'classes'}, axis=1)
  more ['classes']=more ['classes']. str. split ('.', expand=True) [0]
680
681
  #new variables
  codes=pd. Series (dtype=str) #auxiliary variable: will become a columns
       of the dataframe
  classes=pd. Series (dtype=str) #auxiliary variable: will become a
      columns of the dataframe
  codes_icao=pd.DataFrame() # will contains codes and classes from the
      ICAO document
  for i in range (0,len(page)): #both classes and codes need to be
687
      separated at \r
       codes = codes.append(pd.Series(str(df[i].iloc[2,0]).split('\r'))).
      reset index (drop=True)
       classes=classes.append(pd.Series(str(df[i].iloc[2,1]).split('\r')
689
      )).reset_index(drop=True)
690
```

```
691 #What we actually need is just the general classes and not the sub-
      classes, so we can remove the number after the point and delete
      possible duplicates
   for i in classes:
        if len(i) > 1:
694
           i=i.split('.')[0]
695
  #in the ICAO document there are some rows with 'FORBIDDEN' and those
      have to be deleted
   codes icao ['codes'] = codes [codes! = 'FOR']
   codes icao ['classes'] = classes [classes! = 'IDDE'].str.split('.', expand=
      True) [0]
   codes_icao=codes_icao.drop_duplicates(keep='first',ignore_index=True)
      .append(more, ignore_index=True)
700
   codes_icao.to_excel('Analysis_Excel/codes_icao.xlsx')
701
   codes_icao
703
704
   #MATCHING
705
   def matching (x): #defining a function to match from the code the
      corresponding class
       if codes_icao ['codes'].str.contains(x).any(): #verifying if the
707
      string exist in the ICAO pdf
708
           for i in range (0, len (codes_icao ['codes'])):
709
                if (x=codes_icao['codes'][i]):
710
                    match=codes_icao['classes'][i]
       else:
712
           match='Notfound'
713
       return match
714
715
   matches=pd. Series (dtype=str)
716
717
   for i in dgs_allyears['code']:
       if len(i) < 2: #classes already defined
719
720
           et=i
       elif len(i)==4: \#codes of 4 numbers
721
729
           et=matching(i)
       elif i='Battery,': #a specific case that needs to be considered
      individually
           et='9'
724
       elif i="'Not': #Not defined
725
           et = 'ND'
       elif i=='UNK': #Unknown
727
728
       elif i='radioactive': #a specific case that needs to be
729
      considered individually
           et=7
730
```

```
else:
731
           (f' {i} not identified')
732
       matches=matches.append(pd.Series(et)).reset_index(drop=True)
733
734
  dgs_allyears ['class']=matches #resulting table
736
  dgs_allyears.to_excel('Analysis_Excel/dg_types_all.xlsx')
737
738
  display (dgs allyears)
739
740
  #From the matching, codes not found in ICAO document are listed below
741
      . This is a check to understand if the ICAO document is read
      correctly: if the Series in EMPTY no mistakes have been committed,
       on the contrary if there are nay codes showing a manual
      intervention is needed.
  print('Codes not found in Icao document are:')
  print(dgs_allyears[dgs_allyears['class']=='Notfound']['code'])
  print ('Please check pdf reading or new versions of the document.')
744
745
  #GROUPING
746
  new_classes=['class 1: Explosives', 'class 2: Flammable Gases', 'class
       3: Flammable Liquids', 'class 4: Flammable Solids', 'class 5:
      Oxidizing', 'class 6: Toxic & Infectious', 'class 7: Radioactive',
       'class 8: Corrosives', 'class 9: Miscellaneous - other than Li-
      BAT', 'class 9-Bat: Miscellaneous - Li-BAT', 'ND: Not defined']
748
  dgs_inclasses=pd.DataFrame(index=new_classes, columns=years) #new
749
      dataframe to collect data divided in classes and years.
750
  #Class 1: Explosives
751
  cl1=dgs_allyears [dgs_allyears ['class']=='1'].index
752
  dgs_inclasses.loc[new_classes[0]] = dgs_allyears.loc[cl1][years].astype
      (int).sum()
754
  #Class 2: Flammable Gases
  cl2=dgs_allyears [dgs_allyears ['class']=='2'].index
  dgs_inclasses.loc[new_classes[1]] = dgs_allyears.loc[cl2][years].astype
757
      (int).sum()
758
  #Class 3: Flammable Liquids
  cl3=dgs allyears [dgs allyears ['class']=='3'].index
  dgs_inclasses.loc[new_classes[2]] = dgs_allyears.loc[cl3][years].astype
761
      (int).sum()
  #Class 4:Flammable Solids
763
  cl4=dgs_allyears [dgs_allyears ['class']=='4'].index
  dgs_inclasses.loc[new_classes[3]] = dgs_allyears.loc[cl4][years].astype
      (int).sum().astype(int)
766
```

```
767 #Class 5: Oxidizing
  cl5=dgs_allyears [dgs_allyears ['class']=='5'].index
  dgs_inclasses.loc[new_classes[4]] = dgs_allyears.loc[cl5][years].astype
769
      (int).sum()
  #Class 6: Toxic & Infectious
771
  cl6=dgs_allyears[dgs_allyears['class']=='6'].index
  dgs_inclasses.loc[new_classes[5]] = dgs_allyears.loc[cl6][years].astype
      (int).sum().astype(int)
774
775 #Class 7: Radioactive
  cl7=dgs_allyears [dgs_allyears ['class']=='7'].index
776
  dgs_inclasses.loc[new_classes[6]] = dgs_allyears.loc[cl7][years].astype
      (int).sum()
778
  #Class 8: Corrosives
  cl8=dgs_allyears [dgs_allyears ['class']=='8'].index
  dgs_inclasses.loc[new_classes[7]] = dgs_allyears.loc[cl8][years].astype
      (int).sum()
  #Class 9: Miscellaneous — other than Li-BAT / Class 9-Bat:
      Miscellaneous - Li-BAT
  c19=dgs_allyears[(dgs_allyears['class']=='0') | (dgs_allyears['class'
      =='9')].reset_index(drop=True) #filter in class 9 but also for
      code=0 which is ''a manual operation by enac''
  miscthan=['1845', '2807', '3077', '3082', '3316', '3363', '8000', '9', '2990'
      , '3166'] #codes to be written MANUALLY
  misc=['0', '3090', '3091', '3171', '3480', '3481', 'Battery, '] #codes to be
       written MANUALLY
  cl9miscthan=pd.DataFrame(columns=years)
  c19misc=pd.DataFrame(columns=years)
  for i in range (0, len(cl9)):
       if c19 ['code'][i] in miscthan:
790
           cl9miscthan=cl9miscthan.append(cl9.iloc[i])
791
       if cl9 ['code'][i] in misc:
792
           cl9misc=cl9misc.append(cl9.iloc[i])
       elif cl9['code'][i] not in miscthan and cl9['code'][i] not in
794
           print(cl9['code'][i],'is not considered in the classification
795
       of Class 9. A manual operation is needed.')
  dgs_inclasses.loc[new_classes[8]] = cl9miscthan[years].astype(int).sum
797
  dgs_inclasses.loc[new_classes[9]] = c19misc[years].astype(int).sum()
798
  #Class ND: Not defined
  clnd=dgs_allyears[dgs_allyears['class']=='ND'].index
  dgs_inclasses.loc[new_classes[10]] = dgs_allyears.loc[clnd][years].
      astype(int).append(cell_blank).sum() #Adding blank cells
```

```
803
  #Dataframe with total count per year (uncomment following rows if
      necessary)
  #total_counts=pd.DataFrame(index=['Total'], columns=years)
805
   #total_counts[years] = dgs_inclasses[years].sum()
   #dgs_inclasses=dgs_inclasses.append(total_counts)
807
808
   dgs_inclasses.to_excel('Analysis_Excel/dg_types_collapsed.xlsx')
809
810
   dgs inclasses
811
812
   #GRAPHICS
813
814
   # Pie Charts about Event Types
815
816
817
   fig, ax = plt.subplots(2, 4, figsize = (12, 6)) #creating figure box
   fig.suptitle('Dangerous Goods Types', y=1, fontsize=28)#title
818
819
   color = ['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'khaki', '
820
      yellowgreen', 'forestgreen', 'lightblue', 'cornflowerblue', 'violet']
      #colors
   #
821
   ax = ax.ravel()
822
823
   for i in range(len(years)): #using a loop to draw every pie chart
824
825
       axes = ax[i]
826
       axes.pie(dgs_inclasses[years[i]], colors=color, shadow=False,
828
      labeldistance=1.1, startangle=90, radius=1.1, wedgeprops = {
      edgecolor": "black", 'linewidth': 0.3, 'antialiased': True})
       axes.set\_title(years[i], fontsize=22, y=0.97)
830
831
   legend=fig.legend(new_classes, loc='center left', bbox_to_anchor=(1,
832
      0.5), prop=\{ \text{'size'}: 14 \}) #legend
833
   fig.tight_layout()
834
   fig.savefig('Graphics/dg_types/dg_type_general.pdf',
835
                format = 'pdf',
836
                dpi = 300)
837
838
   fig.tight_layout()
839
   fig.savefig('Graphics/dg_types/dg_type_general_withlgd.pdf',
840
      bbox_inches='tight', format = 'pdf', dpi = 300)#saving chart and
      legend
841
   def export_legend(legend, filename='Graphics/dg_types/
      dg_types_ldg_pie.pdf'):
```

```
fig=legend.figure
       fig.canvas.draw()
      bbox=legend.get_window_extent().transformed(fig.dpi_scale_trans.
845
      inverted())
       fig.savefig(filename, dpi=300, bbox_inches=bbox)
847
  export_legend(legend) #saving only the legend
848
849
  # Bar Chart about DG types General
851
852
  fig, ax = plt.subplots(1,2, sharex = False, sharey=False, figsize
      =(14, 6)) #creating figure box
  fig.suptitle('Dangerous Goods Types', y=1.05, fontsize=28) #title
854
  axisx=np.arange(len(years))
855
856
  dgs_inclasses[years].sum().plot.bar(stacked=True, ax=ax[0]).grid(axis
  ax[0].set_title('Trend overtime - Decimal Scale', fontsize=16, pad=20)
858
859
  dgs_inclasses[years].sum().plot.bar(stacked=True, logy=True, ax=ax
      [1]).grid(axis='y')
  ax[1].set_title('Trend overtime - Log Scale', fontsize=16, pad=20)
  plt.rc('xtick', labelsize=16)
  plt.rc('ytick', labelsize=16)
  ax[0].set_ylabel('Occurrences', fontsize=18) #axis title
  ax[1].set_ylabel('Occurrences', fontsize=18) #axis title
  fig.savefig('Graphics/dg_types/dg_types_overtime_withlog.pdf',
868
      bbox_inches='tight', format = 'pdf', dpi = 300)
870
  #Stacked Bar chart with percentage for years
  fig, ax = plt.subplots(1, 1, figsize=(12, 8)) #creating figure box
  fig.suptitle('Dangerous Goods Types', fontsize=28) #title
874
  color = ['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'khaki', '
      yellowgreen', 'forestgreen', 'lightblue', 'cornflowerblue', 'violet']
      #colors
877
  (dgs_inclasses/dgs_inclasses.sum()*100).T.plot.barh(stacked=True,
      color=color, legend=True, lw=0, width = 0.95, ax=ax)
  legend=ax.legend(new_classes, loc='center left', bbox_to_anchor=(1,
      (0.5)) #legend
  ax.set_ylabel('Years', fontsize=18) #axis title
  ax.spines['top'].set_visible(False) #deleting top box line
882 ax.spines['right'].set_visible(False) #deleting right box line
```

```
ax.spines['bottom'].set_visible(False) #deleting bottom box line
  ax.grid(axis='x') #shwoing only x grid
  plt.rc('xtick', labelsize=18)
885
  plt.rc('xtick', labelsize=18)
886
  fmt = '%.0f\%' #setting percentages on x axis
888
  xticks = mtick.FormatStrFormatter(fmt)
889
  ax.xaxis.set_major_formatter(xticks)
890
891
  ax.legend().set visible(False)
892
  fig.tight layout()
893
  fig.savefig('Graphics/dg_types/dg_types_stackedbar.pdf', bbox_inches=
894
       'tight', format = 'pdf', dpi = 300)#saving chart without legend
895
  ax.legend(new_classes, loc='center left', bbox_to_anchor=(1, 0.5)).
896
      set_visible (True)
  fig.savefig('Graphics/dg_types/dg_types_stackedbar_withlgd.pdf',
      bbox_inches='tight', format = 'pdf', dpi = 300)#saving chart plus
       legend
898
  def export_legend(legend, filename='Graphics/dg_types/
899
      dg types stackedbar lgd.pdf'):
       fig=legend.figure
900
       fig.canvas.draw()
901
       bbox=legend.get_window_extent().transformed(fig.dpi_scale_trans.
902
      inverted())
       fig.savefig(filename, dpi=300, bbox_inches=bbox)
903
  export_legend(legend) #saving only the legend
905
906
907
  #Stacked Bar chart with percentage for DGs
909
  #defining a new dataframe to use in plotting (Problem in division by
910
      zero)
  data_graph=pd.DataFrame(index=years, columns=new_classes)
912
  for i in new_classes:
       if dgs_inclasses.T[i].sum()!=0:
913
           data_graph[i]=dgs_inclasses.T[i]/dgs_inclasses.T[i].sum()*100
914
       else:
915
           data graph[i]=0
916
917
  fig, ax = plt.subplots(1, 1, figsize=(18, 10)) #creating figure box
918
   fig.suptitle('Dangerous Goods Types', fontsize=26) #title
919
920
  color = ['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'khaki', '
921
      yellowgreen', 'forestgreen', 'lightblue', 'cornflowerblue', 'violet']
      #colors
922 #
```

```
923 (data_graph).T.plot.barh(stacked=True,color=color, legend=True, lw
      =0, width = 0.95, ax=ax)
   legend=ax.legend(years, loc='center left', bbox_to_anchor=(1, 0.5)) #
      legend
   ax.set_ylabel('Classes', fontsize=18) #axis title
   ax.spines['top'].set_visible(False) #deleting top box line
ax.spines['right'].set_visible(False) #deleting right box line
   ax.spines['bottom'].set_visible(False) #deleting bottom box line
   ax.invert_yaxis()
   ax.grid(axis='x') #shwoing only x grid
   plt.rc('xtick', labelsize=18)
   plt.rc('ytick', labelsize=18)
932
   fmt = \%.0 f\% #setting percentages on x axis
934
   xticks = mtick.FormatStrFormatter(fmt)
   ax.xaxis.set_major_formatter(xticks)
   ax.legend().set_visible(False)
   fig.savefig('Graphics/dg_types/dg_types_stackedbar2.pdf', bbox_inches
      ='tight', format = 'pdf', dpi = 300) #saving chart plus legend
   ax.legend(years, loc='center left', bbox_to_anchor=(1, 0.5)).
      set_visible (True)
   fig.savefig('Graphics/dg_types/dg_types_stackedbar_withlgd2.pdf',
      bbox_inches='tight', format = 'pdf', dpi = 300)#saving chart plus
      legend
943
   {\tt def\ export\_legend(legend\,,\ filename='Graphics/dg\_types/}
      dg_types_stackedbar_lgd2.pdf'):
       fig=legend.figure
945
       fig.canvas.draw()
946
       bbox=legend.get window extent().transformed(fig.dpi scale trans.
947
      inverted())
       fig.savefig(filename, dpi=300, bbox inches=bbox)
948
949
   export_legend(legend) #saving only the legend
```

Appendix B

Python-based Pipeline: SPI template

This appendix contains the Python code of the Safety Performance Indicators analysis.

```
import pandas as pd
2 import numpy as np
3 import matplotlib.pyplot as plt
4 import matplotlib.colors as mcol
5 import matplotlib as mpl
6 import seaborn as sns
7 from itertools import chain #to append lists of list
s import matplotlib.ticker as mtick #to show percentage ticks in
     matplotlib graphs
  import os.path #to verify the existence of a file in a specific
     directory
10 import tabula #to read pdf files
  from matplotlib.gridspec import SubplotSpec #to title every row of in
      figure with subplots
13 #Insert the requested SPI to analyze
14 subject_required='Runway Incursions' #the user decides which analysis
      to run
#According to the user choice, a file is selected to be read through
     if-conditions. Given the extended name of a SPI, the code acquire
     the contracted name (used for naming output files) and the source
     file name.
17
```

```
18 #The two study cases are 'Runway Excursions' and 'Runway Incursions',
      so it is attached the source file. For all the other SPIs, the
     code can run as long as it is provided a file source instead of
     the black space in quotes.
  #SPIs to be analyszed
20
  'Activations of TAWS', 'Ramp events', 'Collision while taxing to/from
      a runway in use',
  'Fire or smoke on aircraft', 'Laser beam interferences with flight
     operations'
  'Bird Wild Strike rate', 'Airspace Infringements', 'Separation
     Minimum Infringement', 'ATM technical failures',
  'Interferences of APR with manned aircraft during take-off or landing
26
  #short name of each SPI
28 | spi_name=['?RE', 'RI', 'LOC-I', 'TCAS', 'TAWS', 'RAMP', 'GCOL', 'F-NI', 'LASER
      ', 'BIRD', 'UPA', 'SMI', 'ATM_failure', 'APR_interference']
  #file name for each SPI
30
  files = ['SPI-O-01 (RE every 10000 mvt).xlsx', 'SPI-O-02 (RI APV every 10000 mvt).xlsx','','','','','','','','','','','']
32
  if subject required in spi selection:
33
      subject=subject_required
34
      position=spi_selection.index(subject)
35
      name=spi_name[position]
36
      file name=files [position]
37
38
  else:
      print ('The requested SPI is not correct. Please, check the name.'
39
40
  # SPIs divided into categories based on the specific analysis than we
      want to run for each one
  spi\_mvt = [\ 'RE'\ ,\ 'RI'\ ,\ 'TCAS'\ ,\ 'RAMP'\ ,\ 'GCOL'\ ,\ 'F-NI'\ ,\ 'LASER'\ ,\ '
     APR_interference'] #rate calculated with movements
  spi_flt=['LOC-I', 'TAWS'] #rate calculated with flights
  spi_occ=['BIRD', 'UPA', 'ATM_failure'] #rate calculated with occupancy
     duration
  spi_dur=['SMI'] #only occurrences to calculate
45
  # External data required in the analyses
  movements=np.array([1544643,1624966,1653242,1722254,1655381,708602])
     #provided by ENAC
  flights=np.array([1695821, 1733589, 1785541, 1880252, 1962182,
      781672]) #provided by EUROCONTROL through ENAC
50 duration=np.array([0,0,58e6,63e6,67e6,27e6]) #provided by ENAC
```

```
51
52 #READING DATA
#extracting the data for each year
54 years = ['2015', '2016', '2017', '2018', '2019', '2020'] #considered years
  data=pd.DataFrame() #new DataFrame
  check_length=pd.DataFrame(index=['black cells', 'length'], columns=
     years) #new DataFrame for validation
57
  for i in range (len (years)):
58
59
      data_excel=pd.read_excel('SPIs/'+file_name, sheet_name=years[i]) #
60
     reading data from excel file sheets
      {\tt data=data.append(data\_excel)} #appending all the data together
61
62
      #calculating the length of the Excel sheet and the the number of
63
     blank cells per sheet
      check\_length.iloc[0,i]=data\_excel.iloc[:,5].isna().sum()
      check\_length.iloc[1,i]=len(data\_excel.iloc[:,5])
65
66
  #keeping only the column with the required information about dates,
     converting data in date-format and keeping only the information
     about the year
  data_date=pd.to_datetime(data.iloc[:,5].dropna().reset_index(drop=
     True)).dt.year
69
70 #For each SPI categories we run a different analysis
  if name in spi_mvt:
71
      #resulting DataFrame
      data_tab=pd.DataFrame(columns=['Movements', 'Number of events', '
73
     Rate'])
      data_tab['Number of events']=data_date.value_counts().sort_index(
74
     ascending=True) #counting the total number of occurrences
      data tab [ 'Movements ']=movements
      #rate per 10000 movements, rounding at 2 decimal digits
76
      data_tab['Rate']=round(data_tab['Number of events']/data_tab['
     Movements, 1*10000, 2)
78
      variation_name='every100000mvt' #specific name for saving the
79
     data
  elif name in spi flt:
81
      #resulting DataFrame
82
      data_tab=pd.DataFrame(columns=['Flights', 'Number of events', 'Rate
83
      data_tab['Number of events']=data_date.value_counts().sort_index(
84
     ascending=True) #counting the total number of occurrences
      data_tab['Flights']=flights
85
86
      #rate per 10000 movements, rounding at 2 decimal digits
```

```
data_tab['Rate']=round(data_tab['Number of events']/data_tab['
      Flights '|*10000,2)
88
       variation_name='every10000flt' #specific name for saving the data
89
   elif name in spi_dur:
91
       #resulting DataFrame
92
       data_tab=pd.DataFrame(columns=['Occupancy duration', 'Number of
93
      events', 'Rate'])#counting the total number of occurrences
       data tab ['Number of events'] = data date.value counts().sort index(
94
      ascending=True)
       data_tab['Occupancy duration']=duration
95
      #rate per 1Mln minutes, rounding at 2 decimal digits
       data_tab['Rate']=round(data_tab['Number of events']/data_tab['
97
      Occupancy duration ' = 1000000, 2
98
       variation_name='every1Mlnmins' #specific name for saving the data
99
100
   elif name in spi_occ:
101
      #resulting DataFrame
102
       data_tab=pd.DataFrame(columns=['Number of events'])
103
       data_tab['Number of events']=data_date.value_counts().sort_index(
104
      ascending=True)#counting the total number of occurrences
       variation_name=' ' #no addition information in the output file
106
      name is required
  else:
107
       print('Input data do not corresond to study cases')
108
  #implementing a check to verify the number of events extracted
      corresponds to the total events reported
  for i in range(len(years)):
111
       if data tab.iloc[i,1]!=check length.diff().iloc[1,i]:
112
           print('Missing data!')
113
114
  data_tab.to_excel('Analysis_Excel/'+name+variation_name+'.xlsx') #
      saving the resulting table in an Excel file
116
  display (data_tab)
117
118
  #According to the chosen SPI, one of the three graphs below will be
119
      performed.
120
  # Movements Trends
121
122
  if name in spi_mvt:
124
       fig, ax = plt.subplots(1,1, sharex = False, sharey=False,
      figsize = (8, 6)) #creating a figure box
```

```
fig.suptitle('Movements per year', fontsize=28) #title
       axisx=np.arange(len(years)) #defining x positions
128
       ax.plot(years, movements/1000000, 'go-', linewidth=2, markersize
      =12)
130
       ax.spines['top'].set_visible(False) #deleting top box line
       ax.spines['right'].set_visible(False) #deleting right box line
       ax.set_ylabel('Movements (in Mln)', fontsize=18) #axis title
133
       ax.grid(axis='y') #showing only y grid
134
       plt.rc('xtick', labelsize=18)
plt.rc('ytick', labelsize=18)
135
136
       plt.ylim ((0.4,2))
137
138
       fig.savefig('Graphics/spi/SPI_movperyear.pdf', format = 'pdf', dpi
139
       = 300)#saving
140
  # Flights Trends
141
142
   elif name in spi_flt:
143
144
       fig, ax = plt.subplots(1,1, sharex = False, sharey=False,
145
      figsize = (8, 6))#creating a figure box
       fig.suptitle('Flights per year', fontsize=28) #title
146
       axisx=np.arange(len(years)) #defining x positions
147
148
       ax.plot(years,flights/1000000,'go-', linewidth=2, markersize=12)
149
150
       ax.spines['top'].set_visible(False) #deleting top box line
       ax.spines['right'].set_visible(False) #deleting right box line
       ax.set_ylabel('Flights (in Mln)', fontsize=18) #axis title
153
       ax.grid(axis='y') #showing only y grid
154
       {\tt plt.rc('xtick', labelsize=}18)
       plt.rc('ytick', labelsize=18)
156
       plt.ylim((0.5,2.1))
       fig.savefig('Graphics/spi/SPI_flightperyear.pdf', format = 'pdf',
      dpi = 300)
160
  # Occupancy Duration
   elif name in spi dur:
163
164
       fig, ax = plt.subplots(1,1, sharex = False, sharey=False,
      figsize = (8, 6)) #creating a figure box
       fig.suptitle('Occupancy Duration (min)', fontsize=28) #title
166
       axisx=np.arange(len(years)) #defining x positions
167
168
```

```
ax.plot(years, duration/1000000, 'go—', linewidth=2, markersize
169
       =12)
170
        ax.spines['top'].set_visible(False) #deleting top box line
171
        ax.spines['right'].set_visible(False) #deleting right box line
172
        ax.set_ylabel('Occupancy Duration (in Mln of min)', fontsize=18) #
173
       axis title
        ax.grid(axis='y') #showing only y grid
174
        plt.rc('xtick', labelsize=18)
175
        plt.rc('ytick', labelsize=18)
176
       #plt.ylim((0.4e6,2e6))
177
178
        fig.savefig('Graphics/spi/SPI_durationmin.pdf', format = 'pdf',
179
       dpi = 300)
180
   #OCCURRENCES and RATE (per year) GRAPHICS
181
   if name in spi_mvt+spi_flt+spi_dur: #plotting number of occurrences
183
       and rate
184
        fig, ax = plt.subplots(1,2, sharex = False, sharey=False,
       figsize = (16, 8)) #creating a figure box
        fig.suptitle(subject, fontsize=28,y=1) #title
186
        axisx=np.arange(len(years)) #defining x positions
187
        color=['lightcoral', 'red'] #colors
188
        topic = ['Number of events', 'Rate'] #topic to visualize
189
190
        ax=ax.ravel()
191
192
        for i in range(len(topic)): #using a loop to plot the tw bar
193
       plots
194
            axes = ax[i]
195
196
            axes.bar(x=axisx,
197
                      height=data_tab[topic[i]],
199
                      width = 0.4,
                      tick_label=years,
200
                      color=color[i])
201
            axes.set_title(topic[i], fontweight='bold', fontsize=24)
            axes.grid(axis='y') #showing only y grid
203
204
       \#plt.ylim((0,24))
205
        \begin{array}{lll} plt.rc\,(\,\,'xtick\,\,'\,,\,\,labelsize\,{=}\,20)\ \#setting\ x\ ticks\ size\\ plt.rc\,(\,\,'ytick\,\,'\,,\,\,\,labelsize\,{=}\,20)\ \#setting\ y\ ticks\ size \end{array}
206
207
        ax[0].set\_ylabel('Occurrences', fontsize=18) #axis title
208
        ax[1].set_ylabel('Rate', fontsize=18) #axis title
209
210
        fig.tight_layout()
211
```

```
fig.savefig('Graphics/spi/SPI_occrateperyear_'+name+'.pdf',
      format = 'pdf', dpi = 300)#saving
213
   elif name in spi_occ: #plotting only the total number of occurrences
214
215
216
       fig, ax = plt.subplots(1,1, sharex = False, sharey=False,
       figsize = (12, 6)) #creating a figure box
       fig.suptitle(subject, fontsize=28,y=1) #title
217
       color='lightcoral' #color
218
       topic='Number of events'
219
220
       ax.bar([1,2,3,4,5,6],height=data_tab[topic],width=0.4, tick_label
221
      =years, color=color)
       ax.set_title('Occurrences per year', fontweight='bold')
       ax.grid(axis='y') #showing only y grid
223
       plt.rc('xtick', labelsize=20) #setting x ticks size plt.rc('ytick', labelsize=20) #setting y ticks size
224
       ax.set_ylabel('Occurrences', fontsize=18) #axis title
226
227
       fig.tight_layout()
228
       fig.savefig('Graphics/spi/SPI_occperyear_'+name+'.pdf', format =
       'pdf', dpi = 300) \# saving
230
   #As a new way of visualisation, we present a different type of graph
      for plotting rates.
   # Rate Trends with line plots
232
233
   if name in spi_mvt+spi_flt+spi_dur:
234
       fig, ax = plt.subplots(1,1, sharex = False, sharey=False,
236
       figsize = (8, 6)) #creating a figure box
       \label{eq:fig.suptitle} \textit{fig.suptitle('Rate per year ('+name+')'', fontsize=28) \#title}
       axisx=np.arange(len(years)) #defining x positions
238
       ax.plot(years,data_tab['Rate'],'go-', linewidth=2, markersize
240
      =12
241
       ax.spines['top'].set_visible(False) #deleting top box line
242
       ax.spines['right'].set_visible(False) #deleting right box line
243
       ax.set_ylabel('Rate',fontsize=18) #axis title
       ax.grid(axis='y') #showing only y grid
245
       plt.rc('xtick', labelsize=18) #setting x ticks size
246
       plt.rc('ytick', labelsize=18) #setting y ticks size
247
       fig.savefig('Graphics/spi/SPI_rateperyear_'+name+'_line.pdf',
249
      format = 'pdf', dpi = 300)#saving
250
252 #EVENT TYPES ANALYSIS (plus plots)
```

```
if data.iloc[:,12].notnull().values.any(): #for now it means only RE
254
255
       data_events=pd.DataFrame({ 'date ': pd.to_datetime(data.iloc[:,5]) ,
256
       'event types': data.iloc[:,12]}).dropna()
       data_events['date']=data_events['date'].dt.year
257
258
       event_types=['Runway Incursion by an Aircraft', 'Runway Incursion
259
      by a Person', 'Runway Incursion by a Vehicle/Equipment']
260
       events=pd.DataFrame(columns=['Aircraft', 'rate Aircraft x10000
261
      mvts', 'Person', 'rate Person x10000 mvts', 'Vehicle/Equipment', 'rate
       Vehicle/Equipment x10000 mvts'])
262
       for i in range(len(event_types)):
263
           events.iloc[:,i+i]=data_events[data_events['event types']==
264
      event_types[i]]['date'].value_counts().sort_index(ascending=True)
           events. iloc [:,(i+i)+1]=round (events. iloc [:,i]/movements
265
      *10000,3)
266
       events.to_excel('Analysis_Excel/'+name+'_eventtypes.xlsx')
268
       display (events)
269
270
271
       #Bar charts
272
273
       fig, ax = plt.subplots(3,2, sharey = False, <math>figsize = (14, 12))
       fig.suptitle('Event Types ('+name+')', fontsize=28, y=1)
275
       plt.subplots_adjust( hspace=0.9)
276
       color = ['lightcoral', 'red', 'chocolate', 'sienna', 'lightblue', '
277
      cornflowerblue'] #colors
       axisx=np.arange(len(years))
278
       ax = ax.ravel()
       for i in range (len (events.columns)):
282
283
           axes = ax[i]
284
285
           axes.bar(x=axisx, height=events[events.columns[i]], width=0.5,
286
      tick_label=years, align='center', color=color[i])
           axes.set_title(events.columns[i], fontweight='semibold',
      fontsize = 18)
           axes.grid(axis='y') #showing only y grid
           if i==0 or i==2 or i==4:
289
                  axes.set_ylabel('Occurrences', fontsize=16)
290
291
            elif i==1 or 1==3 or i==5:
                  axes.set_ylabel('Rate', fontsize=16)
292
```

```
293
       plt.rc('xtick', labelsize=18)
       plt.rc('ytick', labelsize=20)
295
296
       #function to insert title at every row
       def create_subtitle(fig: plt.Figure, grid: SubplotSpec, title:
298
       str):
            "Sign sets of subplots with title"
299
            row = fig.add_subplot(grid)
            # the '\n' is important
301
            row.set_title(f'{title}\n', fontweight='bold', fontsize=24, y
302
       =1.1)
            # hide subplot
            row.set_frame_on(False)
304
            row.axis('off')
305
306
       rows = 3
       cols = 2
308
       grid = plt.GridSpec(rows, cols)
309
       create_subtitle(fig , grid[0, ::], event_types[0])
310
       create\_subtitle\left(\,fig\,\,,\,\,grid\left[\,1\,\,,\,\,\,::\,\right]\,\,,\,\,event\_types\left[\,1\,\right]\,\right)
       create_subtitle(fig, grid[2, ::], event_types[2])
312
313
       fig.tight_layout()
314
        fig.savefig('Graphics/spi/SPI_eventtypes_'+name+'.pdf', format =
315
       'pdf', dpi = 300)
316
   else:
317
       print ('The data-set does not contain Event types.')
318
319
   #EVENT TYPES GRAPHICS
320
   if data.iloc[:,12].notnull().values.any():
322
323
       #Bar charts stacked ----> not with percentage because these
324
       events types are just a few of the total
325
       only_events=events[['Aircraft', 'Person', 'Vehicle/Equipment']]
327
       fig, ax = plt.subplots(1, 1, figsize=(12, 8)) #creating figure box
       fig.suptitle('Event Types ('+name+')', fontsize=28) #title
329
       color = ['red', 'forestgreen', 'gold', 'lightblue', 'cornflowerblue'] #
330
       colors
332
       only_events.plot.barh(stacked=True,color=color, legend=True, lw
333
       =0, width =0.95, ax=ax)
334
```

```
legend=ax.legend(event_types, loc='center left', bbox_to_anchor
335
      =(1, 0.5)) #legend
       ax.set_ylabel('Years', fontsize=18) #axis title
336
       ax.spines['top'].set_visible(False) #deleting top box line
337
       ax.spines['right'].set_visible(False) #deleting right box line
       ax.spines['bottom'].set_visible(False) #deleting bottom box line
339
       ax.grid(axis='x') #shwoing only x grid
340
       plt.rc('xtick', labelsize=18)
341
       plt.rc('ytick', labelsize=18)
342
343
       ax.legend().set visible(False)
344
       fig.savefig('Graphics/spi/SPI_eventtypes_'+name+'_stacknolgd.pdf'
345
      , format = 'pdf', dpi = 300)#saving chart without legend
346
       ax.legend(event_types, loc='center left', bbox_to_anchor=(1, 0.5)
347
      ).set_visible(True)
       fig.savefig('Graphics/spi/SPI_eventtypes_'+name+'_stack.pdf',
      bbox_inches='tight', format = 'pdf', dpi = 300)#saving chart plus
       legend
349
       def export_legend(legend, filename='Graphics/spi/SPI_eventtypes_'
      +name+'_stack_onlylgd.pdf'):
           fig=legend.figure
351
           fig.canvas.draw()
352
           bbox=legend.get_window_extent().transformed(fig.
353
      dpi scale trans.inverted())
           fig.savefig(filename, dpi=300, bbox_inches=bbox)
354
355
       export_legend(legend) #saving only the legend
356
357
       #Line plot of rate trends
358
359
       fig, ax = plt.subplots(1,1, sharey = False,
                                                       figsize = (12,6)
360
       fig.suptitle('Event Types rates ('+name+')', fontsize=28)
361
       plt.subplots_adjust( hspace=0.9)
362
       color=['lightcoral', 'red', 'chocolate', 'sienna', 'lightblue', '
      cornflowerblue' | #colors
364
       axisx=np.arange(len(years))
365
366
       axes= ax
367
368
       axes.plot(years, events[events.columns[1]], color=color[1], marker=
369
      'o', linestyle='dashed', linewidth=2, markersize=12)
       axes.plot(years, events[events.columns[3]], color=color[3], marker=
370
      'o', linestyle='dashed', linewidth=2, markersize=12)
       axes.plot(years, events[events.columns[5]], color=color[5], marker=
371
      'o', linestyle='dashed', linewidth=2, markersize=12)
372
```

```
axes.spines['top'].set_visible(False) #deleting top box line
       axes.spines['right'].set_visible(False) #deleting right box line
       axes.set_ylabel('Rate', fontsize=18) #axis title
375
       axes.grid(axis='y') #showing only y grid
376
       legend=ax.legend(event_types, prop={'size': 14}) #legend
       plt.rc('xtick', labelsize=18)
plt.rc('ytick', labelsize=18)
379
380
       fig.savefig('Graphics/spi/SPI_ratestoghether_et_'+name+'.pdf',
381
      format = 'pdf', dpi = 300
382
383
       #Bar charts and line plots
385
       fig , ax = plt.subplots(3,2, sharey = False, figsize = (14, 12))
386
       fig.suptitle('Event Types ('+name+')', fontsize=28, y=1)
387
       plt.subplots_adjust( hspace=0.9, wspace=0.3)
388
       color = ['lightcoral', 'red', 'chocolate', 'sienna', 'lightblue', '
389
       cornflowerblue' | #colors
       axisx=np.arange(len(years))
390
       ax = ax.ravel()
392
393
       for i in [0,2,4]:
394
395
           axes = ax[i]
396
397
           axes.bar(x=axisx, height=events[events.columns[i]], width
398
      =0.5, tick_label=years, align='center', color=color[i])
            axes.set_ylabel('Occurrences', fontsize=16)
399
           axes.grid(axis='y') #showing only y grid
400
       plt.rc('xtick', labelsize=18)
401
       plt.rc('ytick', labelsize=18)
402
403
       for i in [1,3,5]:
404
406
           axes = ax[i]
407
           axes.plot(years, events[events.columns[i]], color=color[i],
408
      marker='o', linestyle='dashed', linewidth=2, markersize=12)
           axes.spines['top'].set_visible(False) #deleting top box line
409
           axes.spines['right'].set_visible(False) #deleting right box
410
      line
           axes.set_ylabel('Rate', fontsize=16) #axis title
           axes.grid(axis='y') #showing only y grid
412
413
       #function to insert title at every row
414
       from matplotlib.gridspec import SubplotSpec
415
```

```
def create_subtitle(fig: plt.Figure, grid: SubplotSpec, title:
416
      str):
            "Sign sets of subplots with title"
417
           row = fig.add_subplot(grid)
418
           \# the '\n' is important
           row.set_title(f'{title}\n', fontweight='bold', fontsize=24)
420
           # hide subplot
421
           row.set\_frame\_on(False)
422
           row.axis('off')
423
424
       rows = 3
425
       cols = 2
426
       grid = plt.GridSpec(rows, cols)
427
       create\_subtitle(fig , grid[0, ::], event\_types[0])
428
       create\_subtitle(fig\ ,\ grid[1\,,\ ::]\ ,\ event\_types[1])
429
       create_subtitle(fig, grid[2, ::], event_types[2])
430
431
       fig.tight_layout()
432
       fig.savefig('Graphics/spi/SPI_eventtypes_'+name+'_withline.pdf',
433
      format = 'pdf', dpi = 300)
```

Appendix C

Implementation of a Python-based dashboard

One of the conclusive output of this thesis is an interactive dashboard. A dashboard is a Web interface that displays information that can be consulted by the interested parties. The aim is to provide a tool to present the results that is both available to the public and connected to the prior analysis.

The programming language on which the application is based is Python. In addition, it is required the used of some libraries provided by HoloViz, which is a collaboration between the maintainers of several Python packages [45]. The necessary Python libraries are *hvplot*, *Panel*, and *Param*. The first one is a high-level plotting API for the PyData ecosystem [46]. The second one is a library that allows creating custom interactive web apps and dashboards by connecting user-defined widgets to plots, images, tables, or text [47]. The last one is a library for handling all the user-modifiable parameters, arguments, and attributes that control your code [48].

The choice behind this package of libraries is its integration with Anaconda, even though it is partially still under development.

The concept behind the implementation of the dashboard is presented in Figure C.1. The starting point is the Excel files provided by ENAC, whereas the final outcome is the dashboard itself. The in-between process can be divided in two parts: data analysis and dashboard design. The data analysis is the one previously conducted and explained in chapter 4 and chapter 5. The building process includes both plotting adaptations and layout design.

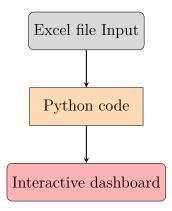


Figure C.1: Flowchart relating to the implementation of the dashboard.

C.0.1 Plotting Adaptation

With plotting adaptation, we indicate the process of implementing interactive plots with *hvplot* library. The starting point is the data in DataFrame format, which is the output of the data analyses. The types of plots are the same displayed in chapter 6, but the used commands are different.

An interactive plot is a plot with special characteristics which help gain a better understanding of the subject. In particular, all the provided charts are fitted with tooltips, as shown in Figure C.2.

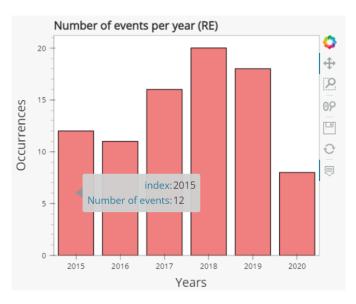


Figure C.2: Example of a tooltip in an interactive plot included in the dashboard.

Bar plots and line plots are the main types included and they are implemented with *hvplot* commands: hvplot.bar() and hvplot.line()*hvplot.scatter(). Whereas pie charts are not a plotting type included in the mentioned library, so we used *Bokeh*, which is a Python library for creating interactive visualizations for modern web browsers [49]. The required command for pie charts is *figure.wedge()*.

In the dashboard there are additionally tabular representations of the resulting data. In particular, the *panel* command <code>pn.widgets.DataFrame()</code> is used to present a DataFrame that is also interactive. Every column can be sorted and the rest of the cells adapts to that filtering.

C.0.2 Designing the layout

The layout of a dashboard is subjective. There are many ways of arranging the outputs on a blank page. The way we decided to proceed aims at displaying data as clearly and easily as possible.

The main structure of the dashboard consists of 4 pages and a sidebar. The sidebar allows to choose which page is visualized. The four pages are respectively: *Home*, Safety Performance Indicators, Dangerous Goods, and About. The first page works as the opening front page, the second one contains all the results about SPIs, the third one contains all the results about DGs and the four one gives some information about the project.

Each page is defined in a different way according to the content it contains. Generally, the writing sections are generated with panel command pn.panel(HTML()), that allows to use html programming language. The layout of these sections is made it possible through the panel commands pn.Row() and pn.Column(), which allow to arrange the context in rows or in columns. These two commands are also used in the arrangement of tables and plots.

As far as the data results, such as tables and plots they are displayed in the following order:

- tables,
- plots similar to those included in the ENAC Safety Report,
- additional plots.

In particular, the setup of the plots makes use of tabs, which are a technique that allows switching between multiple objects by clicking on the corresponding tab header. The panel command is pn.Tabs().

In the SPI page, two drop-down menus are used to select what to visualize.

The first menu allows to select the requested SPI, whereas the second one the analysis to run, in this case the options are 'general analysis' and 'event types analysis', as shown in Figure C.3.



Figure C.3: Drop-down menus in the SPI page of the dashboard

In the DG page, a drop-down menu is used to select the requested analysis to display, as shown in Figure C.4. The options are 'Event types analysis' and 'Dangerous Goods analysis'.



Figure C.4: Drop-down menu in the *Dangerous Goods* page of the dashboard.

The resulting layout is presented in Figures C.5, C.6, C.7, and C.8.



Figure C.5: *Home* page of the dashboard.

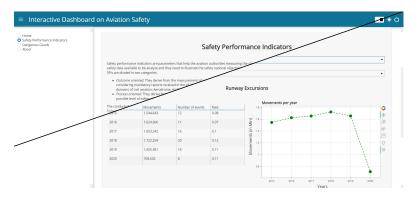


Figure C.6: Safety Performance Indicators page of the dashboard.



Figure C.7: Dangerous Goods page of the dashboard.

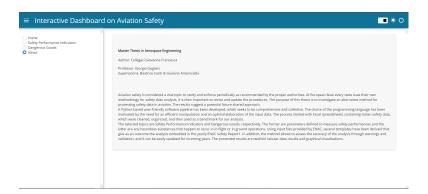


Figure C.8: About page of the dashboard.

The code lines regarding the plotting and the layout arrangements are illustrated in the cells below.

```
import pandas as pd
  import numpy as np
3 import matplotlib.pyplot as plt
4 import matplotlib.colors as mcol
5 import matplotlib as mpl
6 import seaborn as sns
  from itertools import chain #to append lists of list
  import matplotlib.ticker as mtick #to show percantage ticks in
     matplotlib graphs
  import os.path #to verify the existence of a file in a specific
     directory
10 import tabula #to read pdf files
11 from matplotlib.gridspec import SubplotSpec #to title every row of in
      figure with subplots
12 from IPython.core.display import display, HTML
13 import ipywidgets as widgets
14 import holoviews as hv
15 import panel as pn
16 import xarray as xr
import hvplot.pandas # noqa: API import
18 import hvplot.xarray # noqa: API import
19 import holoviews as hv
20 import param
21 from math import pi
22 from bokeh.plotting import figure, show
23 from bokeh.io import output_notebook, show, save
24 from bokeh.transform import cumsum
25 from bokeh.layouts import row, column
  pn.extension()
27 #
 #HOME PAGE —> first page of the dashboard
28
  pn.config.sizing mode = 'stretch width' #sizing configuration
30
31
32 #defining elements of home page
33 | logo_poli=pn.pane.PNG('../logo_polito.png', width=250) #logo
     politecnico
  title=pn.panel(HTML('<h1><center>Interactive Dashboard on Aviation
      Safety data</center></h1>'))
35 sub_title=pn.panel(('h4><center>Final product of the
     implementation of a python-based software approach in aviation
     data analysis </renter></h4>'))
```

```
36 expl=pn.panel(HTML(''''The Dashboard presents the results obtained
     implementing a <em>python-base software pipeline </em> rearding
     safety manners, in particular <em>Safety Performance Indicators </
     em> and <em> Dangerous Goods</em> as they are displayed in <a href
     ="https://sites.google.com/enac.gov.it/enacsafetyreport/
     introduction?authuser=0" target="_blank">ENAC Safety Report </a
  For further information consult the About section.''))
 #buiding home page
  home page=pn.Column(pn.Row(pn.Spacer(),logo poli),pn.Spacer(height
     =10), title, sub_title, expl)
41
  #ABOUT PAGE ----> last page of the dashobard
42
43
44 #defining elements of about page
  authors=pn.panel(HTML('<h4><strong>Master Thesis in Aerospace
     Engineering </strong ></h4>Author: Callegari Giovanna Francesca < br
     >'
              'Professor: Giorgio Guglieri<br/>Supervisions: Beatrice
46
      Conti & Giuliano Antoniciello '))
  abstract=pn.panel(HTML('''Aviation safety is considered a vital
     topic to study and enforce periodically as recommended by the
     proper authorities. At European level every state uses their own
     methodology for safety data analysis, it is then important to
     revise and update the procedures. The purpose of this thesis is to
      investigate an alternative method for processing safety data in
     aviation. The results suggest a potential future shared approach.
48 A Python-based user-friendly software pipeline has been developed,
     which seeks to be comprehensive and collective. The choice of the
     programming language has been motivated by the need for an
     efficient manipulation and an optimal elaboration of the input
     data. The process started with Excel spreadsheets containing
     Italian safety data, which were cleaned, organized, and then used
     as a benchmark for our analysis. <br/> 
49 The selected topics are Safety Performance Indicators and Dangerous
     Goods, respectively. The former are parameters defined to measure
     safety performances and the latter are any hazardous substances
     that happen to occur in in-flight or in ground operations. Using
     input files provided by ENAC, several templates have been derived
     that give as an outcome the analysis embedded in the yearly ENAC
     Safety Report1. In addition, the method allows to assess the
     accuracy of the analysis through warnings and validation, and it
     can be easily updated for incoming years. The presented results
     are twofold: tabular data results and graphical visualisations.
     > ' ' ' ) )
50 #building home page
```

```
51 about_page=pn. Column (pn. Spacer (height=5), authors, pn. Spacer (height=20)
      , abstract)
53
  #DANGEROUS GOODS
  #EVENT TYPES ANALYSIS
  ##Here the Event types analysis needs to be run. The code is the same
       reported in the Appendix A, resulting in a DataFrame named
     newtype count wother' ##
57
  dg eventtypes df=pn.widgets.DataFrame(newtype count wother, widths={'
     index':60}, width=600)#interactive dataframe to display in the
     dashboard
59
  # Pie Charts about Event Types
61
  years = ['2015', '2016', '2017', '2018', '2019', '2020']
63
  p1=figure (height=200, width=200, title=years [0], toolbar location=None
       tools="hover", tooltips="@types: @value",x_{range}=(-0.5, 1.0),
     background\_fill\_color='\#000000000')
  p2=figure (height=200, width=200, title=years [1], toolbar_location=None
      , tools="hover", tooltips="@types: @value",x_range=(-0.5, 1.0),
     background_fill_color='#00000000')
  p3=figure (height=200, width=200, title=years [2], toolbar_location=None
      , tools="hover", tooltips="@types: @value",x_{range} = (-0.5, 1.0),
     background_fill_color='#00000000')
  p4=figure(height=200, width=200, title=years[3], toolbar_location=None
      , tools="hover", tooltips="@types: @value", x_range=(-0.5, 1.0),
     background fill color='#00000000')
  p5=figure(height=200, width=200, title=years[4], toolbar_location=None
      tools="hover", tooltips="@types: @value", x_{range} = (-0.5, 1.0),
     background fill color='#00000000')
  p6=figure(height=200, width=200, title=years[5], toolbar_location=None
       tools="hover", tooltips="@types: @value",x_{range}=(-0.5, 1.0),
     background_fill_color='#00000000')
  leg=figure(height=350, width=350, toolbar_location=None, tools="hover
      ', tooltips="@types: @value",x_range=(0, 1.0),
     background_fill_color='#00000000')
  rest = [p1, p2, p3, p4, p5, p6, leg]
72
  for i in range (0, len (years)):
75
      x = newtype count wother [years [i]]
76
      pie = pd. Series(x).reset_index(name='value').rename(columns={'
78
     index ': 'types'})
      pie ['angle'] = pie ['value']/pie ['value'].sum() * 2*pi
79
```

```
pie['color'] =['lightcoral', 'red', 'chocolate', 'sienna', 'gold', '
      khaki', 'yellowgreen', 'forestgreen', 'lightblue', 'cornflowerblue']
81
       rest[i].wedge(x=0, y=1, radius=0.5, start_angle=cumsum('angle',
82
      include_zero=True), end_angle=cumsum('angle'), line_color="white",
       fill_color='color', source=pie)
       rest[6].wedge(x=0, y=1, radius=0.0001, start_angle=cumsum('angle'
83
      , include_zero=True), end_angle=cumsum('angle'), line_color="white
       , fill color='color', source=pie, legend field='types')
84
       rest[i].axis.axis_label = None
85
       rest[i].axis.visible = False
86
       rest[i].grid.grid_line_color = None
87
       rest[i].outline_line_color = None
88
       rest [6]. axis.axis_label = None
89
       rest [6]. axis. visible = False
90
       rest[6].grid_grid_line_color = None
91
       rest [6]. outline_line_color = None
92
  dg_events_pie= pn.pane.Bokeh(row(column(row(p1,p2,p3),row(p4,p5,p6))),
93
      leg))
94
  #
  # Bar Chart about Event Types General
95
96
  dg_events_bar=newtype_count_wother.sum().hvplot.bar(height=400,width
      =550, shared_axes=False, grid=True, title='Trend overtime', xlabel=
      Years', ylabel='Occurrences')
98
  #Stacked Bar chart with percentage for years
99
100
  #
  color = ['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'khaki', '
      yellowgreen', 'forestgreen', 'lightblue', 'cornflowerblue']
  dg events stack1=((newtype count wother/newtype count wother.sum()
      *100).T).hvplot.barh(color=color, shared_axes=False, height=400,
      width=1000, stacked=True, grid=True, xlabel='Years', ylabel='
      Occurrences (%)').opts(legend_position='right')
104
  #Stacked Bar chart with percentage for event type
106
  color = ['lightcoral', 'red', 'chocolate', 'gold', 'yellowgreen','
      forestgreen', 'lightskyblue', 'cornflowerblue']
108
  dg_events_stack2=(newtype_count_wother.T/newtype_count_wother.T.sum()
      *100).T. hvplot.barh(stacked=True, shared_axes=False, height=400,
      width=1000, xlabel='Years', ylabel='Occurrences (%)')
| # Bar Chart about Event Types
```

```
113 color=['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'orangered', '
      yellowgreen','forestgreen','lightblue','cornflowerblue'] #colors
114
  dg_events_bar1=(newtype_count_wother.T).hvplot.bar(y=
115
      newtype_count_wother.index[0], color=color[0], height=300, width
      =400, grid=True, shared_axes=False, title=newtype_count_wother.index
      [0], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
dg_events_bar2=(newtype_count_wother.T).hvplot.bar(y=
      newtype count wother.index[1], color=color[1], height=300, width
      =400, grid=True, shared axes=False, title=newtype count wother.index
      [1], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10))
dg_events_bar3=(newtype_count_wother.T).hvplot.bar(y=
      newtype_count_wother.index[2], color=color[2], height=300, width
      =400, grid=True, shared_axes=False, title=newtype_count_wother.index
      [2], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
118 dg_events_bar4=(newtype_count_wother.T).hvplot.bar(y=
      newtype_count_wother.index[3], color=color[3], height=300, width
      =400, grid=True, shared_axes=False, title=newtype_count_wother.index
      [3], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
dg_events_bar5=(newtype_count_wother.T).hvplot.bar(y=
      newtype_count_wother.index[4], color=color[4], height=300, width
      =400, grid=True, shared_axes=False, title=newtype_count_wother.index
      [4], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
dg_events_bar6=(newtype_count_wother.T).hvplot.bar(y=
      newtype_count_wother.index[5], color=color[5], height=300, width
      =400, grid=True, shared_axes=False, title=newtype_count_wother.index
      [5], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
| 121 | dg_events_bar7=(newtype_count_wother.T).hvplot.bar(y=
      newtype_count_wother.index[6], color=color[6], height=300, width
      =400, grid=True, shared\_axes=False, title=newtype\_count\_wother.index
      [6], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10)
dg_events_bar8=(newtype_count_wother.T).hvplot.bar(y=
      newtype\_count\_wother.index\,[\,7\,]\;,\;\;color=color\,[\,7\,]\;,height=300,width
      =400, grid=True, shared axes=False, title=newtype count wother.index
      [7], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
dg_events_bar9=(newtype_count_wother.T).hvplot.bar(y=
      newtype_count_wother.index[8], color=color[8], height=300, width
      =400, grid=True, shared_axes=False, title=newtype_count_wother.index
      [8], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
```

```
dg_events_bar10=(newtype_count_wother.T).hvplot.bar(y=
      newtype_count_wother.index[9], color=color[9], height=300, width
      =400, grid=True, shared axes=False, title=newtype count wother.index
      [9], xlabel='Years', ylabel='Occurrences').opts(fontsize={'title':
      10})
125
  dg_events_barall=pn.Column(dg_events_bar1+dg_events_bar2,
126
      dg_events_bar3+dg_events_bar4, dg_events_bar5+dg_events_bar6,
      dg events bar7+dg events bar8, dg events bar9+dg events bar10)
127
128
  #DANGEROUS GOODS TYPES ANALYSIS
129
  ##Here the DGs types analysis needs to be run. The code is the same
      reported in the Appendix A, resulting in a DataFrame named '
      dgs_inclasses' ##
131
  dg_types_df=pn.widgets.DataFrame(dgs_inclasses, widths={'index':300},
      width = 600)
  # Pie Chart
134
  years=['2015', '2016', '2017', '2018', '2019', '2020']
136
137
  p1=figure(height=200, width=200, title=years[0], toolbar_location=None
       , tools="hover", tooltips="@types: @value",x_range=(-0.5, 1.0),
      background fill color='#00000000')
  p2=figure (height=200, width=200, title=years [1], toolbar_location=None
      , tools="hover", tooltips="@types: @value", x_range=(-0.5, 1.0),
      background_fill_color='#00000000')
  p3=figure(height=200, width=200, title=years[2], toolbar_location=None
      , tools="hover", tooltips="@types: @value", x_range=(-0.5, 1.0),
      background fill color='#00000000')
141 p4=figure (height=200, width=200, title=years [3], toolbar location=None
      , tools="hover", tooltips="@types: @value",x_range=(-0.5, 1.0),
      background_fill_color='#00000000')
  p5=figure(height=200, width=200, title=years[4], toolbar_location=None
      , tools="hover", tooltips="@types: @value", x_range=(-0.5, 1.0),
      background_fill_color='#00000000')
  p6=figure (height=200, width=200, title=years [5], toolbar_location=None
      , tools="hover", tooltips="@types: @value",x_range=(-0.5, 1.0),
      background fill color='#00000000')
  leg=figure(height=350, width=350, toolbar_location=None, tools="hover
       ', tooltips="@types: @value",x_range=(0, 1.0))
  rest = [p1, p2, p3, p4, p5, p6, leg]
146
147
  for i in range (0, len (years)):
148
149
       x = dgs\_inclasses[years[i]]
150
```

```
pie = pd. Series(x).reset_index(name='value').rename(columns={'
151
      index ': 'types'})
       pie ['angle'] = pie ['value']/pie ['value'].sum() * 2*pi
152
       pie['color'] =['lightcoral', 'red', 'chocolate', 'sienna', 'gold', '
153
      khaki', 'yellowgreen', 'forestgreen', 'lightblue', 'cornflowerblue', '
      violet' | #colors
       rest[i].wedge(x=0, y=1, radius=0.5, start_angle=cumsum('angle',
      include zero=True), end angle=cumsum('angle'), line color="white",
       fill_color='color', source=pie)
156
       rest [6]. wedge(x=0, y=1, radius=0.0001, start_angle=cumsum('angle',
       include_zero=True), end_angle=cumsum('angle'), line_color="white"
      , fill_color='color', source=pie, legend_field='types')
158
       rest[i].axis.axis_label = None
159
       rest[i].axis.visible = False
       rest[i].grid_grid_line_color = None
161
       rest[i].outline_line_color = None
       rest [6].axis.axis_label = None
163
       rest [6]. axis. visible = False
164
       rest [6]. grid . grid _ line _ color = None
       rest [6]. outline_line_color = None
166
  dg_types_pie = pn.pane.Bokeh(row(column(row(p1, p2, p3), row(p4, p5, p6)))
168
  # Bar Chart about Event Types General
169
170
  dg_types_bar1=dgs_inclasses.sum().hvplot.bar(height=300,width=400,
171
      grid=True, shared axes=False, title='Trend overtime', xlabel='Years'
      , ylabel='Occurrences')
  #Stacked Bar chart with percentage for years
173
174
  color = ['lightcoral', 'red', 'chocolate', 'sienna', 'gold', 'khaki', '
      yellowgreen','forestgreen','lightblue','cornflowerblue','violet']
      #colors
176
  dg\_types\_stack1 = ((dgs\_inclasses/dgs\_inclasses.sum()*100).T).hvplot.
177
      barh(color=color, shared_axes=False, height=400, width=900, stacked=
      True, grid=True, xlabel='Years', ylabel='Occurrences')
178
  #Stacked Bar chart with percentage for event type
179
180
  color=['lightcoral', 'red', 'chocolate', 'gold', 'yellowgreen','
181
      forestgreen', 'lightblue', 'cornflowerblue', 'violet' | #colors
  data_graph=pd.DataFrame(index=years, columns=new_classes)
184 for i in new_classes:
```

```
if dgs\_inclasses.T[i].sum()!=0:
              data_graph[i]=dgs_inclasses.T[i]/dgs_inclasses.T[i].sum()*100
         else:
187
              data_graph[i]=0
188
   dg_types_stack2=(data_graph/data_graph.sum()*100).T.hvplot.barh(
       stacked=True, color=color , shared_axes=False)
191
   #DANGEROUS GOODS ANALYSIS PAGE ——>page 2 of the dashboard
   #defining elements of the top section of the DGs page
194
   title_dg=pn.panel(HTML('h1><center>Dangerous Goods</center></h1
       >'))
   dg descr=pn.panel(HTML('''Following ICAO definition, Dangerous
       goods are defined as: <em>''articles or substances which are
       capable of posing a risk to health, safety, property or the
       environment and which are shown in the list of dangerous goods in
       the Technical Instructions or which are classified according to
       those Instructions''</em>.
197 ICAO provides a classification of DGs in nine classes according to
         the hazard or the most predominant hazard they present. However
       the categorization is affected by state variations, as a matter of
         facts the appropriate national authority is required to define it
198 A summary of the classes can be represented as following. <br/>
<br/>br>
199 199 199 190 190 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 <u
200  Class 2: Gases 
   Class 3: Flammable liquids
   Class 4: Flammable solids; substances liable to spontaneous
       combustion 
   Class 5: Oxidizing substances and organic peroxides
204 Class 6: Toxic and infectious substances 
205 Class 7: Radioactive material 
   Class 8: Corrosive substances
   li>Class 9: Miscellaneous dangerous substances and articles,
        including environmentally hazardous substances 
    The considered order is not a representation of the degree of danger
        , which is furthered in the specific document. Besides, for each
       class, ICAO defines definitions, sub-divisions, packing
       instructions and specific properties. To each considered good, the
        number of the class/division is assigned, as shown in the proper
        tables.<br>
```

```
Each Dangerous Good is identified by a serial number assigned to the
      article or substance under the United Nations classification
      system. This number is composed of four digit. There are, however,
       two exceptions: firstly, some goods do not have assigned a UN
      number so they are identified with a temporary identification
      number in the 8000 series; secondly, some goods can be forbidden
      on aircraft under any circumstances, so they are labelled as "
      FORBIDDEN". < br>
210 To learn more about the topic, consult <a href="https://www.icao.int/
      safety/DangerousGoods/Pages/Doc9284-Technical-Instructions.aspx"
      target=" blank">ICAO document</a>.''))
  dg_analysis=pn.panel(HTML(''', 'The Dangerous Goods analysis is
      divided in two section: Event types analysis and Dangerous Goods
      types analysis. The required analysis can be selected below. '''))
  #building the top section of the DGs page
213 dg_page1=pn.Column(title_dg,dg_descr,dg_analysis)
214
215 #Event types analysis page
title_et_dg=pn.panel(HTML('<h3><center>Event types analysis</
      center > </h3 > '))
  dg_page2=pn.Column(title_et_dg,pn.Row(dg_eventtypes_df),pn.Spacer(
      height=20), pn. Tabs(('Pie chart', pn. Column(pn. Spacer(height=15),
      dg_events_pie)),('Stacked bar plots',pn.Column(dg_events_stack1,pn
      . Spacer (height=10), dg_events_stack2)), ('Trend overtime',
      dg_events_bar),('Bar plots',dg_events_barall)))
218
219 #Dangerous Goods analysis page
  title_ty_dg=pn.panel(HTML('h3><center>Dangerous Goods types
      analysis </renter></h3>'))
  dg_page3=pn.Column(title_ty_dg,pn.Row(dg_types_df),pn.Spacer(height
      =20), pn. Tabs (('Pie chart', pn. Column(pn. Spacer(height=15),
      dg_types_pie)),('Stacked plots',pn.Column(dg_types_stack1,pn.
      Spacer (height=10), dg types stack2)), ('Trend overtime',
      dg_types_bar1)))
  #objects for the following selectors
  \tt objects2=[',','Event\ Types', 'Dangerous\ Goods\ Types']\ \#options
224
  data_df2=['',dg_page2,dg_page3] #corresponding page to display
225
226
  data2=' '#initializing a variable, used in the class below
228 #defining class 'Selectingdata'
  class Selectingdata(param.Parameterized):
229
       Analysis_to_run=param. ObjectSelector (default='', objects=objects2)
230
      #selector for DGs analyses
      @param.depends('Analysis_to_run')
      def data_view(self): #function to display one analysis or the
232
      other
           for obj, df2 in zip(objects2, data_df2):
               if obj=self.Analysis_to_run:
234
```

```
data2=df2
235
                return data2
    rd=Selectingdata(name=',') #class propriety
237
    dg_page=pn.Column(dg_page1, rd.param, rd.data_view)
    #SAFETY PERFORMANCE INDICATORS—> page 3 of the dashboard
241
   #defining elements of home page
    title spi=pn.panel(HTML('<h1><center>Safety Performance Indicators
         </\text{center}></\text{h}1></\text{p}>')
    spi descr=pn.panel(HTML('''Safety performance indicators are
         characteristic level of safety performance of a state. They are
         chosen depending on the safety data available to be analyze and
         they need to illustrate the safety national objectives. <br/>br>
   SPIs are divided in two categories:<br/>
246 
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    </
         of events that could be the precursors of "undesired events" (
         accident or serious incident) and normally they are measured
         considering mandatory reports received in the eE-MOR system. These
          indicators have been chosen taking into account types of events
         which are particularly relevant in all the domains of civil
         aviation: Aerodrome, Air Traffic Control, Airworthiness,
         Operations and UAS.
247 <1i>247 to m>Process oriented </em>. They derive from the most typical
         processes of the Civil Aviation Authority and plan to measure the
         effectiveness of ENAC activities trying to ensure the highest
         possible level of safety of the aeronautical operations.
    The conducted analysis considers only SPI-outcome oriented, as they
         are included in the Safety Portal. <br/> >
   To learn more about the topic, consult <a href="https://www.enac.gov."
         it/sites/default/files/allegati/2020-Lug/
         Safety Performance Indicators Edizione 2.pdf target= blank">
        ENAC document</a>.<math>
250 (*) Additional graphical visualisations.''), width=600)
251 #bulding the top of the spi page
    spi_page1=pn.Column(title_spi,spi_descr)
   #defining elements to use in the following analysis
255 #SPIs to be analyzed
    spi_selection = ['Runway Excursions', 'Runway Incursions', 'Loss of
         Control In-Flight', 'TCAS Resolution Advisories',
    'Activations of TAWS', 'Ramp events', 'Collision while taxing to/from
          a runway in use', 'Fire or smoke on aircraft', 'Laser beam
         interferences with flight operations', 'Bird Wild Strike rate', 'Airspace Infringements', 'Separation Minimum Infringement', 'ATM
         technical failures', 'Interferences of APR with manned aircraft
         during take-off or landing']
258 #short name of each SPI
```

```
259 spi_name=['RE', 'RI', 'LOC-I', 'TCAS', 'TAWS', 'RAMP', 'GCOL', 'F-NI', 'LASER
       ', 'BIRD', 'UPA', 'SMI', 'ATM_failure', 'APR_interference']
260 #file name for each SPI
   files = ['SPI-O-01 (RE every 10000 mvt).xlsx', 'SPI-O-02 (RI APV every
      262
   #objects for the selector in class 'spi_analysis'
263
   objects 3 = [\ ' \quad '] + spi\_selection
264
   objects2=[' ', 'general analysis', 'event types analysis']
265
266
   class spi page building (param. Parameterized): #defining a class
267
       Please_select_the_requested_SPI=param.ObjectSelector(default=' ',
268
       objects=objects3) #selector for the SPIs
       Analysis_to_run=param.ObjectSelector(default=' ', objects=
270
       objects2) #selector for
271
       @param.depends('Please_select_the_requested_SPI', 'Analysis_to_run
272
       def spi_analysis(self): #function for analyzing spi data
273
           #SPI analysis
            subject_required=self.Please_select_the_requested_SPI
275
            if subject_required in spi_selection:
276
                subject=subject_required
277
                position=spi_selection.index(subject)
278
                name=spi_name[position]
279
                file_name=files[position]
280
            else:
                subject ,name,file_name='empty', 'empty', 'empty'
282
283
           # SPIs divided into categories based on the specific analysis
284
        than we want to run for each one
           spi\_mvt = [\ 'RE'\ ,\ 'RI'\ ,\ 'TCAS'\ ,\ 'RAMP'\ ,\ 'GCOL'\ ,\ 'F-NI'\ ,\ 'LASER'\ ,\ '
285
      APR_interference'] #rate calculated with movements
            spi_flt=['LOC-I', 'TAWS'] #rate calculated with flights
286
            spi_occ=['BIRD', 'UPA', 'ATM_failure'] #rate calculated with
      occupancy duration
            spi_dur=['SMI'] #only occurrences to calculate
288
289
           # External data required in the analyses
            years = ['2015', '2016', '2017', '2018', '2019', '2020']
291
            movements=np.array
292
      ([1544643, 1624966, 1653242, 1722254, 1655381, 708602]) #provided by
      ENAC
            \label{eq:flights=np.array} \begin{array}{ll} \text{flights=np.array} \, ([1695821 \, , \ 1733589 \, , \ 1785541 \, , \ 1880252 \, , \\ \end{array}
293
      1962182, 781672]) #provided by EUROCONTROL through ENAC
            duration=np.array([0,0,58e6,63e6,67e6,27e6]) #provided by
294
      ENAC
```

```
external_data=pd.DataFrame(index=years, data={'movements':
295
      movements, 'flight': flights, 'duration': duration })
296
           #extracting the data for each year
297
           data=pd.DataFrame() #new DataFrame
299
           check_length=pd.DataFrame(index=['black cells', 'length'],
      columns=years) #new DataFrame for validation
300
           if name!= 'empty':
301
               for i in range(len(years)):
302
                    data excel=pd.read excel('SPIs/'+file name, sheet name
303
      =years[i]) #reading data from excel file sheets
                    data=data.append(data_excel) #appending all the data
      together
305
                   #calculating the length of the Excel sheet and the
306
      the number of blank cells per sheet
                    check\_length.iloc[0,i]=data\_excel.iloc[:,5].isna().
307
      sum()
                    check length.iloc[1,i]=len(data excel.iloc[:,5])
308
               #keeping only the column with the required information
310
      about dates
               #converting data in date-format and keeping only the
311
      information about the year
               data_date=pd.to_datetime(data.iloc[:,5].dropna().
312
      reset_index(drop=True)).dt.year
313
           #For each SPI categories we run a different analysis
314
           if name in spi_mvt:
315
               #resulting DataFrame
316
               data tab=pd.DataFrame(columns=['Movements', 'Number of
317
      events', 'Rate'])
               data_tab['Number of events']=data_date.value_counts().
318
      sort_index(ascending=True) #counting the total number of
      occurrences
               data_tab [ 'Movements']=movements
319
               #rate per 10000 movements, rounding at 2 decimal digits
               data_tab['Rate']=round(data_tab['Number of events']/
321
      data_tab['Movements']*10000,2)
322
               variation name='every100000mvt' #specific name for saving
323
       the data
           elif name in spi flt:
325
               #resulting DataFrame
326
               data_tab=pd.DataFrame(columns=['Flights', 'Number of
327
      events', 'Rate'])
```

```
data_tab['Number of events']=data_date.value_counts().
328
      sort index(ascending=True) #counting the total number of
                data_tab['Flights']=flights
320
                #rate per 10000 movements, rounding at 2 decimal digits
      \frac{data\_tab \ ['Rate'] = round (data\_tab \ ['Number of events']/}{data\_tab \ ['Flights'] * 10000, 2)}
331
332
                variation name='every10000flt' #specific name for saving
333
      the data
334
            elif name in spi dur:
335
                #resulting DataFrame
336
                data_tab=pd.DataFrame(columns=['Occupancy duration','
337
      Number of events', 'Rate'])#counting the total number of
      occurrences
                data_tab['Number of events']=data_date.value_counts().
338
      sort_index(ascending=True)
                data_tab['Occupancy duration']=duration
339
                #rate per 1Mln minutes, rounding at 2 decimal digits
340
                data_tab['Rate']=round(data_tab['Number of events']/
341
      data tab ['Occupancy duration']*1000000,2)
342
                variation_name='every1Mlnmins' #specific name for saving
343
      the data
344
            elif name in spi_occ:
345
               #resulting DataFrame
                data_tab=pd.DataFrame(columns=['Number of events'])
347
                data_tab['Number of events']=data_date.value_counts().
348
      sort index(ascending=True)#counting the total number of
      occurrences
349
                variation name=',' #no addition information in the output
350
      file name is required
            elif name!='empty':
                print ('Input data do not correspond to study cases')
352
353
           #implementing a check to verify the number of events
354
      extracted corresponds to the total events reported
           if name!='empty':
355
                for i in range(len(years)):
356
                    if data_tab.iloc[i,1]!=check_length.diff().iloc[1,i]:
                         print('Missing data!')
358
                data to print=data tab
                data_to_print.index=data_tab.index.astype(str)
360
                data_df=pn.widgets.DataFrame(data_tab, widths={'index':40,
361
       'Number of events':90}, height=350)
           else: #default case (to not run the event types analysis)
362
```

```
data=pd.DataFrame(np.zeros((10, 14)))
                data. iloc [:,12] = np.nan
                data df='
365
366
           #According to the chosen SPI, one of the three graphs below
367
       will be performed.
368
   # Movements Trends
369
           if name in spi mvt:
371
                line = (external data/1000000). hvplot.line (x='index', y='
372
      movements', color='green', line_dash= 'dashed', title='Movements per
       year', ylabel='Movements (in Mln)', xlabel='Years', grid=True,
      h = 400, width = 500
                dots=(external_data/1000000).hvplot.scatter(x='index',y='
373
      movements', height=400, width=500).opts(color='green', size=12,
      marker='o')
                descr_line=line*dots
374
375
    Flights Trends
376
377
            elif name in spi flt:
378
                line = (external_data/1000000). hvplot.line (x='index',y='
379
      flights', color='green', line_dash= 'dashed', title='Flights per
      year', ylabel='Flights (in Mln)',
                                                       xlabel='Years', grid=
      True, height =400, width =500)
                dots=(external_data/1000000).hvplot.scatter(x='index',y=
380
                'flights').opts(color='green', size=12, marker='o')
381
                descr line=line*dots
382
383
   # Occupancy Duration
384
            elif name in spi dur:
386
                line = (external data/1000000). hvplot.line (x='index', y='
387
      duration', color='green', line_dash= 'dashed', title='Occupancy
      Duration (min)', ylabel='Occupancy Duration (in Mln of min)',
      xlabel='Years', grid=True, height=400, width=500)
                dots=(external_data/1000000).hvplot.scatter(x='index',y='
388
      duration').opts(color='green', size=12, marker='o')
                descr_line=line*dots
390
            elif name='empty': #default case
391
                descr_line='
392
393
   #Occurrences per year and rate per year
394
395
            if name in spi_mvt+spi_flt+spi_dur: #plotting number of
396
      occurrences and rate
397
```

```
occ_bar=data_tab.hvplot.bar(x='index',y='Number of events
398
      ', height=400, width=500, color='lightcoral', title='Number of events
      per year ('+name+')', xlabel='Years', ylabel='Occurrences')
               rate_bar=data_tab.hvplot.bar(x='index',y='Rate',height
399
      =400, width=500, color='red', title='Rate per year ('+name+')',
      xlabel='Years', ylabel='Rate')
400
               line2=data_tab.hvplot.line(x='index',y='Rate', color='red
401
       ', line_dash= 'dashed', title='Rate per year ('+name+')', ylabel='
      Rate', xlabel='Years', grid=True, height=400)
                dots2=data tab.hvplot.scatter(x='index',y='Rate').opts(
402
      color='red', size=12, marker='o')
               rate line=line2*dots2
404
               rate_tab=pn.Tabs(('Bar chart', rate_bar),('Line chart (*)'
405
       , rate_line))
406
           elif name in spi_occ: #plotting only the total number of
407
      occurrences
               occ_bar=data_tab.hvplot.bar(x='index',y='Number of events
408
       ', height=400, width=500, color='lightcoral', title='Number of events
      ('+name+')', xlabel='Years', ylabel='Occurrences')
               rate bar=',
409
               rate_line=','
410
               rate_tab=''
411
           else: #default case
412
               occ_bar=','
413
               rate_bar=',
414
               rate_line=','
415
               rate tab=',
416
417
           spi title selec=HTML('<h2><center>{spi}</center></h2>'
418
      .format(spi=self.Please select the requested SPI))
           spi page2=pn.Column(spi title selec,pn.Row(pn.Column(pn.
419
      Spacer (height=18), data_df), descr_line), pn.Row(pn.Column(pn.Spacer)
      height=22),occ_bar),rate_tab))
420
           if data.iloc[:,12].notnull().values.any(): #for now it means
421
      only RE
               data_events=pd.DataFrame({ 'date': pd.to_datetime(data.
422
      iloc[:,5]), 'event types': data.iloc[:,12]}).dropna()
               data events ['date'] = data events ['date']. dt. year
423
424
               event_types=['Runway Incursion by an Aircraft', 'Runway
425
      Incursion by a Person', 'Runway Incursion by a Vehicle/Equipment']
426
               events=pd.DataFrame(columns=['Aircraft', 'rate Aircraft
427
      x10000 mvts', 'Person', 'rate Person x10000 mvts', 'Vehicle/Equipment
       ', 'rate Vehicle/Equipment x10000 mvts'])
```

```
428
                for i in range(len(event_types)):
429
                    events.iloc[:,i+i]=data_events[data_events['event
430
      types']==event_types[i]]['date'].value_counts().sort_index(
      ascending=True)
                    events.iloc [:,(i+i)+1]=round (events.iloc [:,i]/
431
      movements*10000,3)
432
                events_to_print=events
433
                events to print.index=data tab.index.astype(str)
434
                events df=pn.widgets.DataFrame(events to print, widths={'
435
      Aircraft ':50, 'rate Aircraft x10000 mvts':150, 'Person':50, 'rate
      Person x10000 mvts':150, 'Vehicle/Equipment':130, 'rate Vehicle/
      Equipment x10000 \text{ mvts}':220, width=750)
436
  #Bar charts
437
438
                color = ['lightcoral', 'red', 'chocolate', 'sienna', 'lightblue
439
       ', 'cornflowerblue'] #colors
440
                et_bar1=events.hvplot.bar(x='index',y=events.columns[0],
441
      height=250, width=350, color=color[0], title=events. columns[0]+' ('+
      name+')', xlabel='Years', ylabel='Occurrences').opts(toolbar='right
       ', fontsize={'title': 10})
                et_bar2=events.hvplot.bar(x='index',y=events.columns[1],
442
      height = 250, width = 350, color = color [1], title = events.columns [1] + ' ('+
      name+')', xlabel='Years',ylabel='Rate').opts(toolbar='right',
      fontsize={ 'title ': 10})
                et_bar3=events.hvplot.bar(x='index',y=events.columns[2],
443
      height = 250, width = 350, color = color [2], title = events.columns [2] + ' ('+
      name+')', xlabel='Years', ylabel='Occurrences').opts(toolbar='right
       ', fontsize={'title': 10})
                et bar4=events.hvplot.bar(x='index',y=events.columns[3],
444
      height = 250, width = 350, color = color [3], title = events.columns [3] + ' ('+
      name+')', xlabel='Years', ylabel='Rate').opts(toolbar='right',
      fontsize={'title': 10})
                et_bar5=events.hvplot.bar(x='index',y=events.columns[4],
445
      height = 250, width = 350, color = color [4], title = events.columns [4] + ' ('+
      name+')', xlabel='Years', ylabel='Occurrences').opts(toolbar='right
       ', fontsize={'title': 10})
                et bar6=events.hvplot.bar(x='index',y=events.columns[5],
446
      height = 250, width = 350, color = color [5], title = events.columns [5] + ' ('+
      name+')', xlabel='Years', ylabel='Rate').opts(toolbar='right',
      fontsize={ 'title ': 10})
447
                air=et_bar1+et_bar2
448
                pers=et_bar3+et_bar4
449
450
                equip=et_bar5+et_bar6
```

```
et_bars=pn.Column(pn.panel(HTML('h3><center>Runway
451
      Incursion by an Aircraft </renter></h3>')), air, pn. panel (HTML('<p
      >center>Runway Incursion by a Person</center></h3>')), pers
      pn.panel(HTML('<h3><center>Runway Incursion by a Vehicle/
      Equipment</re>
452
  #Event types graphs all together
453
  #Bar charts stacked ----> not with percentage because these events
      types are just a few of the total
455
               only_events=['Aircraft', 'Person', 'Vehicle/Equipment']
456
               color = ['red', 'forestgreen', 'gold', 'lightblue', '
457
      cornflowerblue']
458
               et_barstack=events.hvplot.barh(x='index',y=only_events,
459
      stacked = True, shared\_axes = False, \ legend = `bottom\_right', \ width = 700, \\
      color=color, title='Event Types total occurrences per year ('+name+
      ') ', xlabel='Years', ylabel='Occurrences')
460
    #Line plot of rate trends
461
462
               only_rates=['rate Aircraft x10000 mvts', 'rate Person
463
      x10000 mvts', 'rate Vehicle/Equipment x10000 mvts']
               color = ['red', 'sienna', 'cornflowerblue']
464
465
               line3=events.hvplot.line(x='index',y=only_rates,
466
      shared\_axes = False\;, color = color\;, line\_dash = \; 'dashed\;'\;, title = 'Event\;'
      types rates per year ('+name+')', ylabel='Rate', xlabel='Years',
      grid=True, width=800, height=400)
                dots3=events.hvplot.scatter(x='index',y=only rates,
467
      shared_axes=False, color=color, marker='o', size=20)
               et rates line=line3*dots3
469
470
  #Bar charts and line plots
471
472
                color = ['lightcoral', 'red', 'chocolate', 'sienna', 'lightblue
473
       , 'cornflowerblue' | #colors
474
               line4=events.hvplot.line(x='index',y=only_rates[0], color
475
      =color[1], line dash= 'dashed', title=only rates[0], ylabel='Rate',
      xlabel='Years', grid=True, height=250, width=350).opts(fontsize={
      title ': 10})
                dots4=events.hvplot.scatter(x='index',y=only_rates[0]).
476
      opts(color=color[1], size=12, marker='o')
               et_line2=(line4*dots4).opts(toolbar='below')
477
478
```

```
line5=events.hvplot.line(x='index',y=only_rates[1], color
479
     =color[3], line_dash= 'dashed', title=only_rates[1], ylabel='Rate',
      xlabel='Years', grid=True, height=250, width=350).opts(fontsize={
      title ': 10})
               dots5=events.hvplot.scatter(x='index',y=only_rates[1]).
      opts(color=color[5], size=12, marker='o')
               et_line4=(line5*dots5).opts(toolbar='below')
481
482
              line6=events.hvplot.line(x='index',y=only_rates[2], color
483
     =color[5], line_dash= 'dashed', title=only_rates[2], ylabel='Rate',
      xlabel='Years', grid=True, height=250, width=350).opts(fontsize={'
      title ': 10})
               dots6=events.hvplot.scatter(x='index',y=only rates[2]).
      opts(color=color[5], size=12, marker='o')
              et_line6=(line6*dots6).opts(toolbar='below')
485
486
               air1=et_bar1+et_line2
487
               pers1=et_bar3+et_line4
488
              equip1=et_bar5+et_line6
489
              et_barandline=pn.Column(pn.panel(HTML('h3><center>
490
     Runway Incursion by an Aircraft </renter></h3>')), air1, pn. panel(
     HTML('<h3><center>Runway Incursion by a Person</center></h3>
      ')), pers1, pn. panel(HTML('h3><center>Runway Incursion by a
      Vehicle/Equipment</center></h3>')), equip1)
              #defining elements of spi page to lay the plots out
492
              et_tab = pn.Tabs(('Only Bar charts', et_bars),('Bar and
493
      line charts (*)',et_barandline),('More (*)',pn.Column(et_barstack,
      et_rates_line)))
               title et=pn.panel(HTML('<h3>Event types</h3>'))
494
               intro_et=pn.panel(HTML('','The Event types analysis
495
      aims at counting how many time a specific event has ocurred. Two
      values are then calculated: the total number of occurrences and
      the rate. The rate follows the formula indicated above. <br/>br>
                                In this case, we take into account only
496
      three categories of event types:
                                Runway Incursions by an Aircraft
497
      Runway Incursions by an Person
498
                                Runway Incursions by an Vehicle/
      Equipment 
              #building the section of the spi page on plots of the
500
      general analysis
              spi_etpage=pn.Column(title_et,intro_et,events_df,pn.
      Spacer (height=15), et tab)
           elif name!='empty': #defining the section of the spi page on
503
      plots of the event types analysis
```

```
events_df, et_bars, et_barandline, et_barstack, et_rates_line
504
      = 'None', 'None', 'None', 'None', 'None'
                no events data='The data-set does not contain Event types
505
                spi_etpage=pn.Column(no_events_data)
           else:
507
                spi_etpage='' #empty string to display when 'default'
508
509
           if self.Analysis_to_run='general analysis': #selecting which
510
       page to display: general analysis or event types analysis
                page=spi_page2
511
           elif self.Analysis_to_run='event types analysis':
512
                page=spi_etpage
513
514
                page='' #empty string to display when 'default'
515
516
           return page
517
518
  rd5=spi_page_building(name=',') #class propriety
519
520
  #building the page, depending on the selections
  spi_page=pn.Column(spi_page1, rd5.param, rd5.spi_analysis)
```

```
#DASHBOARD
  pn.extension(sizing_mode="stretch_width") #sizing property
  pages = {
      "Home": home_page,
      "Safety Performance Indicators": spi_page,
      'Dangerous Goods': dg_page,
      'About':about_page, \} #dict on the pages names and variables
  def show(page): #function to show the pages
10
      return pages [page]
11
  starting_page = pn.state.session_args.get("page", [b"Home"])[0].
13
     decode() #default starting page
 #defining page selector
  page = pn.widgets.RadioBoxGroup(
      value=starting_page,
      options=list (pages.keys()),
17
      name="Page",
      sizing_mode="fixed",
19
      button_type="success")
20
21
ishow = pn.bind(show, page=page) #defining parameters for the
     dashboard template
```

```
DEFAULT_PARAMS = { "accent_base_color": '#0E7397', "header_background"
: '#0E7397'}

#building the dashboard through the template
dash=pn.template.FastListTemplate(
title="Interactive Dashboard on Aviation Safety",
sidebar=[page], #sidebar
main=[ishow], #main page
**DEFAULT_PARAMS).show()
```

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